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- (54) Polymer for hair-care products

Polymer für Haarpflegemittel

Polymères pour des produits de traitement des cheveux

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### Description

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The present invention relates to novel polymers suitable for use in hair-care products. More particularly, the present invention relates to a polymer comprising as an essential constituent units of a hyrophilic unseturated monomer which affords hair an excellent brillance and gloss and a smooth feeling and has an excellent washability, and into which a polysioxane group has been introduced.

The polymers in accordance with the present invention can be used for providing hair with settability, softness, gloss, smooth feeling, smooth combing, recovery from damages, manageability and the like.

In hair-care products such as a shampoo, a finse, a hair treatment product, a hair setting product or a cold permanner have solution have herotofore been used ofly components such as allicone compounds, ester compounds, hydrocarbon compounds or the like in an emulsified, solubilized or dissolved state, for the purpose of providing hair with brilliance, gloss and smoothness. The silicone type compounds among them have these years been used extensively because of their procedies recognities.

Specifical examples of application of allicone compounds include (i) hair-care products into which allicone oils such as polydimethylsiloxane, polymethylsylarizane or tho like and their emulsion have been incorporated; (ii) across do may be hair acting products such that an etherified silicone such as a polydimethylsiloxane-polyaxyllayine block occopymer or the like has been incorporated into a hair-flustive polymer such as a cattloric polymer or an amphoters polymer to form, together with a propellant, the eerosol products (Jacanese Patent Laid-Open Publication No. 13531968); (iii) shamoos and riness into which an aministed organ-polyalioxane emulsion has been incorporated (Japanese Patent Laid-Open Publication No. 30751163); (v) hair conditioning product and hair-setting products such that an aministed organ-polyalioxane emulsion has been incorporated into a cationic polymer compound and an amphoteric polymer compound, respectively, to form the hair conditioning product and hair-setting products (Japanese Patent Laid-Open Publication No. 27551568); and (v) hair-care products in which polydimethylsiloxane or polymethylphorylsiloxane having a high motocular weight is used (Japanese Patent Laid-Open Publication No. 24201968); is used (Japanese Patent Laid-Open Publication No. 24201968).

However, the silicone oils or the atherified silicones when used may cause problems such as giving sticky feeling to hair or undergoing reverse transition of silicone from hair to hand or clothing if they are formulated in a large amount or if the product is used repeatedly for a long time. Emulsions of silicones may have problems in dispersion stability. Silicones having a high molecular weight may have problems in the compatibility with hair care resins or additives, so that they have restrictions in formulation whereby their applicability in a variety of heir-care products is restricted.

The silicone compounds having no such hydrophilic groups as a polyether group are difficult to be removed by usual hair washing, and thus when consumers use the products into which the less hydrophilic silicone compounds have been formulated for a long period repeatedly, hair tends to be hydrophobic and causes problems in hair dyeing or permanent waving.

The object of the present invention is to solve the aforementioned problems and to provide a polymer for hair-care products which provides hair with an excellent gloss and brilliance and a smooth feeling without stickiness and will not be accumulated in the hair and cause no problems for being formulated into cosmetics.

The invention provides use in a hair-care product of a copolymer comprising (a) a unit of a hydrophilic ethylenically unsaturated monomer in a quantity of 15 to 99.9% by weight; (b) a unit of an ethylenically unsaturated monomer having a polysiloxane group in a quantity of 0.1 to 85% by weight; and (c) a unit of a hydrophobic ethylenically unsaturated monomer in a quantity of 0 to 84.9% by weight. In a hair care product comprising said copolymer, the copolymer is dissolved in water and/or absorb in a concentration of 0.5 to 10 % by weight.

In the present invention, an entylenically unsaturated monomer having a polysiloxane group (b) is copolymerized with a hydrophile ethylenically unsaturated monomer (a) and, if incossary, with a hydrophile ethylenically unsaturated monomer (a) and in locases yield with a finite property of the stocky feeling of hair or the reverse transition of silicone encountered when the silicone compounds are formulated in a large quantity or on the use for a long period repeatedly can be solved thenks primarily to the use of the compound (b), and the silicone can be easily removed by washing hair thanks primarily to the compound (a). Further, a hydrophilic ethylenically unsaturated monomer component (c) and a hydrophobic ethylenically unsaturated monomer component (a) and a hydrophobic ethylenically unsaturated monomer component (b) and a hydrophobic ethylenically unsaturated monomer component (b) and a hydrophobic ethylenically unsaturated monomer component (c) and a hydrophobic ethylenically unsaturated monomer component (b) and proprietally chosen in terms of their types and relative proportions, so that the polymer can now be incorporated into hair care products without restriction.

# DESCRIPTION OF THE PREFERRED EMBODIMENT OF THE INVENTION

[] Polymer

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### Unsaturated Monomer

The polymer suitable for use in the present invention is obtained by copolymerizing two essential monomers.

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namely (a) a hydrophilic unsaturated monomer and (b) an unsaturated monomer having a polysiloxane group, and an optional monomer, namely (c) hydrophobic unsaturated monomer. Each of these monomers of the caregories (a), (b) and (c) can be used in admixture within respective cateoories.

5 Hydrophilic unsaturated monomers (a):

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Praferably, the hydrophilic unsaturated monomers as the component (a) are hydrophilic unsaturated monomers of a cattonic, anionic, nonionic or amphoteric nature and polymerizable through radical polymerizablor mechanism. It is preferable that they have a solubility in water in a range of 10 g/t/00 g of water or more (25°C).

Examples of the cationic unsaturated monomers include

(i) monomers derived from acrylic acid or methacypic acid, which is referred to hereinafter collectively as (meth) acrylic acid, and a quatemarized aphilabolyprin product of a faishlydrainno having 1 to 4 carbon atoms in the alkyl, auch as (meth)acrylolydroxypropytrimethylammonium chloride and (meth)acrylolydroxypropytrimethylammonium chloride and (meth)acrylolydroxypropytrimethylammonium chloride.

(ii) armine derivatives of (meth)acrylic acid or armine derivatives of (meth)acrylamide derivad from (meth)acrylic acid or (meth)acrylamide and a dialkyslakonolamine having C, to C<sub>a</sub> sitkly (oropas such as dimethytleminoeriv) (meth)acrylate, dietriv|aminoeriv| (meth)acrylate, dietriv|aminoeriv| (meth)acrylate, or dimethytleminopropyl (meth)acrylate, or dimethy

(iii) cerivatives of the products of the group (ii) above by (1) neutralization with an acid such as hydrochloric acid, or factic acid, (2) denaturation with a halogenated alkyl such as methyl chloride, ethyl chloride, methyl bromiete, or ethyl iodide, (3) denaturation with a halogenated fatty acid ester such as ethyl monochloroacetate, or methyl monochloropropionate, and (4) denaturation with a dialkyl sulfate such as dimethyl sulfate, or diethyl sulfate.

Furthermore, the cationic unsaturated monomers include amine derivatives of allyl compounds such as diallyldimethylammonium chloride and the like.

These cattoric unsaturated monomers can be copolymerized in the form as such, or as an alternative they can be copolymerized in the form of their precursors, which are then cattorized by a so-called cattorizing agent. More particularly, dimethylaminosethyl (meth)scriptis is copolymerized, and the copolymer obtained will then be cattorized by a quaternizing agent such as hydrochloric acid, ethyl monochlorocacetate, dimethyl sulfate to form a desired copolymer of the cattorioic unsaturated monomer.

Examples of the anionic unsaturated monomers include:

- (i) unsaturated carboxylic acid monomers such as (meth)acrylic acid, maleic acid, maleic anhydride, itaconic acid, fumaric acid, and crotonic acid;
  - (ii) half esters of an unsaturated polybasic acid anhydride such as succinic anhydride, phthalic anhydride with a hydroxyl group-containing (meth)acrylates such as hydroxyethyl (meth)acrylate, hydroxypropyl (meth)acrylate,
- (iii) monomers having a sulfonic acid group such as styrenesulfonic acid, sulfoethyl (meth)acrylate, and (iv) monomers having a phosphoric acid group such as acid phosphooxyethyl (meth)acrylate, 3-chloro-2-acid phosphooxypropyl (meth)acrylate.

These anionic unsaturated monomers can be used in the form of an acid or after partial or complete neutralization, or they can be copolymerized in the form of acid and then neutralized partialty or completely. Examples of the bases used for neutralization include alkali metal hydroxides such as lithium hydroxide, potassium hydroxide, socilum hydroxide, aquecus ammonia, amine compounds such as mono-, di- or triefhanolamine, triefhylamine, morpholine, aminomathylorgonal, aminoethyloroponaediol.

Examples of the nonionic unsaturated monomers include monomers derived from (meth)acrylic acid or (math) acrylamide and alkylene oxide having 2 to 4 carbon atoms such as hydroxyethyl (meth)acrylate, polyethyleneglycol mono(meth)acrylate, methoxyrobylerhyleneglycol micro(meth)acrylate, methoxyrobylethyleneglycol/propyleneglycol mono(meth)acrylate, polyethyleneglycol di(meth)acrylate, N-polyalkylenoxy(meth)acrylamide; acrylamide, N-vinyloyrmiddone

Examples of the amphoteric monomers include zwitter ionized derivatives of the altorementoned amine derivatives of of (meth)acytic pacities of the amine derivatives of (meth)acyticarities exclude as dimethylaminosithyl (meth)acytellac, dimethylaminopropyl/meth)acytemide by a halogenated fatty acid sait auch as potassium monochioroacetate, acidum monobomopropionate, aminomethylpropenol salt of monochioroacetate acid, triethanolamine salt of monochioroaceta acid, mosiliad products with propensisation of the aforementioned amine derivatives of (meth)acytic acid or (meth).

These amphoteric unsaturated monomers, like the aforementioned cationic unsaturated monomers, can be copo-

lymerized in the form as such or as an alternative they can also be copolymerized in the form of their precursors, which are then convented into emphoteric state. It is also possible to remove the salt produced as a by-product of emphoterization by fittration or ion-exchange, if necessary, before copolymerization step or after the copolymerization-emphoterization step. Those technologies is described in detail in Japanese Patrot Laid-Open Publication No. 92809/81.

The hydrophilic unsaturated monomer is used in an amount of 15 to 89.9% by weight, por weight for total monomers. If the amount is less than 15% by weight, the expolymer thus obtained has problems that it is hardly souble in water and/or an ethanolic solvent or it is difficult to be removed upon hair washing. The amount of the monomer can be selected at will according to the uses with the upper limit of 99.9% by weight. In other words, the hydrophilic unsaturated monomer is proferably used in an amount of 15 to 5.9% by weight, him of the polymer is used as a hair-enting polymer and 30 to 99.5% by weight when the polymer is used as hair-enting polymer and 30 to 99.5% by weight when the polymer is used as a hair-conditioning polymer. Polysiloxano group containing unsaturated monomer (b):

The polysiloxane group-containing unsaturated monomer as the component (b) is a monomer which has at least one unsaturated group having radical polymerizability and a polysiloxane group



wherein a = 1 to 150

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Specifically, compounds represented by the following formula (I) are mentioned:



wherein D. B., B., m and n have the following meanings, respectively:

D = an unsaturated group having radical polymerizability such as a vinyl group, a vinylalkylene group, a vinylhydroxyalkylene group, an acryloyloxynhydroxyalkylene group, an acryloyloxynhydroxyalkylene group and a methacryloyloxyyhydroxyalkylene group;

Fi<sub>1</sub> = a hydrogen atom, a phenyl group, an alkyl group having 1 to 10 carbon atoms, a polyoxyalkylene group, a polyoxyalkylene group of which end has been substituted by an ether or ester group, a polyalkylenepolyamine group, a fatty acid croup or a polyelkovale group. Respectively.

 $\bar{R}_p = n$  hydrogen atom, a phenyl group, an alkyl group having 1 to 10 carbon atoms, a polyoxyalkylene group, a polyoxyalkylene group, a fatly acid group or a polyalkylene group, a fatly acid group or a polyalkylene group, a fatly acid group or a polyalkylene group, a fatly acid group, a vinylelkylene group, a vinyl

m = an integer from 4 to 150; and

n = an integer from 0 to 150, wherein the sum of m and n is within 150.

In the above-described formula (1), the sum of m and n is preferably in the range of 4 to 150. If the sum is less than 4, the polymer obtained by the copolymerization cannot exhibit the advantages inherent in the silicone compound. If the sum exceeds 150, the copolymerizability with the unsaturated monomers of the components (a) and (b) is undesirably lowered.

Embodiments of the unsaturated monomer having a polysiloxane group include the unsaturated monomers illustrated by the following formulae (II) - (IV):

wherein R<sub>3</sub> - R<sub>5</sub>, p and q have the following meanings independently of each other between the formulae:

 $R_3 = a$  hydrogen atom or a methyl group;

R<sub>4</sub> = an alkylene group having 1 to 4 carbon atoms:

Rs = an alkyl group having 1 to 10 carbon atoms or a phenyl group;

p = an integer of 1 or more; and

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q = an integer of 1 or more, wherein the sum of p and q are within 150.

The unsaturated monomers illustrated by the general formula (II) can be obtained as commercially available products (manufactured by Chisso Corporation) such as FM0711 (trade name; p = 10,  $R_0 = a$  methyl group  $R_0 = a$  propylene group, and  $R_0 = a$  methyl group in the aforementioned formula (II), referred to a polysiloxans FM0711 in the preparation example below), FM0721 (p = 60,  $R_0 = a$  methyl group,  $R_0 = a$  propylene group, and  $R_0 = a$  methyl group in the aforementioned formula (II) referred to as polysiloxans FM0721 in the preparation example below) and FM0725 = 190.  $R_0 = a$  methyl group,  $R_0 = a$  propylene group, and  $R_0 = a$  methyl group in the aforementioned formula (III); referred to as polysiloxane FM0725 in the preparation example below.

The monomers illustrated by the general formula (III) can be easily obtained by the reaction of a polysiloxane having both ends of silanol and a (meth)acryloyloxyalkylene (having 1 to 4 carbon atoms) di(alkyl (having 1 to 4 carbon atoms) or phenyl) halosilane.

The unsaturated monomers illustrated by the general formula (IV) can be obtained as commercially available products (manufactured by Chisso Corporation) such as FP2231 (rade name; p = 30, q = 5, R<sub>2</sub> = a methyl group and R<sub>2</sub> = a chenyl group; referred to as positionare FP2231 in the preparation oxample below. PP2241 and FP2242

The polysiloxano group-containing unsaturated monomers illustrated by the general formulae (II) - (IV) can be copolymerized in the form as such. Alternatively, they can be copolymerized in the form of their precursor and a polysiloxane group will then be added. Specifically, a polysiloxane group can be introduced by copolymerizing the monomer in the form of (meth)acrylic acid and then reacting with a polysiloxane having a terminal epoxy group (for example, a compound illustrated by the following structural formula (1), this compound businesses of the properties of the polysiloxane fixed in the properties of the prope

The quantity of copolymerization of the polysitoxene group containing unsaturated monomer (b) is in the range of 0.1 to 85% by weight, preferably 0.5 to 70% by weight, more preferably 0.5 to 20% by weight, of the total monomer weight. If the amount is less than 0.1% by weight, the copolymer obtained centrol afford hair an excellent gloss and brilliance or a smooth feeling. If the amount of the monomer exceeds 85% by weight, it will cause problems when it is incorporated into cosmetics or when it is used repeatedly over a fong period.

If the monomer represented by the formula (i) described above is monofunctional and the sum of m and n is 80 or one, the copolymerizability of the monomer decreases, so that it is effective to use a bifunctional monomer wherein R<sub>p</sub> is a virily (group, a recryloyloxy group or a methecryloyloxy group in combination with the monofunctional monomer.

### Hydrophobic unsaturated monomer (c):

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The hydrophobic unsaturated monomer of the component (c) is a hydrophobic unsaturated monomer having radical polymerizability which is used, if necessary, in order to afford the coopymer obtained a hydrophobic property and the strength, hardness and softness of film derived therefrom. The hydrophobic unsaturated monomer has preferably a solubility in variety in the range of less than 10 20100 at in water (25°Cs).

Examples of the hydrophobic unsaturated monomers include, for example, (a) saturated and unsaturated albyl (meth)acrylates having 1 to 24 carbon atoms in the albyl such as methyl (meth)acrylate, albyl (meth)acrylate, laburyl (meth)acrylate, albyl combine, politically (meth)acrylate, octyl (meth)acrylate, cotyl (meth)acrylate, lauryl (meth)acrylate, cotyl (meth)acrylate, behavyl (meth)acrylate, byl complex (meth)acr

The amount of the hydrophobic unsaturated monomer polymerized is in the range of 0 to 64.9% by weight to the total monomer weight, and his monomer is not an assential component. If the amount of this monomer exceeds 84.9% by weight, the copolymer thus obtained may have problems that it becomes hardly soluble in water and/or an ethanolic solvent or it is difficult to be removed from hair upon hair washing. The amount of the monomer can be selected at will according to the uses with the upon firm it of 49.4% by weight. The hydrophobic unsaturated monomer is preferably used in an amount of 40 to 84.5% by weight when the polymer is used as a hair-setting polymer and 0 to 89.5% by weight the oolwree is used as a hair-setting his production in polymer.

When a bifunctional unsaturated monomer such as ethyleneglycol di(meth)acrylate, allyl (meth)acrylate is used, it is preferably used in an amount of 2% by weight or less to the total monomer weight.

### Formation of copolymer

The unsaturated monomers can be copolymerized by well-known radical polymerization methods such as bulk polymerization, solution polymerization, suspension polymerization, emulsion polymerization.

Preferred polymerization method is a solution polymerization method, which is conducted by a process comprising dissolving monomers in a solvent, adding a polymerization initiator and stirring under heating the mixture under the nitrogen stream.

the solvent is preferably water and an alcohol such as methanol, ethanol, isopropanol, ethyleneglycol and butylcolonio. These solvents can be used in combination. The polymerization initiator is preferably a peroxide such as benzoyl peroxide, leuroyl peroxide and an azo compound such as azobis-boutyrontifle or the like.

The monomers concerned are usually used in such a way that all of them in types and amounts are added to the polymerization at once from the initiation of polymerization, but alternatively they can be used in such a way that some of them in types and/or amounts are added to polymerization separately. The solvent is preferably used in such an amount that the copolymer solution obtained has a polymer concentration of 10 to 65% by weight. The amount of the monomers charged may be determined in consideration of factors such as the copolymerizability or the conversions on that the copolymer obtained has a predetormined composition. It goes without saying that the monomers charged has the same composition as that of the copolymer in the case of the conversion of 100%.

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The molecular weight of the copolymer can be decided at will by appropriately selecting the polymerization conditions such as polymerization temperature, the kinds and amounts of polymerization initiators, addition methods, the amounts of solvent used, the chain transfer agents when used. In general, the copolymers obtained have preferably the molecular weight in the range of 1,000 to 500,000.

The copolymer can be obtained as a solid by removing the solvent from a copolymer solution, or the solid thus obtained can be diluted with any solvents to obtain a copolymer solution, which are used for further applications. The copolymers thus obtained and the solutions may be used in admixture of the two or more.

### [II] Use of the polymer/hair-care products

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The copolymer thus obtained is preferably used as a polymer suitable for heir-care products in an amount of 0.1 to 10% by weight in well-known compositions such as shampoor, rinses, hair treatment products, hair setting products, cold permanent wave lotions. The hair-care products into which the copolymer is incorporated may be of any forms such as figuid, cream, emulsion, gel. It may also be used in combination with well-known conventional naturally occurring polymers, or synthetic polymers, and office products of naturally occurring polymers, or synthetic polymers, and

Hair-care products in which the copolymer in accordance with the present invention is used include hair setting compositions for setting hair in desired hair style such as aerosol hair sprays, pump hair sprays, foarning type hair sprays, hair mists, hair setting fotions, hair styling gels, hair liquids, hair creams, hair oils, and those for providing hair with softness, gloss, smooth combing, recovery from damage, manageability such as shampoos, rinses, hair treatment tolons, cold permanent wave folions.

More particularly, typical examples of hair-care products in which the copolymers in accordance with the present invention are incorporated are as follows.

# (1) Hair-care products for hair setting:

Hair-care products for hair setting include those in which water and/or an alcohol e.g. ethanol or isoporpanol is used as a solvent known in the art such as aerosol hair spray, pump hair spray, foaming type hair spray, hairmist, hairsetting lotion, hair-styling oil, hair liquid, hair cream, hair oil.

The copolymers in accordance with the present invention are used in these hair-cere poroducts as a partial or total substitute for or in combination with anionic, nonionic, cationic and amphoteric polymers and polysiloxane polymers conventionally used in these hair-care profileds

The copolymers in accordance with the present invention can also be used in hair-care products in combination with the present invention can also be used in hair-care products in combination will be controlled by the controlled the controlled by the controlled by the controlled the controlled by the

For these uses, it is preferable that the copolymer comprises a unit of the hydrophilic monomer (a) in 15 to 59.5% by weight, a unit of the monomer comprising a polysiloxane group (b) in 0.5 to 45% by weight, and a unit of the hydrophobic monomer (c) in 40 to 84.5% by weight.

When the lonic natures of the hydrophilic monomer (a) are nonionic, anionic, amphotoric in that the monomer has cationic and anionic natures in its molecule, or amphotore in that a cationic monomer and an anionic monomer are in admixture, it is preferable that a unit of the copolymer comprises the hydrophilic monomer (a) in 20 to 59.5% by weight, a unit of the monomer comprising a polysiloxane group (b) in 0.5 to 40% by weight, and a unit of the hydrophobic monomer (c) in 40 to 79.5% by weight.

When the hydrophilic monomer (a) is caltonic, it is preferable that the copolymer comprises a unit of the hydrophilic monomer (a) in 15 to 54.5% by weight, a unit of the monomer comprising a poly slioxane group (b) in 0.5 to 45% by weight, and a unit of the hydrophobic monomer (c) in 40 to 94.5% by weight.

When the hydrophilic monomer (a) is amphoteric in that a cationic monomer and an anionic monomer are in admixture, the ratio by weight of cationic monomer units/anionic monomer units is preferably 1/9 to 9/1.

# (2) Hair-care products for hair conditioning

Hisr-care products for hair conditioning include those in which water and/or an acohol, e.g. ethanol or isopropanol is used as a solvent such as sharpoos, hair insects, cole permanent wave tolkines and those in which water and/or an alcohol so, eithenol or isopropanol, or an alcohol and/or a hydrocarbon of a boiling point of 50 to 300°C such as hair treatment products.

When the hair-care products are shampoos in which the copolymers in accordance with the present invention are used, it is a conventional practice to use in the shampoos anionic, amphoterior or noninic surfactants, and it is also possible to use in the shampoos foam improves, thickeners, hydrotropes, emulsifiers, conditioning sids, germicides, perfumes.

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When the hair-care products are hair-rises in which the copolymers in accordance with the present invention are used, it is a conventional practice to use in the rinese cationic surfactants, and it is also possible to use in the rinese cationic surfactants, and it is also possible to use in the rinese of colors and facts, cationic surfactants, amphotoric surfactants, humoctants, solubilizing agonts, emulaifiers, thickeners, germicles, hair forces, perfures.

When the hair-care products are cold permanent wave lottons, it is a conventional practice to use in the lotions bromates, periorates, oxidation-reduction compositions a g. thiopkyclates or cysteline and the like it, it is also possible to use in the lotion surfactants, thickeners, stabilizing agents, emulafiers, conditioning aids, humectants, germicides, partitions.

When the hair-care products are hair treatment products, the copolymers in accordance with the present invention are used in these hair treatment products as a partial or total substitute for or in combination with cationic surfactants and/or cationic polymers e.g. cationic polypaptides, cationic cellulicaes, cationic polypaloxanes. It is also possible to use in the hair treatment products oils and facts, amphoteric polymers, humeclants, solubilizing agents, emulsifiers, hickners, cermicides, hair torios, centrumes

The occolymers in accordance with the invention when they are used in the hair treatment products are preferably such that the hydrophillo monomer (a) is cationic, amphoteric in that it has cationic and anionic natures in its molecule, or amphoteric in that a cationic monomer and an anionic monomer are in admixture. The hydrophilic monomers (a) may be used singly or in combination, but the ratio by weight of the units of a cationic hydrophilic monomer/the units of a anionic or amphoteric hydrophilic monomer which has anionic and cationic natures in its molecule is 179 but on the cation in the cati

The copolymers in accordance with the present invention preferably comprises a unit of the hydrophilic monomer (a) in 30 to 99.5% by weight, a unit of the monomer comprising a polysiloxane group (b) in 0.5 to 70% by weight, and a unit of the hydrophobic monomer in 0 to 69.5% by weight. Specific embodiments are disclosed in the appended claims

# [III] Examples

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The present invention is explained more specifically with reference to the following preparation examples and formulation examples without limit thereto. The parts and percentages in the preparation examples are represented by weight. The parts and percentages in the formulation examples are represented by weight on the basis of effective components.

# Preparation Example 1

In a five-neck flask equipped with a reflux condenser, a dropping funnel, a thermometer, a glass tube for nitrogen substitution and a sitter are charged 70 parts of dimethylaminosithyl methacylate, 25 parts of N-vinylpyrodione, 5 parts of polyelioxane FM0721 and 150 parts of anhydrous ethanol. A 0.6 part amount of azobisiobulyronitrie is added to the mixture, and the polymerization is carried out for 8 hours by heating under reflux at 80°C under a nitrogen stream.

Next, a 50% solution in anhydrous alcohol of propanesultone which is equimolar to the dimethylaminoshyl methacrylate is added dropwise from a dropping funnel into the five-next flask and healed under reflux at 180°C for further 8 hours under a nitrogen stream in order to conduct amphoterization reaction. The polymer thus obtained is referred to as P-1-1! in an actual practice, the polymer thus obtained has an averseo molocular weight of 170,000.

# Preparation Example 2

In a five-nock flask similar to that in Proparation Example 1 are charged 30 parts of dimethylaminoethyl methacylate, 70 parts of polysiloxane FM0711 and 200 parts of anhydrous ethanol. One part of azobiskobutyronitrile is added to the mixture, and the polymerization is carried out for 6 hours by heating under reflux at 80°C under a nitropan stearm.

Next, a 50% solution in anhydrous ethanol of propanesultone which is equimolar to the dimethylaminocity methacrylate is added dropwise from a dropping funnel into the five-next fleak ent heatest under reflux at 80°C under a nitrogen stream in order to conduct cationization reaction for further 6 hours. The polymer thus obtained is referred to as "P-2". In an actual practice, the polymer obtained had an average molecular verigini of 25,000.

### Preparation Example 3

In a five-neck flask similar to that in Preparation Example 1 are charged 85 parts of dimethylaminocethyl methacry late, 11 parts of lauryl methacrylate, 3 parts of polysiloxane FM0725, 1 part of polysiloxane FP-2231 and 87 parts of anhydrous ethanol, and the mixture is heated under reflux at 80°C under a nitrogen stream. A solution of 0.6 part of azobliscobutyronlitrile in 33 parts of ethanol is added to a five-neck flask by dropping from a dropping furner over a period of 3 hours. Next, 0.3 part of azobisisobutyronitrile was added to the mixture, and the polymerization is carried out for further 6 hours by neating under reflux at 80°C under a nitrogen stream.

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Next, a 40% solution in anhydrous ethanol of an aminomethylpropanol neutralization product of monochrosteric acid which is aquimolar to the dimethylaminosthyl methacylate is acided disposses form at dropping fundamental neck flask and heated under reflux at 80°C for further 8 hours under a nitrogen stream in order to conduct amphotertration praction.

Then, ethanol is removed by heating while pure water is added by from a dropping funnel to the five-nex flask so as give an aqueous solution of the polymer. The obtained is referred to as "P-3". In an actual practice the polymer dus obtained had an average molecular veight of 300,000.

### Preparation Example 4

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In a five-neck flask similar to that in Preparation Example 1 are charged 40 parts of dimethylaminoethyl methacrylate, 35 parts of t-butyl methacrylate, 25 parts of tribucyl methacrylate, 1 part of polysioxane FM0721 and 150 parts of anhydrous ethanol. 0.6 part of acobisiscouryronitrile is added, and the polymerization is carried out for 8 hours by heating under reflux at 80°C under a nitrogen stream.

Next, a 40% solution in anhydrous ethanol of a potassium hydroxida neutralization product of monochioractic acid which is quimolar to the dimethylaminosityly methacytate is added dropwise forms a dropoing futura in the fit when neck flask and heated under reflux at 80°C for further 12 hours under a nitrogen stream in order to conduct amphoterization reaction.

Suspended materials (potassium chloride) are removed by pressurized filtration from the viscous suspension thus obtained

The filtrate is passed through a column in which a callion exchange resin ("DIACON PK-220", of which system has been substituted by anhydrous ethanol after regeneration) has been packed and is passed through a column in which an anion exchange resin ("DIACON PA-416", of which system has been substituted by anhydrous ethanol efter regeneration) has been packed. The polymer thus obtained is referred to as "P-4". In an actual practice, the polymer obtained had an average molecular weight of 60,000.

# Preparation Example 5

In a five-neck flask similar to that in Preparation Example 1 are charged 70 parts of N-virylpyrrolidone, 30 parts of ophysioxane FMO271 and 100 parts of anhydrous ethanol, and 0.6 part of archoiseboutyrontifile is added to the moture. The polymerization is carried out for 8 hours by heating under reflux at 80°C under a nitrogen stress.

The polymer thus obtained is referred to as "P-5". In an actual practice, the polymer obtained had an average moiscular weight of 150,000.

# 5 Preparation Example 6

In a five-neck flask similar to that in Preparation Example 1 are charged 15 parts of acrylic acid, 5 parts of methacrylic acid, 10 parts of methyl acrylate, 40 parts of butyl methacrylate, 25 parts of lauryl methacrylate, 5 parts of polysiloxane FM0721 and 150 parts of anhydrous sithanol. 0.6 part of benzoyl peroxide is added, and the polymerization is carried out for 6 hours by heating under reflux at 60°C under a nitrogen stream.

Nox, a 50% solution in anhydrous eithend of triethandamine which corresponds to 85% molar amount of the acid is added dropwise under cooling from a dropping funnel Into the five-neck flask. The polymer thus obtained is referred to as "P-4", in an actual practice, the polymer obtained had an average molecular weight of 120,000.

### 45 Preparation Example 7

In a five-neck fleak similar to that in Preparation Example 1 are charged 45 parts of methacrylic acid, 10 parts of methacrylic acid, 10 parts of methacrylic acid, 10 parts of methyl methacryliate, 90 parts of is ob-hully methacrylate, 15 parts of pairtifly methacrylate and 150 parts of busens, 0.6 part of benzoy, percordio is acided, and the polymerization is carried out for 6 hours by 35 heating under reflux at 80°C under a nitroen stream.

Next, 1 part of benzyltrimethylammonium chloride is added, and 5 parts of polysioxane FM-0521 is added dropwise from a dropping furnel into the fifty—enck flask in order to conduct addition reaction by heating under reflux at 80°C for 6 hours under a nitrogen stream.

Toluene in the toluene solution obtained is removed by evaporation by heating to give a precursor polymer as a solid. The polymer is dissolved in a 5% water-containing ethanol so that it has a concentration of 40%.

Next, a 50% solution in ethanol (containing 5% of water) of aminomethylpropanol which corresponds to 85% molar amount of the residual packd is added dropwise under cooling from a dropping funnal into the five-neck flask. The polymer thus obtained is referred to as P-7\*. In an actual practice, the polymer obtained had an average molegular weight of 90.000.

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# Formulation Example 1

A shampoo composition having the following formulation was prepared.

16%
2%
1.5%
0.2%
0.1%
trace
balance
100%

When the composition was used for shampoos, hair after washing was combed smoothly, and the hair after drying had an excellent gloss and brilliance and a smooth feeling so that the hair was combed smoothly.

With repeated shampooings, adverse effects such as tackiness were not observed.

# Formulation Example 2

A shampoo composition having the following formulation was prepared.

Sodium polyoxyethylenelauryl sulfate (3EO) 10%

 Sodium lauryl sulfate
 8%

 Lauroyl diethanolamide
 2%

 "P-3"
 1.5%

 Pure water
 balance

 100%

When the composition was used for shampoos, excellent effects as in Formulation Example 1 were obtained.

# Formulation Example 3

A shampoo composition having the following formulation was prepared.

Coconut oil fatty acid dimethylaminosulfobetaine Sodium polyoxyethylenelauryl sulfate (3EO)	10% 5%
"P-5"	0.5%
Pure water	balance 100%

When the composition was used for shampoos, excellent effects as in Example 1 was obtained.

# Formulation Example 4

A rinse composition having the following formulation was prepared.

Stearyltrimethylammonium chloride	1.5%
Cetanol	2%
"P-2"	0.2%

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### (continued)

Perfume	0.2%
Pure water	balance
	100%

When the composition was used for a rinse, hair after rinsing was combed smoothly, and the hair after drying had an excellent gloss and brilliance and a smooth feeling so that the hair was combed smoothly.

With repeated rinsings, adverse effects such as tackiness were not observed.

# Formulation Example 5

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A hair oil composition having the following formulation was prepared.

Octamethylcyclotetrasiloxane

40%

"P-2" Anhydrous ethanol 8% <u>balance</u> 100%

When the composition was used for a rinse, hair after runsing was combed smoothly, and the hair after drying had an excellent gloss and brilliance and a smooth feeling so that the hair was combed smoothly. When the hair oil composition was applied to hair and the hair was washed in repeated cycle, adverse effects such as tackiness or development of a feeling of physical disorder due to its accumulation were not observed.

# Formulation Example 6

A diluted polymer solution of the following formulation was charged in a spraying can, which were then charged with a liquefied petroleum gas to prepare a hair spray composition.

A diluted polymer solution	
"P-2" Anhydrous ethanol	4 parts balance 65 parts

Liquefied petroleum gas	
(3 kg/cm <sup>2</sup> G, 20°C)	35 parts

When the composition was used by spraying it onto hair, it afforded the hair an excellent set maintaining capability as well as an excellent gloss and brilliance and a smooth feeling. When the hair oil composition was applied to main and the hair was washed in repeated cycle, adverse effects such as tackiness or a feeling of physical disorder due to its accumulation were not observed.

# Formulation Example 7

In the same manner as in Formulation Example 6, a hair spray composition was prepared.

A diluted polymer solution	
"P-6"	3 parts
Anhydrous ethanol	balance
	70 parts

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Liquefied petroleum gas	
(3 kg/cm <sup>2</sup> G, 20°C)	30 parts

When the composition was used by spraying it onto hair, an excellent effect like the Example 6 was obtained

# Formulation Example 8

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In the same manner as in Formulation Example 6, a foaming aerosol composition was prepared.

A dilute	ed polymer solution	
	"P-4"	2 parts
	YUKAFOMER AM-75R 2055*	2 parts
	Polyoxyethylene cetyl ether (10EO)	0.3 parts
	Polyoxyethylene cetyl ether (2EO)	0.1 parts
	Pure water	balance
1		88 parts

"YUKAFORMER AM-75R 2055" is a carboxybetaine type amphotoric polymer which is commercially available from MITSUBISHI PETROCHEMI-OAL CO. LTD.

Liquefied petroleum gas	
(3 kg/cm <sup>2</sup> G, 20°C)	12 parts

When the composition was used by applying it to hair, an excellent effect like the Formulation Example 6 was obtained.

# Formulation Example 9

A hair set lotion composition having the following formulation was prepared.

"P-4"	3%
Pure water	60%
Anhydrous ethanol	balance 100%

40 When the composition was used by spraying it onto hair, an excellent effect like the Formulation Example 6 was obtained.

# Claims

- Use in a hair-care product of a copolymer comprising (a) a unit of a hydrophilic ethylenically unsaturated monomer in a quantity of 15 to 99.9% by weight; (b) a unit of an ethylenically unsaturated monomer having a polysiloxane group in a quantity of 0.1 to 65% by weight; and (c) a unit of a hydrophobic ethylenically unsaturated monomer in a quantity of 0 to 84.9% by weight.
- Use in a hair-care product according to claim 1, wherein the ethylenically unsaturated monomer having a polysiloxane group (b) comprises one or more of the monomers represented by the formula (I):

wherein D, R<sub>1</sub>, R<sub>2</sub>, m and n have the following meanings, respectively:

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D = an unsaturated group having radical polymerizability selected from the group consisting of a vinyl group, a vinylalikylene group, a vinylhydroxylalikylene group, an acryloyloxylalikylene group a methacryloyloxylalikylene group. group, an acrylyoxyhydroxylakylene group and a methacryloxyhydroxylakylene group;

 $R_1$  = a hydrogen atom, a phenyl group, an alkyl group having 1 to 10 carbon atoms, a polyalkylene group, a polyayalkylene group of which end has been substituted by an ether or ester group, a polyalkylenepolyamine group, a fatty acid group or a polyalkylenepolyamine group. a fatty acid group or a polyalkylenepolyamine group.

 $\overline{R}_p = n$  hydrogen atom, a phenyl group, an alkyl group having 1 to 10 carbon atoms, a polyalkylene group, a polyoxyalkylene group of which end has been substituted by an ether or ester group, a polyalkylenepolyamine group, a fairly acid group or a polysiloxane group, or an unsaturated group having radical polymerizability selected from the group consisting of a viryl group, a vinylakylene group, a vinylhydroxyalkylene group, an acrylor/coxyalkylene group or a methacnyloyloxyalkylene group.

m = an integer from 4 to 150; and n = an integer from 0 to 150, wherein the sum of m and n is within 150.

 Use in a hair-care product according to claim 1, wherein the ethylenically unsaturated monomer having a polysiloxane group (b) comprises one or a mixture of the two or more of the monomers represented by the formulae (ii) to (iV):

$$\begin{array}{c} R_{3} \\ CH_{2} = C \\ | R_{5} \\ | R_{5}$$

wherein F<sub>3</sub> to F<sub>5</sub>, p and q have the following meanings independently of each other between the formulae:

R<sub>2</sub> = a hydrogen atom or a methyl group;

R<sub>4</sub> = an alkylene group having 1 to 4 carbon atoms:

R<sub>6</sub> = an alkyl group having 1 to 10 carbon atoms or a phenyl group;

p = an integer of 1 or more; and

g = an integer of 1 or more, wherein the sum of p and g are within 150,

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- Use in a hair-care product according to claim 1, wherein the hydrophilic unsaturated monomer (a) comprises a
  nonlogic unsaturated monomer.
- Use in a hair-care product according to claim 1, wherein the hydrophilic monomer (a) comprises an anionic unsaturated monomer.
  - Use in a hair-care product according to claim 1, wherein the hydrophilic monomer (a) comprises a cationic unsaturated monomer.
- Use in a hair-care product according to claim 1, wherein the hydrophilic unsaturated monomer (a) comprises an
  amphoteric unsaturated monomer in that the monomer has an anionic nature and a cationic nature in its molecule.
- 8. Use in a hair-care product according to claim 1, wherein the hydrochillic unsaturated monomer (a) comprises a mature of at least two of monomers consisting of a noninionic unsaturated monomer, an annionic unsaturated monomer and an amphoteric unsaturated monomer in that the monomer has an anionic nature and a catolonic nature in its moleculu.
  - 9. Use in a hair-care product according to claim 1, wherein the copolymer comprises (a) a unit of a hydrophilic unsaturated moners selected from the group consisting of a nonionic monomer, an anionic monomer, an anionic monomer in that the monomer has an anionic nature and a calidoric nature in its molecule, and a mixture thereof, in a quantity of 20 to 59.5% by weight, (b) a unit of an unsaturated monomer having a polyelioxance group, in a quantity of 0.5 to 40% by weight and (b) a unit of the hydrophobic unsaturated monomer, in a quantity of 40 to 79.5% by weight, the polymer being suitable for use in hair-setting.

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- 25 10. Use in a hair-care product according to claim 1, wherein the copolymer comprises (a) a unit of a hydrophilic monomer which is a mixture of a cationic unsaturated monomer and an anionic unsaturated monomer to the apinoin monomer being 1/9 e 9/1, in a quantity of 20 to 59.5% by weight, (b) a unit of the unsaturated monomer having a polysiloxane group, in a quantity of 0.5 to 40% by weight, and (c) a unit of a hydrophobic unsaturated monomer, in a quantity of 40 to 79.5% by weight, the polymer being suitable for hair setting.
  - 11. Use in a hair-care product according to claim 1, wherein the copolymer comprises (a) a unit of a hydrophilic unsaturated monomer which is cationic, in a quantity of 15 to 54.5% by weight; (b) a unit of an unsaturated monomer having a polysibxane group, in a quantity of 0.5 to 45% by weight; and (c) a unit of a hydrophobic unsaturated monomer, in a quantity of 40 to 84.5% by weight, the polymer being suitable for hair setting.
  - 12. Use in a hair-care product according to claim 1, wherein the copolymer comprises (a) a unit of a hydrophilic unsaturated monomer, in a quantity of 15 to 99.5% by weight, (b) a unit of an unsaturated monomer having a polysitionar group, in a quantity of 0.5 to 20% by weight and (c) a unit of a hydrophobic unsaturated monomer, in a quantity of 0 to 64.5% by weight.
  - 13. Use in a hair-care product according to claim 1, wherein the copolymer comprises (a) a unit of a hydrophilic unsturated monomer which is a cationic monomer or an amphotetic monomer in that the monomer has an anionic nature and a calionic nature in its molecule, in a quantity of 30 to 99.5% by weight, (b) a unit of an unsaturated monomer having a polysitoxane group, in a quantity of 0.5 to 70% by weight, and (c) a unit of a hydrophobic unsaturated monomer, in a upunit of 0 to 85% by weight, the polymer being suitable for hair conditioning.
  - 14. Use in a hair-care product according to claim 1, wherein the copolymer comprises (a) a unit of a hydrophilic monomer which is a combination of a cationic monomer and an amphoteric monomer in that the monomer has an anionic monomer or which is a combination of a cationic monomer and an amphoteric monomer in that the monomer has an anionic nature and a cationic nature in its molecule, the weight ratio of the cationic monomer to the anionic monomer or to the amphoteric monomer being 1/9 to 9/1, in a cutantity of 30 to 99.5% by weight (b) a unit of an unsaturated monomer having a polysionagroup, in a quantity of 0.5 to 70% by weight; and (c) a unit of hydrophobic unsaturated monomer, in a quantity of 0.5 to 95% by weight. The polymer being a suitable for his roonditioning.
  - 16. A heir-care product comprising a copolymer comprising (a) a unit of a hydrophilic ethylenically unsaturated monomer in a quantity of 15 to 99.9% by weight; (b) a unit of an ethylenically unsaturated monomer having a poyal-loxane orgoto in a quantity of 0.1 to 85% by weight; and (c) a unit of an hydrophobic ethylenically unsaturated.

monomer in a quantity of 0 to 84.9% by weight, which copolymer is dissolved in water and/or an alcohol in a concentration of 0.5 to 10% by weight,

16. A hair-care product according to claim 15, comprising the copolymer dissolved in a concentration of 0.5 to 10% by weight in a hydrocarbon of a boiling temperature of 50 to 300°C or in a mixture of the hydrocarbon with an alcohol.

# Patentansprüche

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- Varwendung eines Copolymeren, das (a) eine Einheit aus einem hydrophilen ethylenartig ungesättigten Monomeren in einer Menge von 15 bis 99, Gew,%; (b) eine Einheit aus einem ethylenartig ungesättigten Monomeren, das eine Polysikoxangruppe hat, in einer Menge von 0,1 bis 85 Gew %; und (c) eine Einheit aus einem hydrophoben ethylenartig ungesättigten Monomeren in einer Menge von 0 bis 84,9 Gew,% enthält, in einem Hisarpfliegeprodukt.
- Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobei das eithylenartig ungesättigte Monomer, das eine Polysikoxangruppe hat, (b) ein oder mehrere Monomere, die durch die Formel (I) dargestellt werden, enthält:

worin D. R., R., m und n ieweils die folgenden Bedeutungen haben:

- D = eine ungesättigte Gruppe mit radikalischer Polymerisierbarkeit, die aus der aus einer Vinylgruppe, einer Vinylalkylengruppe, einer Merinacytoy-loxyalkylengruppe, einer Acytoyloxyalkylengruppe, einer Merinacytoy-loxyalkylengruppe und einer Merinacytoyloxyhydroxyalkylengruppe und einer Merinacytoyloxyhydroxyalkylengruppe bestehenden Gruppe ausgewählt ist;
- R<sub>1</sub> = Jeweils ein Wasserstoffatom, eine Phenylgruppe, eine Alkylgruppe mit 1 bis 10 Kohlenstoffatomen, eine Polyaikylengruppe, eine Polyaikylengruppe, eine Polyaikylengruppe, eine Ende mit einer Ether- oder Estergruppe aubstitulert worden ist, eine Polyaikylenpolyamingruppe, eine Folsatiergruppe oder eine Polyaikylenpolyamingruppe oder eine Polyaikylenpolyamingruppe oder eine Polyaikylenpolyamingruppe, eine Folsatiergruppe oder eine Polyaikylenpolyamingruppe oder eine P
- P<sub>2</sub> = ein Wasserstoffatorn, eine Phenylgruppe, eine Alkylgruppe mit 1 bis 10 Kohlenstoffatornen, eine Polyeikylengruppe, eine Polyoxyalkylengruppe, deren Ende mit einer Ether- oder Estergruppe substitutent worden ist, eine Polyalkylengoyamingruppe, einer Entläskurgruppe oder eine Polyalkylengoyamingruppe, einer Entläskurgruppe oder eine Polyalkylengoyamingruppe, einer Verylgruppe oder eine Polyalkylengruppe, einer Vinylgruppe, einer Vinylgruppe, einer Vinylgruppe, einer Vinylgruppe, einer Vinylgruppe, einer Vinylgruppe, einer Austrackylengruppe oder einer Mathacryloyloxyalkylengruppe oder einer oder einer Mathacryloyloxyalkylengruppe oder einer Mathacryloyloxyalkylengruppe oder einer oder einer
- m = eine ganze Zahl zwischen 4 und 150: und
  - n = eine ganze Zahl zwischen 0 und 150, wobei die Summe aus m und n im Zahlenbereich bis 150 liect.
- Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobei das ethylenartig ungesättigte Monomer, das eine Polysiloxangruppe hat, (b), eine Monomer oder ein Gemisch aus zwei oder mehreren Monomeron, das (die) durch die Formein (II) bis (IIV) darpastellt wird (werden), enthält:

worin R<sub>3</sub> bis R<sub>5</sub>, p und q unabhängig voneinander in den einzelnen Formeln die folgenden Bedeutungen haben:

- 20 R<sub>3</sub> = ein Wasserstoffatom oder eine Methylgruppe;
  - R<sub>4</sub> = eine Alkylengruppe mit 1 bis 4 Kohienstoffatomen;
  - R<sub>5</sub> = eine Alkylgruppe mit 1 bis 10 Kohlenstoffatomen oder eine Phenylgruppe;
  - p = eine ganze Zahl 1 oder mehr; und

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- q = eine ganze Zahl 1 oder mehr, wobei die Summe aus p und q im Zahlenbereich bis 150 liegt.
  - Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobei das hydrophile ungesättigte Monomer (a) ein nichtlonisches ungesättigtes Monomer umfaßt.
  - Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobei das hydrophile Monomer (a) ein anionisches ungesättigtes Monomer umfaßt.
    - Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobel das hydrophille Monomer (a) ein kationisches ungesättigtes Monomer umfaßt.
- 35 7. Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobei das hydrophile ungesättigte Monomer (a) ein amphotores ungesättigtes Monomer umfaßt, in dem das Monomer in seinem Molekül eine anionische Natur und eine kationische Natur aufweist.
  - 8. Verwendung in einem Haarpfliegeprodukt nach Anspruch 1, wobei das hydrophite ungesättligte Monomer (a) ein Gemisch aus mindestens zwei Monomeren, die aus einem nicht-ionischen ungesättligten Monomeren, einem antonischen Monomeren, einem kationischen ungesättligten Monomeren und einem amphoteren ungesättligten Monomeren und einem amphoteren ungesättligten Monomeren, wood dieses Monomer in seinem Molekül eine anionische Natur und eine kationische Natur aufwelst, bestehen, umfaßt.
- 45 9. Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobei das Copolymer (a) eine Einheit aus einem hydrophilen ungesättigten Monomeren, das aus der aus einem nicht-ionischen Monomeren, einem ampiotaren Monomer, das in semem Molekül eine aineinische Natur und eine katlonische Natur aufweilst, bestehenden Gruppe ausgewählt ist, in einer Menge von 20 bis 95 9 Gew%; (b) eine Einheit aus einem ungesättigten Monomeren, das eine Polyelioxangruppe hat, in einer Menge von 0,5 bis 40 Gew%; (und (c) eine 50 führe zur Verwendung sein Frastreitigen gelegnen ist.
  - 10. Verwendung eines Haarpflegeprodukt nach Anspruch 1, wobei das Copolymer (a) eine Einheit aus einem hydrophilen ungesätigten Monomeren, das ein Gemisch aus einem kationischen ungesätigten Monomeren und einem alnönischen ungesätigten Monomeren ist, und in dem das Gewichtsverhältnis des kationischen Monomeren zwischen 19 und 91 liegt, in einer Menge von 20 bis 59,9 Gew.%; (b) eine Einheit aus dem ungesätigten Monomeren zwischen 19 und 91 liegt, in einer Menge von 20 bis 59,9 Gew.% (d) eine Einheit aus einem hydrophoben ungesätigten Monomeren in einer Menge von 0,5 bis 40 Gew.% (und (c) eine Einheit aus einem hydrophoben ungesätigten Monomeren in einer Menge von 40 bis 79,5 Gew.% enthält,

und das Polymer zum Haarfestigen geeignet ist.

- 11. Verwondung in einem Haarpflegoprodukt nach Anspruch 1, wobei das Copolymer (a) eine Einheit aus einem hydrophilen ungesättigten Monomeren, das kationische ist, in einer Menge von 15 bis 54,5 Gew.%; (b) eine Einheit aus einem ungesättigten Monomeren, das eine Polysiloxangruppe hat, in einer Menge von 0,5 bis 45 Gew.%; und (c) eine Einheit aus einem hydrophioben ungesättigten Monomeren in einer Menge von 40 bis 64,5 Gew.% erthält, und das Polymer zum Haarfastiene oeelenet ist.
- 12. Verwendung eines Haarpflegeproduktes nach Anspruch 1, wobei das Copolymer (a) eine Einheit aus einem hydrophilon ungesättigten Monomeren in einer Menge von 15 bis 99,5 Gew.%; (b) eine Einheit aus einem ungesättigten Monomeren, das eine Polysiloxangruppe hat, in einer Menge von 0,5 bis 20 Gew.%; und (c) eine Einheit aus einem hydrophoben ungesättligten Monomeren in einer Menge von 0 bis 84,5 Gew.% enthält.
- 13. Verwendung in einem Haarpflegeprodukt nach Anspruch 1, wobei das Copolymer (a) eine Einheit aus einem hydrophilen ungesättigten Monomeren, das ein kaltorisches Monomer oder ein amphotoeres Monomer, in dem das Monomer eine anionische Natur und eine kattonische Natur in seinem Molekolf aufweist, ist, in einer Menge von 30 bis 99,5 Gew.%, (b) eine Einheit aus einem ungesättigten Monomeren, das eine Polysiloxangruppe hat, in einer Menge von 0,5 bis 70 Gew.%, und (c) eine Einheit aus einem Tyropohoben ungesättigten Monomeren in einer Menge von 0 bis 99,5 Gew.% enthält, und das Polymer zum Haarkondfülpnieren geeignat ist.
- 14. Verwendung in einem Heerpflegeprochuld nach Asspruch 1, wobei das Copolymer (a) eine Einheit aus einem Nydrophilen Monomeren, das eine Kombination aus einem kationischen Monomeren und einem kein Kombination aus einem kationischen Monomeren und einem ampheteren Monomeren, in dem dieses Monomer eine anknische Natur und eine kationischen Natur in seinem Molokili aufweist ist, worin das Gewichtsverheitins des kationischen Nonomeren zu dem anionischen Monomeren oder zu dem amphoteren Monomeren zwischen 1/9 und 9/1 liegt, in einer Menge von 30 bis 95 Gew%; (b) eine Einheit aus einem ungesättigten Monomeren des Powjelokargruppe hat, in einer Menge von (b) bis 70 Gew%; und (c) eine Einheit aus einem hydrophoben ungesättigten Monomeren des von der Doylejokargruppe hat, in einer Menge von (b) bis 70 Gew%; und (c) eine Einheit aus einem hydrophoben ungesättigten Monomeren die einer Menge von (b) bis 99,5 Gew. % enthält, und das Polymer zur Haarkonditioniurung eegignet ist.
- 15. Hearpflegeprodukt, das ein Copolymer enthält, welches (a) eine Einheit aus einem hydrophillen ethylenartig ungestättigten Monomeren in einer Merge von 15 bis 99,9 Gew %; (b) eine Einheit aus einem ethylenartig ungestättigten Monomeren, das eine Polysikoxangruppe hat, in einer Menge von 0,1 bis 85 Gew ½; und (c) eine Einheit aus einem hydrophoben ethylenartig ungestättigten Monomeren in einer Menge von 0 bis 84,9 Gew %; umfaßt, und ind men das Copolymer in einer Konzelarduch von 0,0 bis 10,6 sew % in Wasser und/doter einem Altschol aufgelöst sit.
  - 16. Haarpflegeprodukt nach Anspruch 15, das das Copolymer, das in einer Konzentration von 0,5 bis 10 Gew% in einem Kohlenwesserstoff mit einer Sledetemperatur zwischen 50 und 300°C oder in einem Gemisch des Kohlenwasserstoffs mit einem Altkohla durfelbst sit, enthält.

### Revendications

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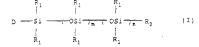
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- Utilisation, dans un produit pour les soins des cheveux, d'un oppolymère comprenent (a) un motif d'un monomère hydrophile à insaturation dritylanique a une quantité de 15 à 99,9 % en podia; (b) un motif d'un monomère à insaturation éthylénique syant un groupe polysiloxane, en une quantité de 0,1 à 85 % en poids; si (c) un motif d'un monomère hydrophob à insaturation éthylénique a une quantité de 0,8 à 9 % en poids;
- Utilisation, dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le monomère à insaturation éthylénique ayant un groupe polysioxane (b) comprend un ou plusieurs des monomères représentés par la formula (f):



dans laquelle D, R<sub>1</sub>, R<sub>2</sub>, m et n ont respectivement les significations suivantes :

D set un groupe insaturé pouvent être polymérisé par polymérisation aricinelaire, chois parmit l'ensemble comprenant le groupe vinyle, les groupes vinylelikogresses productions et vinythydroxyalikylene, les groupes activité oroxyalitylene, les groupes activité oroxyalitylene, les groupes activité oroxyalitylene et le groupes méthactivité vinythydroxyalitylene et le groupes activité oroxyalitylene, les groupes activité oroxyalitylene et le groupe activité oroxyalitylene et le gr

R<sub>1</sub> set un atome d'hydrogène, un groupe phényle, un groupe alkyle ayant de 1 à 10 atomes de carbone, un groupe polyalkylàne, un groupe polyoxyalkylène dont l'extrémité à été remplacée par un groupe éther ou ester, un groupe polyalkylènepolyamine, un groupe acide gras ou un groupe polyalkylènepolyamine, un groupe acide gras ou un groupe polyalkylènepolyamine.

R<sub>2</sub> est un atome d'hydrogène, un groupe phényle, un groupe alkyle ayant de 1 à 10 atomes de carbone, un groupe poblalkylene, un groupe polyoxyalkylene dont l'astrémité a été remplacée par un groupe éther ou ester, un groupe polyalkylenepokyamie, un groupe acide gras ou un groupe pobyleixone, ou encore un groupe insaturé polymérisable per polymérisation radicalaire, choisi parmi l'ensemble comprenant le groupe viryle, les groupes vinylalkylene, les groupes vinylhydroxyalkylene, les groupes acryloyloxyalkylene et les groupes méthacryloixoxyalkylene:

m est un entier de 4 à 150 : et

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4n

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n est un entier de 0 à 150, la somme de m et de n étant inférieure ou égale à 150.

 Utilisation, dans un produit pour les soins des cheveux selon la revendication 1, cû le monomère à insaturation éthylénique ayart un groupe polysitoxane (o) comprend l'un des monomères ou un mélange d'au moins doux des monomères représentés par les formules ((i)) à ((i/V):

dans lesquelles  $\mathsf{R}_3$  à  $\mathsf{R}_5$ ,  $\mathsf{p}$  et q ont les significations suivantes, indépendamment les uns des autres entre les différentes formules :

R<sub>3</sub> est un atome d'hydrogène ou un groupe méthyle ;

R<sub>4</sub> est un groupe alkylène ayant de 1 à 4 atomes de carbone ;

Re est un groupe alkyle avant de 1 à 10 atomes de carbone ou un groupe phényle :

o est un entier valant 1 ou plus : et

q est un entier valant 1 ou plus, la somme de p et de q étant inférieure ou égale à 150.

 Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le monomère à insaturation hydrophile (a) comprend un monomère insaturé nonionique.

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- Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le monomère hydrophile (a) comprend un monomère insaturé anionique.
- Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le monomère hydrophile (a) comprend un monomère insaturé cationique.

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- Utilisation dans un produit pour les soins dos chevoux solon la revendication 1, dans laquelle le monomère insaturé hydrophille (a) comprend un monomère insaturé amphotère, en ce sens que le monomère a dans sa molécule une nature anioque et une nature cationique.
- 8. Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le monomère insaturé hydrophile (a) comprend un mélange d'au moins deux monomères, constitué d'un monomère insaturé anionique, d'un monomère insaturé anionique, d'un monomère insaturé anionique, d'un monomère insaturé amphotère, en ce sens que je monomère a une nature anionique et une nature cationique dans sa molécule.
- 9. Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le copolymère comprend (a) un motif d'un monomère insaturé hydrophile choisi parmi l'insemble comprenant les monomères noninciques, les monomères ancinciques, les monomères ancinciques et une nature cationique, et une motecute une nature anionique et une nature cationique, et leurs mélanges, en une quantité de 20 à 59,5 % en poids; (b) un motif d'un monomère insaturé ayant un groupe poysiloxane, ou une quantité de 20 à 59,6 % en poids; et (c) un motif d'un monomère insaturé hydrophobe, en une quantité de 40 à 79,5 % en poids, le polymère convenant à une utilisation dans une mise en pil.
- 10. Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquellé le copolymère comprend (a) un moit d'un monomère hydrophile qui est un mélange d'un monomère insaturé cationique et d'un monomère insaturé anionique, le rapport pondéral du monomère cationique au monomère anionique, étant de 1/9 à 9/1, en une quantité de 20 à 59,5 % en poids, (b) un moit d'u monomère insaturé ayant un groupe polysiloxane, en une quantité de 0,5 à 40 % no poids ; et (c) un moit d'un monomère insaturé hydrophobe, en une quantité de 40 à 79,5 % en poids, le polymère comvenant à lue meis se prili.
  - 11. Utilisation dans un produit pour les soins des chevaux salon la revendication 1, dans laquelle le copolymère comprend (a) un motif d'un monomère insaturé hydrophile qui est cationique, en une quantité de 15 à 54,5 % en poids, (b) un motif d'un monomère insaturé ayant un groupe polysitoxane, en une quantité de 0,5 à 45 % en poids; et (c) un motif d'un monomère insaturé hydrophobe, en une quantité de 40 à 84,5 % en poids, le polymère convenant à une mise en pil.
  - 12. Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le copolymère comprend (a) un motif d'un monomère insaturé hydrophilio, en une quantité de 15 à 99,5 % en poids, (b) un motif d'un monomère insaturé ayant un groupe polysiloxane, en une quantité de 0,5 à 20 % en poids; et (c) un motif d'un monomère insaturé hydrophobe, en une quantité de 0 à 44,5 % en poids.
  - 13. Utilisation dans un produit pour les soins des c'heveux selon la revendication 1, dans laquello la copolymère comprend (a) un motif d'un monomère inastudir s'ytopolitiqui est un monomère cationique ou un monomère amphotate en ce sens que le monomère a dens se molécule une rative anionique et une nature cationique, on une quantité de 20 à 95,5 van poides; jou motif d'un monomère inasturé ayant un groupe polysiloxane, en une quantité de 0,5 à 70 % en poides; jet (c) un motif d'un monomère inasturé hydrophobe, en une quantité de 0,5 à 70 % en poides; jet (c) un motif d'un monomère inasturé hydrophobe, en une quantité de 0 à 69,5 % en poides, le polymère comrenant su conditionnement des cheveux.
- 14. Utilisation dans un produit pour les soins des cheveux selon la revendication 1, dans laquelle le copolymère comprend (a) un motif d'un monomère hydrophile qui est une combinaison d'un monomère cationique et d'un monomère anionique, ou qui est une combinaison d'un monomère cationique et d'un monomère arriphotère, en ce sens que le monomère a dans sa molécule une nature anionique et une nature cationique, le rapport pondéral du monomère cationique su monomère ambinide de diant de 19 à 91, en une quantité de 30 à 99,5 % en poids, (b) un motif d'un monomère insaturé ayant un groupe polysiloxane, en une quantité de 30 à 99,5 % en poids, (c) un motif d'un monomère insaturé ayant un groupe polysiloxane, en une quantité de 55 (c) à 70 % en poids, (d) un motif d'un monomère insaturé hydrophobe, en une quantité de 0 à 59,5 % en poids, le polymère convienant au conditionnement des cheveux.
  - 15. Produit pour les soins des cheveux, comprenant un copolymère comprenant (a) un motif d'un monomère hydrophille

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- à insaturation éthylénique, en une quantité de 15 à 99,9 % en poids; (b) un motif d'un monomère à insaturation éthylénique ayant un groupe polysitoxane, en une quantité de 0,1 à 85 % en poids; st (c) un motif d'un monomère hydrophobe à insaturation éthylénique en une quantité de 0 à 84,9 % en poids, le copolymère étant dissous dans de l'eau el/qui dans un alcool à une concentration de 0 5 à 10 % en poids.
- 16. Produit pour les seins des cheveux selon la revendication 15, comprenant le copolymère dissous à une concentration de 0,5 à 10 % en polds dans un hydrocarbure ayant une température d'ébullition de 50 à 300°C, ou dans un mélange de hydrocarbure avec un latool.



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### Description

The present invention relates to hair care compositions which have improved hair conditioning and style retention properties while still leaving the hair with a natural non-stoky feel. These compositions utilize a group of specific silicone macromer-containing cool/wers.

The desire to have hair retain a particular shape is widely held. Such style retention is generally accomthe desire to have hair retain a particular shape is widely held. Such style retention is generally accomtemporary alteration is one which can be removed by water or by shampooing. Temporary style alteration has
generally been accomplished by means of the application of a composition to dampened hair after shampooing
and/or conditioning and prior to drying and/or styling. The materials used to provide setting beenfits have generally been resins or gums and have been applied in the form of mousses, gets, lotions, or sprays. This approportions of the style hold is used to provide a present several significant drawbacks to the user. It requires a separate step following shampoing/conditioning to apply the styling composition. In addition, since the style hold is provided by resin materials
which set-up on the hair, the hair tends to feel sticky or stiff after application and it is difficult to restyle the
hair without (truther application of the styling composition.

It has now been discovered that hair care compositions comprising certain specifically-defined silicone macromer-containing copolymens provide excellent hair style relation benefits, together with hair conditioning. The compositions may be in any of the conventional forms including, but not limited to, shampoos, conditioners, hair sprays, tonics, lotions, gels, and mousses. The compositions provide these benefits to hair without leaving the hair with a stiff or stckytactly feel and without negatively affecting dry hair properties, such as ease of combing. Further, hair to which the compositions of the present invention have been applied may be restyled several times without requiring reapplication of the compositions.

These results are surprising since other materials which have been typically used in hair care compositions to provide style retention, such as resiles and gums, generally hurt dry hair properties (e.g., combing) and leave hair with a sticky and/or stiff feel. Furthermore, silicone materials typically used for hair conditioning tend to hurt style retention.

Siloxanes (see, for example, US-A-3,208,911, Oppliger, issued September 28, 1965) and siloxane-containing polymers have been taught for use in hair conditioning compositions. US-A-4,601,902, Fridd et al., issued July 22, 1986, describes hair conditioning or shampoo/conditioner compositions which include a polydiorganosiloxane having quaternary ammonium substituted groups attached to the silicon, and a polydiorganosiloxane having silicon-bonded substituents which are amino-substituted hydrocarbon groups, US-A-4.654.161. Kollmeier et al., issued March 31, 1987, describes a group of organopolysiloxanes containing betaine substituents. When used in hair care compositions, these compounds are said to provide good conditioning, compatibility with anionic components, hair substantivity, and low skin irritation, US-A-4,563,347, Starch, issued January 7, 1986, relates to hair conditioning compositions which Include slloxane components containing substituents to provide attachment to hair, JP-A-56-129,300, Lion Corporation, published October 9, 1981, relates to shampoo conditioner compositions which include an organopolyslioxane-oxyalkylene copolymer together with an acrylic resin. US-A-4,479,893, Hirota et al., issued October 30, 1984, describes shampoo conditioner compositions containing a phosphate ester surfactant and a silicon derivative (e.g., polyether- or alcohol-modified siloxanes). JP-A-52-57337, describes hair conditioning lotions which include a copolymer of N-vinyl pyrrolidone and tris(trimethylsiloxy)vinylsilane. Polyether-modified polysiloxanes are also disclosed for use in shampoo in US-A-3,957,970, Korkis, Issued May 18, 1976, US-A-4,185,087, Morlino Issued January 22, 1980, describes quaternary nitrogen derivatives of trialkylamino hydroxy organosilicon compounds which are said to have superior hair conditioning properties.

Slozane-derived materials have also been used in hair styling compositions. JP-A-66-092,811, Lion Corporation, published December 27, 1972, describes hair setting compositions which comprise an amphotatric acrylic resin, a polyoxyalkylene-denatured organopolysioxane, and polyethylene glycol. US-A-47,49,878, Homan et al., issued May 17, 1988, describes hair styling compositions (such as hair sprays) which include the combination of a carboxyfunctional polydimethylsioxane and a cationic organic polymer containing amine or ammonium groups. Hair styling compositions which include polydiorganosiloxanes and a cationic organic polymer are taught in US-A-47,23,877. Gee et al., issued March 29, 1988, and US-A-47,24,851, Cornwall et al., issued february 16, 1988. Finally, EP-A-17,360, Contrell et al., published September 5, 1984, discloses compositions, containing a siloxane polymer having at least one nitrogen-hydrogen bond, a surfactant, and a sol-ubilized ditaneta, circonate or germanate, which so tas both a conditioner and a hirs styling ald.

Sloxane-containing polymers have also been used in non-hair care applications. US-A-4,136,250, Mueller et al., issued January 23, 1979, relates to polymeric materials used in biological contexts where oxygen permeable and tissue compatible membranes are required. They can also be used as carriers for biologically-active substances. These materials are invitorabilic water-insoluble cells which include a low molecular weight ter-

minal olefinic siloxane macromer and a polymer containing water-soluble monoclefinic monomer. US-A-,498,935, Mazurek, issued September 15, 1987, describes pressure sensitive adhesive compositions which include a copolymer with a vinyl polymeric backbone having grafted thereto polysiloxane motellas. US-A-4,726,571, Clemens et al., issued March 1,1988, relates to adhesive release coating compositions which comprise polysiloxane-grafted copolymers and blends of those copolymers with other polymeric materials. None of the salt three paterits suggest the use of the disclosed siloxane-containing polymers in hair care compositions.

It is an object of the present invention to for mulate hair care compositions which provide effective hair conditioning and style retention properties.

It is also an object of the present invention to formulate hair care compositions which provide conditioning and style retention from a single composition.

It is a further object of the present invention to formulate hair care compositions which provide good style retention without leaving hair with a stiff or sticky/tacky feel.

stention without leaving hair with a stiff or sticky/tacky feel.

It is a further object of the present invention to provide an improved method for styling and conditioning hair.

These and other objects will become readily apparent from the detailed description which follows. Unless otherwise indicated, all percentages and ratios herein are by weight.

Unless otherwise indicated, all percentages and ratios nerein are by weight.

According to the present invention there is provided a hair care composition comprising:

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(a) from 0.1% by weight to 10.0% by weight of a silicone-containing copolymer having a molecular weight of from 10,000 to 1,000,000 comprising a component selected from: a lipophilic low polarity free radically polymerizable with vinyi monomer (A), a hydrophilic polar monomer which is copolymerizable with A (B), and mixtures thereof; together with a silicone-containing mecromer (C) having a weight average molecular weight of from 1,000 to 50,000 based on polydimethylsioixans eslected from

0 OH R" 
$$I_1$$
  $I_2$   $I_3$   $I_4$   $I_4$   $I_4$   $I_4$   $I_5$   $I_6$   $I_6$   $I_6$   $I_7$   $I_8$   $I_$ 

wherein m is 1, 2 or 3; p is 0 or 1; R" is alkyl or hydrogen; q is an integer from 2 to 6; s is an integer from 0 to 2; X is

R1 is hydrogen or -COOH; R2 is hydrogen, methyl or -CH<sub>2</sub>COOH; Z is

R<sup>4</sup> is alkyl, alkoxy, alkylamino, aryl or hydroxyl; and r is an integer from 5 to 700; and wherein the silicone-containing copolymer comprises from 0% to 98% by weight monomer A, from 0% to 98% by weight monomer B, and from 0.1% by weight to 50% by weight monomer C; and (b) from 0.5% by weight to 99.5% by weight to fa carrier suitable for application to hair.

The essential, as well as the optional, components of the present invention are described below.

# Silicone-Containing Copolymer

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The compositions of the present invention contain from 0.1% to 10.0%, preferably from 0.5% to 8.0%, of specifically-effined silicone-containing copolymers, it is these polymers which provide the unique leaf conditioning and hair setting characteristics of the present invention. The polymers have a weight average molecular weight of from 10,000 to 1,000.000 (preferably from 30,000 to 300,000) and, preferably, have a Tig of at least 20°C. As used herein, the abbreviation "Tig" refers to the glass transition temperature of the non-silicone backbone, and the abbreviation "Tim" refers to the crystalline melting point of the non-silicone backbone, if such a transition sexist for a oven optioner.

Preferred polymers comprise a virry polymeric backbone, preferably having a Tg above -20°C and, grafted to the backbone, a polydimethysisoxane macromer having a weight average molecular weight of from 1,000 to 50,000, preferably 70,000. The polymer is such that when it is formulated into the finished hair care composition, when dried, the polymer phase separates into a discontinuous phase which includes the polymemthysisoxane macromer and a continuous phase which includes the backbone. It is believed that this phase separation property provides a specific orientation of the polymer on hair which results in the desired hair conditioning and setting benefits. The phase-separating nature of the compositions of the present invertion may be determined as follows:

The polymer is cast as a solid film out of a good solvent (i.e., a solvent which dissolves both the backbone and the silicone). This film is then sectioned and examined by transmission electron micrography. Microphase separation is demonstrated by the observation of inclusions in the continuous phase. These inclusions should have the proper size to match the size of the silicone chain (typically a few hundred mm or less) and the proper density to match the amount of silicone present. This behavior is vell documented in the literature for polymers with this structure (see, for example, S. D. Smith, Ph.D. Thesis, University of Virginia, 1987, and references cheet therein).

A second method for determining phase-separating characteristics involves examining the enrichment of the concentration of silicone at the surface of a polymer film relative to the concentration in the bulk polymer. Since the silicone prefers the low energy air interface, it preferentially orients on the polymer surface. This produces a surface which is entirely covered by silicone even when the concentration of the silicone by weight in the whole polymer is low (2% to 20%). This is demonstrated experimentally by ESCA (electron spectroscopy for chemical analysis) of the dried film surface. Such an analysis shows a high level of silicone and a greatly reduced level of backbone polymer when the film surface is analysed. (Surface here means the first few tens of Angstroms of film thickness.) By varying the angle of the interrogating beam the surface can be analyzed to varying depths.

In its broadest aspect, the copolymers utilized in the present application comprise C monomers together with monomers selected from the group consisting of A monomers, B monomers, and mixtures thereof. These copolymers contain at least A or B monomers, together with C monomers, and preferred copolymers contain A. B and C monomers.

Examples of useful copplymers and how they are made are described in detail in US-A-4,689,935, Mazurek, issued September 15, 1987, and US-A-4,728,571, Clemens et al., issued March 1, 1988. These copplymers are comprised of monomers A, C and, optionally, B, which are defined as follows. Als at least one free-radically polymerizable vinly monomer or monomers. B, when used, comprises at least one reinforcing monomer copplymerizable with A and is selected from the group consisting of polar monomers and macromers having a Tg or a Tm above -20°C. When used, B is up to 98%, preferably up to 80%, more preferably up to 20%, of the total monomers in the copplymer. Monomer C comprises from 0.01% to 50.0% of the total monomers in the copplymer.

Representative examples of A (hydrophobic) monomers are the acrylic or methacrylic acid esters of C<sub>4</sub>-

C<sub>13</sub> alcoholas, such as methanol, ethanol, methoxy ethanol, 1-propanol, 2-propanol, 1-butanol, 2-methyl-1-propanol, 1-pentanol, 2-pentanol, 3-pentanol, 2-methyl-1-putanol, 1-pentanol, 2-methyl-1-putanol, 1-methyl-1-putanol, 1-methyl-1-putanol, 1-methyl-1-putanol, 1-methyl-1-putanol, 2-methyl-1-pentanol, 3-methyl-1-pentanol, 1-pentanol, 2-methyl-1-pentanol, 2-methyl-1-pentanol, 2-pentanol, 2-pentano

Representative examples of 8 (hydrophilic) monomers include acrylic acid, methacrylic acid, N.N.-dimethylacrylamide, dimethylaminosthyl methacrylate, quaterized dimethylaminosthyl methacrylate, methacrylamide, N-L-butylacrylamide, maleic acid, maleic anhydride and its half esters, crotonic acid, taconic acid, acrylamide, acrylate alcohols, hydroxyethyl methacrylate, diallydimetrily ammonium chioride, vinyl pyrrolidone, vinyl ethers (such as methyl vinyl ether), maleimides, vinyl pyridine, vinyl imidazole, other polar vinyl heterocyclics, styrene suffonate, allyl alcohol, vinyl alcohol (produced by the hydrolysis of vinyl acetate after polymertzation) vinyl acryolacima, and mixtures thereof. Preferred B monomers include acrylic acid, N.N-dimethylacrylamide, dimethylaminoethyl methacrylate, quaternized dimethylaminoethyl methacrylate, vinyl pyrrolidone, and mixtures thereof.

C has a weight average molecular weight of from 1,000 to 50,000, preferably from 5,000 to 40,000, most preferably from 10,000 to 20,000. The C monomer has a formula selected from the following group:

$$\begin{tabular}{l} 0 \\ X-C-O-(CH_2)_{\bf q}-(O)_{\bf p}-Si(R^4)_{\bf 3-m} & Z_m \end{tabular}$$

(a preferred monomer, particularly preferred when p=0 and q=3)  $X\text{-Si}(R^4)_{3\text{-m}} Z_m$ 

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4n

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In those structures, m is 1, 2 or 3 (preferably m = 1); p is 0 or 1; R" is alkyl or hydrogen; q is an integer from 2 to 6; s is an integer from 0 to 2; X is

 $R^1$  is hydrogen or -COOH (preferably  $R^1$  is hydrogen);  $R^2$  is hydrogen, methyl or -CH<sub>2</sub>COOH (preferably  $R^2$  is methyl); Z is

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R4 is alkyl, alkoxy, alkylamino, aryl, or hydroxyl (preferably R4 is alkyl); and r is an integer from 5 to 700 (preferably r is about 250).

The polymens of the present invention comprise from 0% to 98% (preferably from 5% to 98%, more preerably from 50% to 90%) of monomer A, from 0% to 98% (preferably from 75% to 80%) of monomer B, and from 0.1% to 50% (preferably from 0.5% to 40%, most preferably from 2% to 25%) of monomer C. The combination of the A and B monomers preferably comprises from 50.0% to 99.9% (more preferably 60% to 99%, most preferably from 75% to 95%) of the polymer. The composition of any particular copolymer will help determine its formulational properties. In fact, by appropriate selection and combination of particular A, B and C components, the copolymer can be optimized for inclusion in specific vehicles. For example, polymers which are soluble in an aqueous formulation preferably have the composition: from 0% to 70% (preferably from 5% to 70% monomer A, or 30% to 98% (preferably from 30% to 80% monomer B, and from 1% to 40% monomer C.

In one aspect of the present invention, the polymers comprise from 5% to 98% A monomer, from 0.01% to 50% C monomer, and from 0% to 98% B monomer. In these polymers, it is preferred that A be selected from t-butylacrylate, t-butylmethacrylate, and mixtures thereof, since such polymers can be dissolved directly in cyclomethicone solvents without requiring co-solvents. This is surprising in view of U.S.-A.4.693, 935 (Mazuret) and U.S.-A.4.765.71 (Clemens et al.) which success that fettifare alcohols are not suitable A monomers.

Particularly preferred polymers for use in the present invention include the following (the weight percents below refer to the amount of reactants added in the polymerization reaction, not necessarily the amount in the finished polymer):

acrylic acid/n-butylmethacrylate/(polydimethylsiloxane (PDMS) macromer-20,000 molecular weight) (19/70/20 w/w/w) (1)

N,N-dimethylacrylamide/isobutyl methacrylate/(PDMS macromer - 20,000 molecular weight) (20/60/20 w/w/w) (II)

dimethylaminoethyl methacrylate/isobutyl methacrylate/2-ethylhexylmethacrylate/(PDMS macromer-20,000 molecular eight) (25/40/15/20 w/w/w/w) (ili)

dimethylacrylamide/(PDMS macromer - 20,000 molecular weight) (80/20 w/w) (IV)

t-butylacrylate/t-butylmethacrylate/(PDMS macromer - 10,000 molecular weight) (56/24/20 w/w/w) (V) t-butylacrylate/(PDMS macromer - 10,000 molecular weight) (80/20 w/w) (VI)

t-butylacrylate/N,N-dimethylacrylamide/(PDMS macromer 10,000 molecular weight) (70/10/20) (VII)

t-butylacrylate/acrylic acid/(PDMS macromer - 10,000 molecular weight) (75/5/20) (VIII)

The silicone-containing copolymers described above are synthesized as follows.

The sillcone-containing copolymers described above are synthesized as follows. The polymers are synthesized by free radical polymerization methods, the general principles of which are well understood. See, for example, Odian, "Principles of Polymerization", 2nd edition, John Wiley & Sons, 1981, pp. 179-316. The desired monomers are all placed in a reactor, along with a sufficient amount of a mutual solvent so that when the reaction is complete the viscosity of the reaction is reasonable. Typical monomer loadings are from 20% to 50%. Undesired terminators, especially oxygen, are removed as needed. This is done by evacuation or by purging with an intert gas, such as argon or nitrogen. The initiation is introduced and the reaction brought to the temperature needed for initiation to occur, assuming thermal initiators are used. Alternatively, redox or radiation initiation can be used as desired. The polymerization is allowed to proceed as long as needed for a high level of conversion to be achieved, typically from a few hours to a few days. The solvent is then removed, usually by evaporation or by precipitating the polymer by addition of a nonsolvent. The polymer is further runffed as needed.

By way of example, Polymers I, II and III, described above, are synthesized in the following manner. There are numerous variations on these procedures which are entirely up to the discretion of the synthetic chemist (e.g., choice of degassing method and gas, choice of initiator type, extent of conversion, reaction loading, etc). The choice of initiator and solvent are often determined by the requirements of the particular monomers used, since different monomers have different solubilities and different reactivities to a specific initiator.

Polymer I: Place 10 parts acrylic acid, 70 parts n-butyl-methacrylate, and 20 parts 20K PDMS macromer in a flask. Add sufficient ethyl acetate to produce a final monomer concentration of 40%. Add initiator, benzoyl

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peroxide, to a level of 0.5% by weight relative to the amount of monomer. Evacuate the vessel, and refill with nitrogen. Heat to 60°C and maintain this temperature for 48 hours while agitating. Terminate the reaction by cooling to room temperature, and dry off the ethyl acetate by pouring the reaction mixture into a tefion (RTM) coated pan and placing in a vacuum oven.

Polymer II: Place 20 parts N,N-dimethylacry/amide, 60 parts isobuty/methacrylate, and 20 parts silicone macromer in a reaction vessel fitted with a temperature probe, reflux condenser, inlet port, and argon sparge. Add sufficient toluene to bring the final monomer concentration to 20% by weight. Sparge with argon for 1 to 2 hours. White sparging, heat to 62°C, with a sufficient rate of argon flow to keep the solution mixed. Add initiator, azobisisobuty-molitiel, to a level of 0.25% by weight relative to the weight of monomer present. Monitor the reaction visually, ensuring that no phase separation of reactants occurs during polymerization. If any turbidity is observed, add sufficient warm degassed toluene to eliminate the turbidity. Continue to monitor throughout the reaction. Terminate the reaction after 4 to 6 hours and purify as with Polymer I.

Polymer III: Place 25 parts dimethylaminoethylmethacrylate, 15 parts 2-ethylhexylmethacrylate, 40 parts sobutylmethacrylate, and 20 parts 20K PDMS macromer in a reaction vessel fitted with a mechanical stirre, argon sparge, temperature probe, reflux condenser and inlet port. Add sufficient toluene to bring the final monomer concentration to 30% by weight. Begin staffring and sparge with argon for 2 hours. While sparging, heat to 60°C in a water bath. Add initiator, azobisisobutyronitie, to a level of 0.15% by weight relative to the weight of monomer present. Continue striring and a slow argon sparge and maintain the reaction temperature at 60°C, Allow to react for 24 hours. Terminate the reaction and remove the solvent as with Polymer I.

### Carrier

The compositions of the invention also comprise a carrier, or a mixture of such carriers, which are sultable for application to helf. The carriers are present at from 0.5% to 99.5%, preferably from 0.5% to 99.5%, most preferably from 10.0% to 90.0%, of the composition. As used herein, the phrase "suitable for application to hair" means that the carrier does not damage or negatively affect the aesthetics of hair or cause irritation to skin. Choice of appropriate carrier will also depend on the particular cooplymer to be used, and whether the product formulated is meant to be left on hair (e.g., hair spray, mousse, tonic) or rinsed off (e.g., shampoo, conditioner) after use.

The carriers used herein include solvents, as well as other carrier or vehicle components conventionally used in hair care compositions. The solvent selected must be able to dissolve or disperse the particular allicone copolymer larging used. The nature and proportion of B monomer in the copolymer largiely determines its polarity and solubility characteristics. The silicone copolymers can be designed, by appropriate combination of monomers, for formulation with a wide range of solvents. Suitable solvents for use in the present invention include, but are not limited to, water, lower alcohols (such as ethanol, lsopropanol), hydroalcoholic mixtures, hydrocarbons (such as isobutane, hexane, decene, acetone), halogensted hydrocarbons (such as Freon RTM), linalcol, hydrocarbon esters (such as ethyl acetate, dibutyl phithaltel), volatile silicon derivatives, especially silicoxanes (such as phenyl pentamethyl dislovane, methoxypropyl heptamethyl cyclotetrasiloxane, chioropropyl pentamethyl dislovane, bydroxypropyl pentamethyl dislovane, othoropropyl pentamethyl dislovane, hydroxypropyl pentamethyl dislovane, hydroxypropyl pentamethyl dislovane, bydroxypropyl pentamethyl dislovane, hydroxypropyl pentamethyl dislovane, bydroxypropyl pentamethyl dislovane, byd

Where the hair care compositions are conditioner compositions, the carrier may include gel vehicle materials. This gel vehicle comprises two essential components: alpid vehicle material and a cationic surfactant vehicle material. Cationic surfactant materials are described in detail below. Gel-type vehicles are generally described in the following documents, Barry, "The Self-Bodying Action of the Mixed Emulaifier Sodium Dodecyl Sultata/Cetyl Alcohof", 28 J., of Colloid and Interface Science 82-91 (1986); Barry, et al., "The Self-Bodying Action of Alkyltimetrylammonium Bromides/Catestearyl Alcohol Mixed Emulaifiers; influence of Custernary Chain Length", 35 J. of Colloid and Interface Science 880-70 (1971); and Barry, et al., "Rheology of Systems Containing Cetomacrogol 1000 - Cetostearyl Alcohol, I. Self Bodying Action", 38 J. of Colloid and Interface Science 816-825 (1972).

The vehicles may incorporate one or more lipid vehicle materials which are essentially water-insoluble, and ontain hydrophoble and hydrophilic moleties. Lipid vehicle materials include naturally or synthetically-derived acids, acid derivatives, alcohols, esters, ethems, ketones, and amides with carbon chains of from 12 to 22, pre-ferably from 16 to 18, carbon atoms in length. Fatty alcohols and fatty esters are preferred; fatty alcohols are particularly oreferred.

Lipid vehicle materials among those useful herein are disclosed in <u>Balley's Industrial Oil and Fat Products</u>, (34 edition, D. Swern, ed., 1979), Estity alcohols included among those useful herein are disclosed in the following documents, US-A-3,155,591, Hiffer, Issued November 3, 1984, US-A-4,165,369, Watanabe, et al., is

sued August 21, 1979; US-A-4,269,824, Villiamarin, et al., issued May 26, 1981; BP-A 1,532,585, published November 15, 1976; and Fukushima, et al., "The Effect of Cetosteary Alcohol in Cosmetic Emulsions", 98 cmietics & Tolderine 89-112 (1983). Fatty esters included among those useful herein are disclosed in US-A 3,341,465, Kaufman, et al., issued September 12, 1976. If included in the compositions of the present invention, the lipid vehicle material is present at from 0.7% to 10.0% of the composition; the cationic surfactant vehicle material is present at from 0.7% to 5.0% of the composition;

Preferred esters for use herein include cetyl palmitate and glycerylimonostearate. Cetyl alcohol and stearyl alcohol are preferred alcohola. A particularly preferred lipid vehicle material is comprised of a mixture of cetyl alcohol and stearyl alcohol containing from 55% to 55% (b modern containing from alcohol.)

Preferred vehicles for use in the compositions of the present invention include combinations of hydrophobically-modified hydroxyethyl cellulose materials with thickeners (such as locust bean gum), particular surfactants, quaternary ammonlum compounds (such as ditallowdimethyl ammonium chloride), and/or chelating agents (such as EDTA).

Other carriers, suitable for use with the present invention are, for example, those used in the formulation of tonics, mouses, gels and hair sprays. Tonics, gels and non-aerosol hair sprays utilize a solvent such as water or alcohol while mousess and aerosol hair sprays additionally utilize a propellant such as trichlorofluor-methane, directionally interests and the properties of the pro

### 5 Optional Ingredients

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The hair care compositions of the present invention may be formulated in a wide variety of product types, including mousess, gels, lotions, tonics, sprays, shampoos and conditioners. The additional components required to formulate such products vary with product type and can be routinely chosen by one skilled in the hair care product art. The following is a describtion of some of these additional components.

### Surfactants

Surfactants are preferred optional ingredients in the compositions of the invention, particularly shampoo and conditioner compositions. When present, the surfactant comprises from 0.05% to 50% of the composition. For a shampoo, the level is preferably from 10% to 30%, most preferably from 12% to 25%, of the composition. For conditioners, the preferred level of surfactant is from 0.2% to 3%. Surfactants useful in compositions of the present invention include anoinc, nonlonic, cationic, exittentionic and emphoteric surfactants.

Synthetic anionic detergents useful herein, particularly for shampoo compositions, include sikyi and alkyi ether suffates. These materials have the respective formulae ROSQAM and ROIC\_H\_O),SO<sub>3</sub>M, wherein R is alkyl or alkenyl of from 10 to 20 carbon atoms, x is 1 to 10, and M is a water-souble cation such as ammonium, sodium, potassium and triethanolamine. The alkyl ether sulfates useful in the present invention are condensation products of eithylene oxide and monohyldric alcohols having from 10 to 20 carbon atoms. Preferably, R has from 12 to 18 carbon atoms in both the alkyl and alkyl ether sulfates. The alcohols can be derived from fats, e.g., coconut oil or tallow, or can be synthetic. Lauryl alcohol and straight chain alcohols derived from coconut oil are preferred herein. Such alcohols are reacted with 1 to 10, and especially 3, molar proportions of athylene oxide and the resulting mixture of molecular species, having, for example, an average of 3 moles of ethylene oxide per mole of alcohol, is sulfated and neutralized.

Specific examples of alkyl either sulfates which may be used in the present invention are socium coconut alkyl triethylene glycol ether sulfate; sodium tallow alkyl thethylene glycol ether sulfate; and sodium tallow alkyl hexacxyethylene sulfate. Highly preferred alkyl either sulfates are those comprising a mixture of individual compounds, said mixture having an average alkyl chain length of from 12 to 16 carbon atoms and an average degree of ethoxyidation of from 1 to 4 moises of ethylene coide. Such a mixture also comprises from 0 to 20% by weight of Classical compounds; from 6 to 100% by weight of Classical compounds, from 0 to 20% by weight of Classical compounds from 0 to 20% by weight of Classical compounds having a degree of ethoxylation of 10; from 45 to 90% by weight of compounds having a degree of ethoxylation of from 1 to 4; from 10 to 25% by weight of compounds having a degree of ethoxylation of from 4 to 8; and from 0.1 to 15% by weight of compounds having a degree of ethoxylation or greater than 8.

Another suitable class of anionic surfactants are the water-soluble salts of the organic, sulfuric acid reaction products of the general formula:

wherein R, is chosen from the group consisting of a straight or branched chain, saturated aliphatic hydrocarbon radical having from 8 to 24, preferably 12 to 18, carbon atoms; and M is a cation. Important examples are the salts of an organic sulfurid acid reaction product of a hydrocarbon of the methane series, including los-, nec-, ineso-, and n-paraffins, having 8 to 24 carbon atoms, preferably 12 to 18 carbon atoms and a sulfonating agent, e.g., SO<sub>3</sub>. HySO<sub>2</sub>, eleum, obtained according to known sulfonation methods, including bleaching and hydrolysis. Preferred are attain intell and ammonum sulfonated G<sub>174.4</sub> n-paraffins.

Additional examples of anionic synthetic surfactants which come within the terms of the present invertion are the reaction products of fatty acids esterified with isethionic acid and neutralized with sodium hydroxide where, for example, the fatty acids are derived from coconut oil; sodium or potassium salts of fatty acid amidas of methyl tauride in which the fatty acids, for example, are derived from coconut oil. Other anionic synthetic surfactants of this variety are set forth in US-A 2468.027; US-A 2468.027; US-A 2468.027.

Still other anionic synthetic surfactants include the class designated as succinamates. This class includes such surface active agents as disodium N-catadeoy/sulfosuccinamate; tetrasodium N-(1,2-dicarboxyetity)-Noctadeoy/sulfosuccinamate; clamyl ester of sodium sulfosuccinic acid; dinexyl ester of sodium sulfosuccinic acid; dioctyl esters of sodium sulfosuccinic acid;

Other suitable anionic surfactants utilizable herein are olefin suffonates having 12 to 24 carbon atoms. The term "olefin sulfonates" is used herein to mean compounds which can be produced by the sulfonation of aolefins by means of uncomplexed sulfur thioxide, followed by neutralization of the acid reaction mixture in conditions such that any sultones which have been formed in the reaction are hydrolyzed to give the corresponding hydroxy-alkanesulfonates. The sulfur trioxide can be liquid or gaseous, and is susually, but not necessarily, diluted by inert diluents, for example by liquid SO<sub>2</sub>, chlorinated hydrocarbons, etc., when used in the liquid form, or by ari, nitrogen, osseous SO, etc., when used in the gaseous form.

The α-olefins from which the olefin sulfonates are derived are mono-olefins having 12 to 24 carbon atoms, preferably 14 to 16 carbon atoms. Preferably, they are straight chain olefins. Examples of sultable 1-olefins include 1-dodecenet 1-terracoesne.

In addition to the true alkene sufonates and a proportion of hydroxy-alkanesulfonates, the olefin sufonates can contain minor amounts of other materials, such as alkene disulfonates depending upon the reaction conditions, proportion of reactants, the nature of the starting olefins and impurities in the olefin stock and side reactions during the suffonation process.

A specific  $\alpha$ -olefin sulfonate mixture of the above type is described more fully in the US-A-3,332,880, Pflaumer and Kessler, issued July 25, 1967,.

Another class of anionic organic surfactants are the  $\beta$ -alkyloxy alkane sulfonates. These compounds have the following formula:

where  $R_1$  is a straight chain alkyl group having from 6 to 20 carbon atoms,  $R_2$  is a lower alkyl group having from 1 (preferred) to 3 carbon atoms, and M is a water-soluble cation as hereinbefore described.

Specific examples of  $\beta$ -alkyloxy-alkane-1-sulfonates, or alternatively 2-alkyloxy-alkane-1-sulfonates, having low hardness (calcium ion) sensitivity useful herein include; potassium—2-thoxy-theranessulfonate, sodium 2-met hoxy-theicaenseulfonate, potassium 2-ethoxy-theixaely-sulfonate, sodium 2-isopropoxy-thexaely-sulfonate, lithium 2-t-butxoy-terradeory-sulfonate, sodium  $\beta$ -methoxyoctadeory-sulfonate, and ammonium  $\beta$ -n-propox-vodoex-sulfonate.

Many additional nonsoap synthetic anionic surfactants are described in McCutcheon's <u>Detergents and Emulsifiers</u>, 1984, Annual, published by Allured Publishing Corporation. Also <u>US-A-3,929,678</u>, Laughlin et al., issued <u>December</u> 30, 1975, discloses many other anionic as well as other surfactant types.

Nonionic surfactants, which are preferably used in combination with an anionic, amphoteric or zwitterionic surfactant, can be broadly defined as compounds produced by the condensation of alkylene oxide groups (hy-

drophilic in nature) with an organic hydrophobic compound, which may be aliphatic or alkyl aromatic in nature. Examples of preferred classes of nonionic surfactants are:

- 1. The polyethylene oxide condensates of alkyl phenols, e.g., the condensation products of alkyl phenols having an alkyl group containing from 6 to 12 carbon atoms in either a straight chain or branched chain configuration, with ethylene oxide, the said ethylene oxide being present in amounts equal to from 10 to 60 moles of ethylene oxide per mole of alkyl phenol. The alkyl substituent in such compounds may be derived from polymerized propriene, dislobulydene, octane, or nonane, for example.
- 2. Those derived from the condensation of ethylene oxide with the product resulting from the reaction of propylene oxide and ethylene diamine products which may be varied in composition depending upon the balance between the hydrophobic and hydrophilic elements which is desired. For example, compounds containing from 40% to 80% polyoxyethylene by weight and having a melecular weight of from 5,000 to 1,000 resulting from the reaction of ethylene oxide groups with a hydrophobic base constituted of the reaction product of ethylene diamine and excess propylene oxide, said base having a molecular weight of the oxide of 2,500 to 3,000. are satisfactor.
  - 3. The condensation product of alightatic alcohols having from 8 to 18 carbon atoms, in either straight chain or branched chain configuration, with a thylene oxide, e.g., a coconut alcohol ethylene oxide condensate having from 10 to 30 moles of ethylene oxide per mole of coconut alcohol, the coconut alcohol fraction having from 10 to 14 carbon atoms.
- 4. Long chain tertiary amine oxides corresponding to the following general formula:

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wherein R, contains an alkyl, alkenyl or monchydroxy alkyl radical of from 8 to about 18 carbon atoms, from 0 to 10 ethylene oxide moietles, and from 0 to 1 glycenyl moiety, and R, and R<sub>2</sub> contain from 1 to 3 carbon atoms and from 0 to 1 hydroxy group, e.g., methyl, ethyl, propyl, hydroxyethyl, or hydroxypropyl radicals. The arrow in the formula is a conventional representation of a semiplaci broat Examples of amine oxides suitable for use in this invention include dimbthly-developalmine oxide, oliydi(2-hydroxyethyl) amine oxide, dimethyl-tetradecylamine oxide, ed. 6.9-broxxpetadecyldientylemine oxide, di-hydroxyethyl-tetradecylamine oxide, activate oxide, 2-dodecoxyethyl-di-methylamine oxide, 3-dodecoxy-2-hydroxypropyldi(3-hydroxypropyl)amine oxide, dimethyl-texadecylamine oxide, 2-dodecoxy-2-hydroxypropyldi(3-hydroxypropyl)amine oxide, dimethyl-texadecylamine oxide, 2-dodecoxy-2-hydroxypropyldi(3-hydroxypropyl)amine oxide, dimethylhexadecylamine oxide, 3-dodecoxy-2-hydroxypropyldi(3-hydroxypropyl)amine oxide, dimethylhexadecylamine oxide, activate oxi

5. Long chain tertiary phosphine oxides corresponding to the following general formula:

wherein R contains an alkyl, alkenyl or monohydroxyalkyl radical ranging from 8 to 18 carbon atoms in chain length, from 0 to 10 ethylene oxide moleties and from 0 to 18 giventy molety and R and R" are seach alkyl or monohydroxyalkyl groups containing from 1 to 3 carbon atoms. The arrow in the formula is a conventional representation of a semipolar bond. Examples of salable phosphine oxides are: dodecyldimethylphosphine oxide, tetradecyldimethylphosphine oxide, stare: dodecyldimethylphosphine oxide, tetradecyldimethylphosphine oxide, adoecyd-cyclarycopyolyld-cy

6. Long chain dialkyl sulfoxides containing one short chain alkyl or hydroxy alkyl radical of from 1 to 3 carbon atoms (susually methyl) and one long hydrophobic chain which include alkyl, alkernly, hydroxy alkyl, or keto alkyl radicals containing from 8 to 20 carbon atoms, from 0 to 10 ethylene oxide moieties and from 0 to 1 glyceryl moiety. Exemples include: oxdaecyl methyl sulfoxide, 3 deptividedey methyl sulfoxide, 3,6,9-trix-acctadecyl 2-hydroxyethyl sulfoxide, 10 ethyl sulfoxide, 6,9-trix-acctadecyl 2-hydroxyethyl sulfoxide, 10 ethyl sulfoxide, 3-hydroxy-d-dodecoxybuty methyl sulfoxide, 3-hydroxy-d-dodecoxybuty methyl sulfoxide, 10 ethyl sulfoxide, 3-hydroxy-d-dodecoxybuty methyl sulfoxide.

Catonic surfactants useful in compositions of the present invention, particularly the conditioner compositions, contain amino or quaternary ammonium hydrophilic moieties which are positively charged when dissolved in the aqueous composition of the present invention. Cationic surfactants among those useful herein are disclosed in the following documents. M.C. Publishing Co., McCutheon's, Detergents & Emulsifiers. (North American edition 1979). Schwarzt, et al., Surface Active Agents. Their Chemistry and Technology, New

York; Interscience Publishers, 1949; US-A-3,155,591, Hilfer, issued November 3, 1964; US-A-3,929,678, Laughlin, et al., issued December 30, 1975; US-A-3,959,461, Bailey, et al., issued May 25, 1976; and US-A-4,387,090, Boilch, Jr., issued June 7, 1983. If included in the compositions of the present invention, the cationic surfactant is present at from 0.05% to 5%.

Among the quaternary ammonium-containing cationic surfactant materials useful herein are those of the general formula:



wherein R₁ - R₂ are independently an aliphatic group of from 1 to 22 carbon atoms, or an aromatic, alkoxy, polyoxyalkylene, alkylamido, hydroxyalkyi, aryl or alkylaryl group having from 12 to 22 carbon atoms; and X is an anion selected from halogen, acetate, phosphate, nitrate and alkylsulfate radicals. The aliphatic groups may contain, in addition to carbon and hydrogen atoms, ether linkages, and other groups such as amino groups.

Other quaternary ammonium salts useful herein have the formula:

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wherein R<sub>1</sub> is an aliphatic group having from 16 to 22 carbon atoms, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, and R<sub>6</sub> are selected from hydrogen and alkyl having from about 1 to about 4 carbon atoms, and X is an ion selected from halogen, acetate, phosphate, nitrate and alkyl sulfate radicals. Such quaternary ammonium salts include tallow propane diammonium dichloride.

Preferred quaternary ammonium salts include dialkyldimethylammonium chlorides, wherein the alkyl groups have from 12 to 22 carbon atoms and are derived from long-chain fatty acids, such as hydrogenated tallow fatty acid (tallow fatty acids yield quaternary compounds wherein R1 and R2 have predominately from 16 to 18 carbon atoms). Examples of quaternary ammonium salts useful in the present invention include ditallowdimethyl ammonium chloride, ditallowdimethyl ammonium methyl sulfate, dihexadecyl dimethyl ammonium chloride, di(hydrogenated tailow) dimethyl ammonium chloride, dioctadecyl dimethyl ammonium chloride, dieicosyl dimethyl ammonium chloride, didocosyl dimethyl ammonium chloride, di(hydrogenated tallow) dimethyl ammonium acetate, dihexadecyl dimethyl ammonium chloride, dihexadecyl dimethyl ammonium acetate, ditallow dipropyl ammonium phosphate, ditallow dimethyl ammonium nitrate, di(coconutalkyl) dimethyl ammonium chloride, and stearyl dimethyl benzyl ammonium chloride. Ditallow dimethyl ammonium chloride, dicetyl dimethyl ammonium chloride, stearyl dimethyl benzyl ammonium chloride and cetyl trimethyl ammonium chloride are preferred quaternary ammonium salts useful herein. Di-(hydrogenated tallow) dimethyl ammonium chloride is a particularly preferred quaternary ammonium salt.

Salts of primary, secondary and tertlary fatty amines are also preferred cationic surfactant materials. The alkyl groups of such amines preferably have from 12 to 22 carbon atoms, and may be substituted or unsubstituted. Secondary and tertiary amines are preferred, tertiary amines are particularly preferred. Such amines, useful herein, include stearamido propyl dimethyl amine, diethyl amino ethyl stearamide, dimethyl stearamine, dimethyl sovamine, sovamine, myristyl amine, tridecyl amine, ethyl stearylamine, N-tallowpropane diamine, ethoxylated (5 moles E.O.) stearylamine, dihydroxy ethyl stearylamine, and arachidylbehenylamine. Suitable amine salts include the halogen, acetate, phosphate, nitrate, citrate, lactate and alkyl sulfate salts. Such salts include stearylamine hydrochloride, sovamine chloride, stearylamine formate, N-tallowpropane diamine dichloride and stearamidopropyl dimethylamine citrate. Cationic amine surfactants included amont those useful in the present invention are disclosed in US-A-4,275,055, Nachtigal, et al., issued June 23, 1981,

Zwitterionic surfactants, useful in shampoos as well as conditioners, are exemplified by those which can be broadly described as derivatives of aliphatic quaternary ammonium, phosphonium, and sulfonium compounds, in which the alighatic radicals can be straight or branched chain, and wherein one of the alighatic substituents contains from 8 to 18 carbon atoms and one contains an anionic water-solubilizing group, e.g., carboxy, sulfonate, sulfate, phosphate, or phosphonate. A general formula for these compounds is:

wherein R2 contains an alkyl, alkenyl, or hydroxy alkyl radical of from 8 to 18 carbon atoms, from 0 to 10 ethylene oxide moleties and from 0 to 1 glyceryl mojety; Y is selected from the group consisting of nitrogen, phosphorus, and sulfur atoms; R3 is an alkyl or monohydroxyalkyl group containing 1 to 3 carbon atoms; X is 1 when Y is a sulfur atom, and 2 when Y is a nitrogen or phosphorus atom; R4 is an alkylene or hydroxyalkylene of from 1 to 4 carbon atoms and Z is a radical selected from the group consisting of carboxylate, sulfonate, sulfate, phosphonate, and phosphate groups.

Examples of such surfactants include:

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In this Invention.

- 4-fN.N-di(2-hydroxyethyl)-N-octadecylammoniol-butane-1-carboxylate;
- 5-[S-3-hydroxypropyl-S-hexadecylsulfonio]-3-hydroxypentane-1-sulfate;
- 3-fP.P-diethyl-P-3.6.9-trloxatetradexocylphosphoniol-2-hydroxy-propane-1-phosphate;
- 3-IN.N-dipropyl-N-3-dodecoxy-2-hydroxypropylammoniol-propane-1-phosphonate;
- 3-(N.N-dimethyl-N-hexadecylammonio)propane-1-sulfonate;
- 3-(N,N-dimethyl-N-hexadecylamnonio)-2-hydroxypropane-1-sulfonate;
- 4-[N,N-dl(2-hydroxyethyl)-N-(2-hydroxydodecyl)ammonio]-butane-1-carboxylate;
- 3-IS-ethyl-S-(3-dodecoxy-2-hydroxypropyl)sulfoniol-propane-1-phosphate;
- 3-IP.P-dimethyl-P-dodecylphosphoniol-propane-1-phosphonate; and

5-[N,N-di(3-hydroxypropyl)-N-hexadecylamnonio]-2-hydroxy-pentane-1-sulfate. Other zwitterionics such as betaines are also useful in the present invention. Examples of betaines useful herein include the high alkyl betaines, such as coco dimethyl carboxymethyl betaine, lauryl dimethyl carboxymethyl betaine, lauryl dimethyl alphacarboxyethyl betaine, cetyl dimethyl carboxymethyl betaine, lauryl bis-(2-hydroxyethyl) carboxymethyl betaine, stearyl bis-(2-hydroxypropyl) carboxymethyl betaine, oleyl dimethyl gamma-carboxypropyl betaine, and lauryl bis-(2-hydroxypropyl)alpha-carboxyethyl betaine. The sulfobetaines may be represented by coco dimethyl sulfopropyl betaine, stearyl dimethyl sulfopropyl betaine, lauryl dimethyl sulfoethyl betaine, lauryl bis-(2-hydroxyethyl) sulfopropyl betaine and the like; amidobetaines and amidosulfobetaines, wherein the RCONH(CH<sub>2</sub>)<sub>3</sub> radical is attached to the nitrogen atom of the betaine are also useful

Examples of amphoteric surfactants which can be used in the compositions of the present invention are those which are broadly described as derivatives of aliphatic secondary and tertiary amines in which the aliphatic radical can be straight or branched chain and wherein one of the aliphatic substituents contains from 8 to 18 carbon atoms and one contains an anionic water solubilizing group, e.g., carboxy, sulfonate, sulfate, phosphate, or phosphonate, Examples of compounds falling within this definition are sodium 3-dodecyl-aminopropionate, sodium 3-dodecylaminopropane sulfonate, N-alkyltaurines such as the one prepared by reacting dodecylamine with sodium isethionate according to the teaching of US-A-2,658,072, N-higher alkyl aspartic acids such as those produced according to the teaching of US-A-2.438,091, and the products sold under the trade name "Miranoi" (RTM) and described in US-A-2.528.378.

The above-mentioned surfactants can be used alone or in combination in the hair care compositions of the present invention. The alkyl sulfates, ethoxylated alkyl sulfates and mixtures thereof are preferred for use herein.

The hair care compositions herein can contain a variety of other optional components suitable for rendering such compositions more cosmetically or aesthetically acceptable or to provide them with additional usage benefits. Such conventional optional ingredients are well-known to those skilled in the art, e.g., pearlescent alds, such as ethylene glycol distearate; preservatives, such as benzyl alcohol, methyl paraben, propyl paraben and imidazolidinyi urea: thickeners and viscosity modifiers, such as a diethanolamide of a long chain fatty acid (e.g., PEG 3 lauric diethanolamide), cocomonoethanol amide, dimethicone copolyols, guar gum, methyl cellulose, starches and starch derivatives; fatty alcohols, such as cetearyl alcohol; sodium chloride; sodium sulfate; polyvinyl alcohol; ethyl alcohol; pH adjusting agents, such as citric acid, sodium citrate, succinic acid, phosphoric acid, sodium hydroxide, and sodium carbonate; coloring agents, such as any of the FD&C or D&C dyes; hair oxidizing (bleaching) agents, such as hydrogen peroxide, perborate and persulfate salts; hair reducing agents, such as the thioglycolates; perfumes; sequestering agents, such as disodium ethylenediamine tetra-acetate; and polymer plasticizing agents, such as glycerin and propylene glycol. Such optional ingredients generally

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are used individually at levels of from 0.01% to 10.0%, preferably from 0.05% to 5.0%, of the composition. The pH of the present compositions should be between 3 and 9, preferably between 4 and 8.

As with all compositions, the present invention should not contain components which unduly interfere with the performance of the compositions.

The hair care compositions of the present invention can be made using conventional formulation and mixing techniques. Methods of making various types of hair care compositions are described more specifically in the following examples.

### Method of Use

The hair care compositions of the present invention are used in conventional ways to provide the hair conditioning/styling/hold benefits of the present invention. Such method of use depends upon the type of composition employed but generally involves application of an effective amount of the product to the hair, which may then be rinsed from the hair (as in the case of shampoos and some conditioning products) or allowed to remain on the hair (as in the case of spray, mousse, gel, and tonic products). By "effective amount" is meant an amount sufficient to provide the hair conditioning/styling/hold benefits desired considering the length and texture of the hair, and the type of product used. Preferably, the product is applied to wet or damp hair prior to drying and styling of the hair. After the compositions of the present invention are applied to the hair, the hair is dried and styled in the usual ways of the user.

The following examples further illustrate preferred embodiments within the scope of the present invention. The following table defines the silicone copolymers used in the examples (weight ratios given refer to pro-

	portion added to i	eaction risk).
25	Copolymer #1	10/70/20 acrylic acid/n-butylmethacrylate/sillcone macromer S2, polymer molecular weight about 100,000
	Copolymer #2	10/70/20 dimethylaminoethyl methacrylate/isobutyl methacrylate/silicone macromer S2, polymer molecular weight about 400,000
	Copolymer #3	60/20/20 quaternized dimethylaminoethyl methacrylate/isobutyl methacrylate/silicone macromer S1, polymer molecular weight about 500,000
30	Copolymer #4	40/40/20 acrylic acid/methyl methacrylate/silicone macromer S1, polymer molecular weight about 400,000
	Copolymer #5	10/70/20 acrylic acid/n-butyl methacrylate/silicone macromer S1, polymer molecular weight about 300,000

Copolymer #6	25/65/10 acrylic acid/isopropyl methacrylate/silicone macromer S2, polymer molecular
	weight about 200,000
Copolymer #7	60/25/15 N.N-dimethylacrylamide/methoxyethyl methacrylate/silicone macromer S1.

	polymer molecular weight about 200,000
Copolymer #8	12/64/4/20 N,N-dimethylacrylamide/isobutyl methacrylate/2-ethylhexyl methacrylate/

	i billo macromer o i, polymer molecular weight about cocioco		
40	Copolymer #9	30/40/10/20 dimethylaminoethyl methacrylate/isobutyl methacrylate/2-ethylhexyl me-	
		thacrylate/PDMS macromer S1, polymer molecular weight about 300,000	

80/20 t-butylacrylate/PDMS macromer S2, polymer molecular weight about 150,000 Silicone macromer S1- has a molecular weight of 20,000 and is prepared in a manner similar to Example C-2c of US-A-4,728,571, Clemens, issued March 1, 1988.

Silicone macromer S2- has a molecular weight of 10,000 and is prepared in a manner similar to Example C-2b of US-A-4,728,571, Clemens, issued March 1, 1988.

## EXAMPLE I

50 The following is a hair spray composition representative of the present invention.

	Component	Weight %
55	Silicone Copolymer #4	2.00
		72.90
	Ethanol	,
	Perfume	0.10
	Isobutane propellant	25.00

### FP 0 412 707 R1

This product is prepared by adding the silicone copolymer and perfume to the ethanoi and mixing for several hours until all the polymer is dissolved. This "concentrate" is then placed in encressic cans which are fitted with valves crimped under vacuum and then filled through the valve stem with isobutane dispensed by a pressure filler.

# EXAMPLE II

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The following is a shampoo composition representative of the present invention.

	Component	Weight %
	Styling Agent	
15	Silicone Copolymer #2	1.00
	Chlorpropyl heptamethyl cyclotetrasiloxane	3.00
	Premix	
20	Silicone gum	0.50
	Dimethicone, 350 cs. fluid	0.50
	Main Mix	
	Ammonium lauryl sulfate	11.00
25	Cocamide MEA	2.00
	Ethylene glycol distearate	1.00
	Xanthan gum	1.20
	Kathon (RTM) CG <sup>1</sup>	0.04
30		q.s.
	Citric acid to pH 4.5	4.3.

Double reverse osmosis (DRO) H<sub>2</sub>O

q.s.

1 preservative commercially available from Rohm & Haas

The Styling Agent and Premix are blended separately in a conventional manner. The Main Mix is prepared by first dissolving the xanthan gum in the water with conventional mixing. The remaining Main Mix ingredients are added and the Main Mix is heated to 150° by this agistation for 1/2 hour. The Styling Agent and Premix are then added sequentially with ten minutes agitation between additions, and the entire mixture is stirred while the batch is cooled to room temperature. For varied particle size, the Styling Agent and Premix can be added at different times using either or both high shear mixing (high speed dispersator) or normal agitation.

# 45 EXAMPLE III

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The following is a shampoo composition representative of the present invention.

# EP 0 412 707 B1

	Component	Weight %
5	Ammonium lauryl sulfate	7.00
	Ammonium laureth sulfate	7.00
	Cocamide MEA	2.50
	Silicone Conglymer #3	1.00
10	Natrosol (RTM) 250H <sup>1</sup>	1.00
	Glydant <sup>2</sup> (RTM)	0.37
	DRO H <sub>2</sub> O	q.s.

- hydroxyethyl cellulose commercially available from Aqualon Co.
- 2 preservative commercially available from Glyco, Inc.
- 20 The shampoo is made by first dispersing the Natrosol (RTM) and silicone copolymer in the water for 1 hour with conventional agitation. The remaining ingredients are then added.

# EXAMPLE IV

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The following is a styling rinse composition representative of the present invention.

Component	Weight %
Styling Agent Premix	
Silicone Copolymer #8	2.00
Phenethylpentamethyl disiloxane	6.00

### EP 0 412 707 B1

	Octamethyl cyclotetrasiloxane	3.00
	Xanthan Premix	3.00
5	Xanthan gum	0.25
	DRO H <sub>2</sub> O	25.00
	Main Mix	25.00
10	Dihydrogenated tallow-dimethylammonium	chloride
	(DTDMAC)	0.50
15	EDTA, disodium salt	0.10
	D.C.(RTM) 929 <sup>1</sup>	2.00
	Perfume	0.10
	Poly Surf (RIM) C2	0.75
	Locust bean gum	0.75
20	Kathon (RTM) CG3	0.04
	DRO H <sub>2</sub> O	q.s.
	amodimethicone, commercially available	
25	² hydrophobically- modified hydroxyethyl	cellulose, commercia

2 hydrophobically- modified hydroxyethyl cellulose, commercially available from Aqualon Co.

3 preservative commercially available from Rohm and Haas

The Styling Agent and Xanthan Premixes are blended separately in a conventional manner. The Main Mix is prepared by adding all the ingredients together and heating with agitation to 95°C for 1/2 hour. As the batch is cooled, the Styling Agent and Xanthan Premixes are added at about 60°C with vigorous mixing. The batch is then cooled to ambient temperature.

# 35 EXAMPLE V

The following is a styling rinse composition representative of the present invention.

40	Component	Weight %
	Premix A	
	Silicone Copolymer #3	2.00
	DRO H <sub>2</sub> O	10.00
45	Premix B	
	Silicone Copolymer #4	2.00
	DRO H <sub>2</sub> O	15.00
50	NaOH solution (50%)	0.20
	Main Mix	
	Poly Surf (RTM) C1	1.00

	Stearamide DEA	0.50
	Ethanol	10.00
5	Perfume	0.20
	DRO H <sub>2</sub> O	q.s.
	hydrophobically-modified hydroxyethyl cellule	ose, commercially
10	available from Aqualon Co.	

Both premixes are blended separately in a conventional manner. The Main Mix is prepared by adding all the ingredients together and heating to about 60°C with mixing. The premixes are then added to the Main Mix with agitation for 1/2 hour and the batch is cooled to ambient temperature. Either sodium hydroxide or citric acid, if necessary, is added to adjust composition pH to 6.5.

## EXAMPLE VI

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The following is a hair grooming tonic composition representative of the present invention.

Companent	Weight %
Silicone Copolymer #9	0.70
Perfume	0.10
Ethanol	q.s.

The composition is made by mixing the above components together in a conventional manner.

## EXAMPLE VII

The following is a shampoo composition representative of the present invention.

35	Component	Weight %
30	Ammonium laureth sulfate	7.00
	Cocamido propyl betaine	6.00
	Silicone Copolymer #6	2.00
40	Ethanol .	10.00
	PEG 150 distearate	2.00
	Glydant (RTM) <sup>4</sup>	0.38
45	Perfume	1.00
	DRO H <sub>2</sub> O	q.s.
	1	***

preservative commercially available from Glyco. Inc.

## EXAMPLE VIII

The following is a styling rinse composition representative of the present invention.

The shampoo is prepared by combining the ammonium laureth sulfate (normally supplied as a 28% solution in water) and Silicone Copolymer and heating to 70°C for 1/2 hour with mixing. The remaining ingredients are added and mixed for an additional 1/2 hour. The batch is then cooled to ambient temperature. Composition pH is adjusted to 6.5 by the addition of citric acid or sodium hydroxide, if necessary,

Component	Weight %
Styling Agent	
Silicone Copolymer #5	3.00
Phenylpentamethyl disiloxane	9.00
Premix	
Silicone Gum GE (RTM) SE76	0.50
Decamethyl cyclopentasiloxane	4.00
Main Mix	
Poly Surf (RTM) C2	0.60
Locust bean gum	0.50
EDTA, disodium salt	0.15
DTDMAC	0.65
Glydant <sup>2</sup>	0.40
DRO H <sub>2</sub> O	q.s.
	Styling Agent Silicone Copolymer #5 Phenylpentamethyl disiloxane Premix Silicone Gum GE (RTM) SE76 <sup>4</sup> Decamethyl cyclopentasiloxane Main Mix Poly Surf (RTM) C <sup>2</sup> Locust_bean gum EDTA, disodium salt DTDMAC Glydant3-

- 1 Commercially available from General Electric
- 2 hydrophobically-modified hydroxyethyl cellulose commercially available from Aqualon Co.
- 3 preservative commercially available from Glyco, Inc.

The Styling Agent and Premix are blended separately by conventional means. The Main Mix is prepared by adding all the ingredients and heating to 95°C for 1/2 hour with agitation. As the batch is cooled to 60°C, the Premix and Styling Agent mixes are added to the Main Mix with agitation and the batch is cooled to ambient temperature.

## 35 EXAMPLE IX

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The following is a styling rinse composition representative of the present invention.

	Component	Weight %
40	Styling Agent	

	Silicone Copolymer #10	3.00
_	Octamethyl cyclotetrasiloxane	9.00
5	Premix	
	Silicone Gum (RTM) GE SE76	0.50
	Decamethyl cyclopentosiloxane	4.00
10	Main Mix	
	Poly Surf C (RTM)2	1.25
	Stearamide DEA	0.40
15	DTDMAC	0.50
	Kathon (RTM) CG3	0.03
	Imidazole	0.15
20	Perfume	0.10
	DRO H <sub>2</sub> O	q.s.
	1 Commercially available from General Electric	

- 2 hydrophobically-modified hydroxyethyl cellulose commercially available from Aqualon Co.
- preservative commercially available from Rohm & Haas

The Styling Agent and Premix are blended separately by conventional means. The Main Mix is prepared by adding all the ingredients and heating to 95°C for 1/2 hour with agitation. As the batch is cooled to 60°C, the Premix and Styling Agent mixes are added to the Main Mix with agitation and the batch is cooled to ambient temperature.

## EXAMPLE X

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The following is a cold-wave hair perm composition representative of the present invention.

	Component	Weight %
)	Thioglycolic acid	5.00
	Monoethanol amine	6.00
	Silicone Copolymer #3	1.50
	PEG 10 monostearate	0.50
5	DRO H <sub>2</sub> O	q.s.

The composition is prepared by blending all the ingredients with agitation for 1/2 hour at 60°C and then cooling to ambient temperature.

## EXAMPLE XI

The following is a hair conditioner composition representative of the present invention,

	Component	Weight %
5	Styling Agent Premix	
	Silicone Copolymer #9	1.00
	Phenyl pentamethyl disiloxane	4.00
	Silicone Premix	
10	Silicone gum, GE SE761 (RTM)	0.30
	Octamethyl cyclotetrasiloxane	1.70
	Main Mix	
15	Cetyl alcohol	1.00
	Quaternium 182 (RTM)	0.85
	Stearyl alcohol	0.70
20	Natrosol 250 MBR <sup>3</sup> (RTM)	0.50
	Ceteareth-20	0.35
	Fragrance	0.20
	Dimethicone copolyol	0.20
25	Citric acid	0.13
	Methylchloroisothiazolinone,	
	methyl isothiazolinone	0.04
30	Sodium chloride	0.01
	DRO H <sub>2</sub> O	q.s.
	Commercially available from General Electric	
35	Ditallow quaternary ammonium compound, commercavailable from Sherex	ially
	3 hydroxyethyl cellulose material, commercially a from Aqualon Co.	ivailable

The product is prepared by comixing all the Main Mix Ingredients, heating to 60°C with mixing, and colloid milling down to 45°C. At this temperature, the two premixes are added separately with moderate agitation and the batch allowed to cool to ambient temperature.

## EXAMPLE XII

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The following is a styling gel composition representative of the present invention.

	Component	Weight %
	Silicone Copolymer #7	2.00
5	Carbopol 9401 (RTM)	0.75
	Triethanolamine	1.00
	Dye solution	0.05
10	Perfume	0.10
	Laureth-23	0.10
	DRO H₂O	q.s.

1 cross-linked polyacrylic acid, commercially available from B. F. Goodrich

This batch is made by mixing the listed components together in a conventional manner.

## 20 EXAMPLE XIII

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The following is a hair mousse composition representative of the present invention,

	Component	Weight %
25	Silicone Copolymer #7	3.00
	Ethanol .	15.00
30	Cocamine oxide	0.60
	D.C. 190* (RTM)	0.20
	Cocamide DEA	0.30
	Perfume	0.10
35	Isobutane	7.00
	DRO H₂O	q.s.
		4.0.

dimethicone copolyol, commercially available from Dow Corning

The composition is made by blending all of the ingredients except isobutane at ambient temperature until well mixed. Aluminum aerosci cans are then filled with 95 parts of this betch, affixed with a valve which is crimped into position, and lastly pressure filled with 5 parts isobutane.

## EXAMPLE XIV

The following is a pump hair spray composition representative of the present invention.

	Component	weight %
50	Silicone Copolymer #1	2.50
	Dibutyl phthalate	0.20
	Phenyldimethicone	0.30

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Perfume ·	0.05
Aminomethyl propanol	0.20
Ethanol	0.8.

This composition is made by mixing the listed components together in a conventional manner. When the compositions defined in Examples I-XIV are applied to hair in the conventional manner, they provide effective hair conditioning and styling/hold benefits without leaving the hair with a sticky/stiff feet.

#### Claims

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15 1. A hair care composition characterized in that it comprises:

(a) from 0.1% by weight to 10.0% by weight of a silicone-containing copolymer having a molecular weight of from 10,000 to 1,000.000 comprising a component selected from: a lipophilic low polarity free radically polymerizable viny monomer (A), a hydrophilic polar monomer which is copolymerizable with A (B), and mixtures thereof; together with a silicone-containing macromer (C) having a weight average molecular weight of from 1.000 to 50.000 based on polydimethylistokane selected from

X-Si(R4)3- m Zm

O OH R" 
$$X-C-O-CH_2-CH-CH_2-N-(CH_2)_q-S1(R^4)_{3-m}$$
  $Z_m > and$ 

wherein m is 1, 2 or 3; p is 0 or 1; R" is alkyl or hydrogen; q is an integer from 2 to 6; s is an integer from 0 to 2; X is

R1 is hydrogen or -COOH; R2 is hydrogen, methyl or -CH2COOH; Z is

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an

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and mixtures thereof.

R4 is alkyl, alkoxy, alkylamino, aryl or hydroxyl; and r is an integer from 5 to 700; and wherein the silicone-containing copolymer comprises from 0% to 88% by weight monomer A, from 0% to 98% by weight monomer B, and from 0.1% by weight to 50% by weight monomer C; and (b) from 0.5% by weight to 99.5% by weight of a carrier suitable for application to hair.

- A hair care composition according to Claim 1 characterized in that the silicone-containing copolymer comprises from 5% by weight to 93% by weight monomer A, from 7.5% by weight to 80% by weight monomer B, and from 0.1% by weight to 50% by weight monomer C.
- 3. A hair care composition according to Claim 1 or 2 characterized in that monomer A is selected from acrylic acid esters of C<sub>1</sub>-C<sub>18</sub> alcohols, styrene, polystyrene macromer, vinyl accate, vinyl chioride, vinyl propionate, vinylidene chioride, alpha-methylstyrene, I-butylstyrene, buttadiene, cyclohexadiene, ethylene, propylene, vinyl tolluene, and mixtures thereof, and is preferably selected from n-butylmethacrylate, isobutylmethacrylate, 2-ethylhexylmethacrylate, methylmethacrylate, I-but videar/tate, I-but videar/tate, but vilmethacrylate, and mixtures thereof.
- 4. A hair care composition according to any of Claims 1-3 characterized in that monomer B is selected from acrylic acid, methacrylic acid, N.N-dimethylacrylamide, dimethylaminoethyl methacrylats, quaternized dimethyleminoethyl methacrylate, methacrylamide, N-butyl acrylamide, meleic acid, maleic anhydride, haif esters of maleic anhydride, crotonic acid, liazonic acid, acrylamide, acrylate alcohols, hydroxyethyl methacrylate, diallyldimethyl ammonium chioride, winy privolidone, vinyl ethers, maleimides, winyl pyridine, vinyl imidazole, styrene sulfonate, allyl alcohol, vinyl acohol, vinyl caprolactam, and mixtures thereof; and is preferably selected from acrylic acid, N.N-dimethylacrylamide, dimethylaminoethyl methacrylate, vinyl pyriolitione, and mixtures thereof.
- 5. A hair care composition according to any of Claims 1-4 characterized in that monomer C has the formula

$$\begin{array}{c} 0 \\ X - C - 0 - (CH_2)_q - (0)_p - Si(R^4)_{3^-m}Z_m, \end{array}$$

wherein p = 0 and q = 3, m is 1, r is 250, R4 is alkyl, R1 is hydrogen, and R2 is methyl.

- A hair care composition according to any of Claims 1-5 characterized in that the silicone-containing copolymer is selected from:
- acrylic acid/n-butylmethacrylate/polydimethyisiloxane (PDMS) macromer 20,000 mw (10/70/20); N,N-dimethylacrylamide/isobutyl methacrylate/PDMS macromer 20,000 mw (20/60/20); dimethylaminoethyl methacrylate/isobutyl methacrylate/2-ethylixexyl methacrylate/PDMS macromer -
- 20.000 mv (26/40/15/20), dimethylaminoethyl methacrylate/isobutyl methacrylate/iPDMS macromer 20.000 mv (10/70/20); dimethylaminoethyl methacrylate/isobutyl methacrylate/iSobutyl methacrylate/iSobutyl methacrylate/iSob
- (40/40/20); acrylic acid/methyl methacrylate/PDMS macromer 20,000 mw (40/40/20); acrylic acid/isopropyl methacrylate/PDMS macromer 20,000 mw (25/65/10); N.N-dimethylacrylamide/methoxyethyl methacrylate/PDMS macromer 20,000 mw (60/25/15); dimethylacrylamide/PDMS macromer 20,000 mw (60/20);
- 7. A hair care composition according to any of Claims 1-6 characterized in that it is in the form of a shampoo which additionally comprises from 10% by weight to 30% by weight of a synthetic surfactant, which is preferably selected from alkily sulfates, and mixtures thereof.

- 8. A hair care composition according to any of Claims 1-8 obsraceterized in that it is in the form of a conditioner in which the carrier comprises from 0.1% by weight to 20.0% by weight of a lipid vehicle material, preferably selected from cetyl alcohol, stearyl alcohol, cetyl palmates, glyceryl monostearate, and mixtures thereof; and from 0.05% by weight to 5.0% by weight of a cationic surfactant, preferably a quaternary ammonium surfactant.
- A hair care composition according to any of Claims 1-6 characterized in that it is in a form selected from hair sprays, mousses, hair tonics and gels.
- A method of conditioning and styling hair characterized in that it comprises applying to the hair an effective
  amount of the composition according to any of Claims 1-9.

## Patentansprüche

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1. Haarpflegezusammensetzung, dadurch gekennzeichnet, daß sie

(a) 0,1 Gew.-% bis 10,0 Gew.-% eines Slikon enthaltenden Copolymers mit einem Molekulargewicht von 10.000 bis 1,000.000, welches eine Komponente unfalk, die unter, einem lipophiten frie-hadikalische obylwerisierberen Virylmonomer geringer Polarität (A), einem lydrophilen polaren Monomer, wellsebes mit A copolymerisierbar ist, (B), und Gemischen hievon ausgewählt ist; gemeinsam mit einem Sillkon enthaltenden Makromer (C), welches ein Gewichtsmittel-Molekulargewicht von 1.000 bis 50.000 besitzt, welches auf einem Polydimerhytslikozan beruht, das unter

$$\begin{array}{c} \sum_{v=0}^{1} \sum_{r=0}^{N} \sum_{r=0}^{N}$$

ausgewählt ist, worin m 1, 2 oder 3 bedeutet; p 0 oder 1 ist; R" für Alkyl oder Wasserstoff steht; q eine ganze Zahl von 2 bis 6 ist; s eine ganze Zahl von 0 bis 2 darstellt; X für

steht:

R1 Wasserstoff oder -COOH bedeutet; R2 Wasserstoff, Methyl oder -CH2COOH ist; Z für

steht;

RY Alkyl, Alkoxy, Alkylamino, Aryl oder Hydroxyl bedeutet; und r eine ganze Zahl von 5 bis 700 ist; und worin das Sillkon enthaltende Copolymer 0 Gew.-% bis 98 Gew.-% an Monomer A, 0 Gew.-% bis 98 Gew.-% an Monomer B und 0,1 Gew.-% bis 50 Gew.-% an Monomer C enthält; und

(b) 0,5 Gew.-% bis 99,5 Gew.-% eines Trägers umfaßt, welcher zur Aufbringung auf Haar geeignet Ist.

- Haarpflegezusammensetzung nach Anspruch 1, dedurch gekennzeichnet, daß das Silikon enthaltende Copolymer 5 Gew. % bis 98 Gew. % an Monomer A, 7,5 Gew. % bis 80 Gew. % an Monomer B und 0,1 Gew. % bis 50 Gew. % an Monomer C umfaßt.
- 3. Haarpflegezusammensetzung nach Anspruch 1 oder 2, dadurch gekennzeichnet, daß das Monomer A unter Acrylsäuresetsern von C-Cta-Micholden, Methacrylsäuresetsern von C-Cta-Micholden, Styrol, Polystyrcimakromer, Vinylacetat, Vinylacholnd, Vinylacholndat, Jahlp-Methylstyrol, tert Butylstyrol, Butadien, Cydohexadien, Ethylen, Propylen, Vinyltoluol, und Gemischen hievon ausgewählt list; und vorzugsweise unter n-Butylmethacrylat, te-Ethylhersprintenbacrylat, 2-Ethylhersylmethacrylat, tert. Butylacrylat prita. Butylmethacrylat, und Gemischen hievon ausewählt list.
- 4. Haarpflegazusammensetzung nach einem der Ansprüche 1 bis 3, dadurch gekennzeichnet, daß das Monomer B unter Acrylsäure, Methacrylsäure, N.N-Dimethylacrylamid, Dimethylaminoethylmethacrylat, quaternisiertem Dimethylaminoethylmethacrylat, Methacrylamid, N-tert-Butylacrylamid, Maleinsäure, Maleinsäureanhydrid, Halbester von Maleinsäureanhydrid, Crotonsäure, Itaconsäure, Acrylamid, Aorytalatikoholen, Hydroxyethymethacrylat, Disklydimethylamnoniumchiord, Vinylypyrollion, Vinylethern, Maleinrinden, Vinylypyrollion, Vinylethern, Maleinrinden, Vinylypyrollion, Vinylethern, Maleinrinden, Vinyleyrollion, Vinylethylaminoethylmethacrylat, Dimethylaminoethylmethacrylat, Quaterniad, Dimethylaminoethylmethacrylat, quaternisiertem Dimethylaminoethylmethacrylat, Vinylpyrollion, und Gemischen hievon ausgewählt ist, und vorzugewise unter Acrylsäure, N.N-Dimethylacrylamid, Dimethylaminoethylmethacrylat, Quaterniad (Vinylpyrollion, und Gemischen hievon ausgewählt ist.)
- Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 4, dadurch gekennzeichnet, daß das Monomer C die Formel

$$x - c - c - c - (cH2)q - (o)p - si(R4)3-mzm$$

besitzt, worin p für 0 steht und q 3 ist, m 1 bedeutet, r 250 beträgt, R4 für Alkyl steht, R1 Wasserstoff bedeutet und R2 Methyl darstellt.

- Haarpflegezusammensetzung nach einem der Ansprüche 1 bls 5, dadurch gekennzeichnet, daß das Silikon enthaltende Copolymer unter:
- Acrylsäure/n-Butylmethacrylat/Polydimethylsiloxan (PDMS)-Makromer MG 20.000 (10/70/20);
  - N.N-Dimethylacrylamid/Isobutylmethacrylat/PDMS-Makromer MG 20.000 (20/60/20); Dimethylaminoethylmethacrylat/Isobutylmethacrylat/2-Ethylhexylmethacrylat/PDMS-Makromer - MG 20.000 (28/04/15/20):
  - Dimethylminoethylmethacrylat/Isobutylmethacrylat/IPDMS-Makromer MG 20,000 (10/70/20);
- quaternisiertem Dimethylaminoethylmethacrylat/Isobutylmethacrylat/PDMS-Makromer MG 20.000 (40/40/20);
  - Acrylsäure/Methylmethacrylat/PDMS-Makromer MG 20.000 (40/40/20);
  - Acrylsäure/Isopropylmethacrylat/PDMS-Makromer MG 20.000 (25/65/10);
  - N,N-Dimethylacrylamid/Methoxyethylmethacrylat/PDMS-Makromer MG 20.000 (60/25/15);
- Dimethylacrylamid/PDMS-Makromer MG 20.000 (80/20)
- und Gemischen hievon ausgewählt ist.

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- 7. Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 6, dadurch gekennzeichnet, daß sie in der Form eines Shampoos vorliegt, welches zusätzlich 10 Gew.-% bis 30 Gew.-% eines synthetischen grenzflächenaktiven Mittels enthält, welches vorzugsweise unter Alkylsulfaten, ethoxylierten Alkylsulfaten und Gemischen hievon ausgewählt ist.
- Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 6, dadurch gekennzeichnet, daß sie in der Form eines Konditionierungsmittels vorliegt, worin der Träger 0,1 Gew.-% bis 20,0 Gew.-% eines Lipidträgermaterials, welches vorzugsweise unter Cetylatikhols, Stearylatikhol Cetylpalmital.

Glycerylmonostearat und Gemischen hievon ausgewählt ist; und 0,05 Gew.-% bis 5,0 Gew.-% eines kationischen grenzflächenaktiven Mittels, vorzugsweise ein quaternäres Ammonium-grenzflächenaktives Mittel. enthält.

- Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 6, dadurch gekennzeichnet, daß sie in einer Form vorllegt, die unter Haarsprays, -schäumen, Haartonika und -gelen ausgewählt ist.
- Verfahren zum Haarkonditionieren und -formen, dadurch gekennzeichnet, daß es das Aufbringen einer wirksamen Menge der Zusammensetzung nach einem der Ansprüche 1 bis 9 auf Haar umfaßt.

## Revendications

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1. Composition de soin de cheveux, caractérisée en ce qu'elle comprend;

(a) de 0,1% en poids à 10,0% en poids d'un copolymère contenant un e silicone, ayant un poids moléculaire de 10,000 à 1,000,000, comprenant un composant choisi parmi: un monomère vinylique lipophile de faible polarité, polymérisable par polymérisation radicalaire (A), un monomère poiaire hydrophile copolymérisable avec A (B), et leurs mélanges, ainsi qu'un macromère contenant une silicone (C) ayant un poids moléculaire moven en poids de 1,000 à 50,000 d'un polvdiméthyliskozane chois i parmi

où m est égal à 1, 2 ou 3; p est égal à 0 ou 1; R" est un groupe alkyle ou un atome d'hydrogène; q est un nombre entier de 2 à 6; s est un nombre entier de 0 à 2; X est

R¹ est un atome d'hydrogène ou un groupe -COOH; R² est un atome d'hydrogène, un groupe méthyle ou -CH<sub>2</sub>COOH; Z est

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R<sup>4</sup> est un groupe alkyle, alcoxy, alkylamino, aryle ou hydroxyle; et r est un nombre entier de 5 à 700; et ôù le coppolymère contenant une silicone comprend de 0% à 95% en poids de monomère A, de 0% à 95% en poids de monomère B et de 0,1% en poids à 50% en poids de monomère C; et (b) de 0,5% en poids à 99,5% en poids d'un véhicule convenant à l'application sur les cheveux.

- Composition de soin des cheveux selon la revendication 1, caractérisée en ce que le copolymère contenant une silicone comprend de 5% en poids à 95% en poids de monomère A, de 7,5% en poids à 80% en poids de monomère B et de 0.1% en poids à 50% en poids de monomère C.
- 3. Composition de soin des cheveux selon la revendication 1 ou 2, caractérisée en ce que le monomère A est choisi parmi les esters d'acide acrylique et d'alcools en C<sub>1</sub>-C<sub>1b</sub>, les esters d'acide méthacrylique et d'alcools en C<sub>1</sub>-C<sub>1b</sub>, les esters d'acide méthacrylique et d'alcools en C<sub>1</sub>-C<sub>1b</sub>, le shyrène, un macromère polysityène, le chotrue de vinyle, le propionate de vinyle, le chlorure de vinylidiene, l'ac-méthylstyrène, le t-butylstyrène, le butacliène, le cyclobexacifien, éthylène, le propylène, le vinyloubiene, et leure métianges; et act de préférence hocisi parmi le méthacrylate de n-butyle, le méthacrylate d'acobutyle, le méthacrylate de méthyle, l'acrylate de butyle, le l'achbacrylate de méthyle, l'acrylate de butyle, le l'achbacrylate de méthyle, l'acrylate de butyle, le l'achbacrylate de méthacrylate de l'achbutyle, l'acrylate de butyle, et leurs métiances.
- 4. Composition de soin des cheveux selon l'une quelconque des revendications 1-3, caractérisée en ce que le monomère B est chois jarmil l'acide acrylique, l'acide méthacrylique, le N.N-diméthylacrylamide, le méthacrylate de diméthylaminoéthyle, le méthacrylate de diméthylaminoéthyle quaternisé, le méthacrylate de diméthylaminoéthyle quaternisé, le méthacrylate de diméthylaminoéthyle quaternisé, le méthacrylate l'acide itaconique, l'ezide itaconique, l'acide itaconique, l'acide, la vinylopyricile, le vinylimidazole, las styrène-sulfonate, l'acide acrylique, l'acide out vinylique, le vinylcaprolactame, et leurs mélanges, et est de préférence choisi permi l'acide acrylique, le N.N-diméthylacrylamide, le méthacrylate de diméthylaminoéthyle, l'acide acrylique, le vinylopyricildone, et leurs mélanges.
- Composition de soin des cheveux selon l'une quelconque des revendications 1-4, caractérisée en ce que le monomère C a pour formule;

$$x - c - c - c - c$$

dans laquelle p = 0 et q = 3, m est égal à 1, r est égal à 250, R<sup>4</sup> est un groupe alkyle, R<sup>1</sup> est un atome d'hydrogène et R<sup>2</sup> est un groupe méthyle.

- Composition de soin de chaveux selon l'une quelconque des revendications 1-5, caractérisée en ce que le copolymème contenant une silionce est chois parmi les séquences suivantes: acide acrylique/méthacrylate de n-butyle/macromère polydiméthylsiloxane (PDMS) - P.M. 20.000 5107/0201
- N.N-diméthylacrylamide/méthacrylate d'isobutyle/macromère PDMS P.M. 20.000 (20/60/20); méthacrylate de diméthylaminoéthyle/méthacrylate d'isobutyle/méthacrylate de 2-éthylhexyle/macro
  - mère PDMS P.M. 20.000 (25/40/15/20); méthacrylate de diméthylaminoéthyle/méthacrylate d'isobutyla/macromère PDMS - P.M. 20.000 (10/70/20);
- 56 méthacrylate de diméthylamincéthyle quaternisé/méthacrylate d'isobutyle/macromère PDMS P.M. 20.000 (40/40/20);
  - acide acrylique/méthacrylate de méthyle/macromère PDMS P.M. 20.000 (40/40/20); acide acrylique/méthacrylate d'isopropyle/macromère PDMS P.M. 20.000 (25/65/10);

N,N-diméthylacrylamide/méthacrylate de méthoxyéthyle/macromère PDMS - P.M. 20.000 (60/25/15); diméthylacrylamide/macromère PDMS - P.M. 20.000 (80/20); et leurs mélanges.

- 7. Composition de soin des cheveux selon l'une quelconque des revendications 1-6, caractérisée en ce qu'elle se trouve sous la forme d'un shampooing qui comprend, en outre, de 10% en poids à 30% en poids d'un tensioactif synthétique, qui est de préférence choisi parmi les alkylsulfatas, les alkylsulfatas éthoxylés, et leurs mélanges.
- 8. Composition de soin des cheveux seion l'une quelconque des revendications 1-6, caractérisée en ce qu'elle se trouve sous la forme d'un conditionneur dans lequel le véhicule comprend de 0,1% en poids à 20,0% en poids d'un véhicule, choisi de préférence parmi l'alcool détylique, l'alcool stérylique, le palmitate de détyle, le monostéarate de glycéryie, et leurs mélanges; et de 0,05% en poids à 5,0% en poids d'un tensloactif cationique, de préférence d'un tensloactif d'ammonium quaternaire.
- Composition de soin des cheveux selon l'une quelconque des revendications 1-6, caractérisée en ce qu'elle se trouve sous une forme choisie parmi les laques, mousses, toniques et gels pour les cheveux.
  - 10. Procédé de conditionnement et de coiffage des cheveux, caractérisé en ce qu'il comprend l'application sur les cheveux d'une quantité efficace de la composition selon l'une quelconque des revendications 1-9.

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Haaroflege- und Fixierungsmittel

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HS-A- 4 834 972

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#### Description

[0001] The present invention relates to hair care compositions which have improved hair conditioning and style retertion properties while still leaving the hair with a natural non-sticky feel. These compositions utilize a group of specific silicone macromer-containing occolymers.

[0002] The desire to have hair retain a particular shape is widely held. Such style retention is generally accomplished by either of two routes: permanent chemical alteration or temporary alteration of heir style/shape. A removary alteration is one which can be removed by water or by shampooing. Temporary style alteration has generally been accomplished by means of the application of a composition to dampened hair after shampooing and/or conditioning and prior to drying and/or styling. The materials used to provide setting benefits have generally been resins or gume and have been applied in the form of mousses, gels, lottors, or sprays. This approach presents several slightfloat drawbacks the user. It requires a separate step following shampoing/conditioning to apply the styling composition. In addition, since the style hold is provided by resin materials which set-up on the hair, the hair tends to feel sticky or stiff after application and it is difficult to restly the hat all without turher application of the styling composition.

15 (0033] It has now been discovered that hair care compositions comprising certain specifically-defined silicone macromer-containing copolymers provide excellent hair style retention benefits, together with hair conditioning. The compositions may be in any of the conventional forms including, but not limited to, shampoos, conditioners, hair sprays, tonics, lotions, gets, and mousses. The compositions provide these benefits to hair without leaving the hair with a stiff or sticklyfucky feel and without negatively affecting dry hair properties, such as ease of combing. Further, hair to have to empositions of the present invention have been applied may be restyled several times without requiring reapplication of the compositions.

[0004] The results are surprising since other materials which have been typically used in hair care compositions to provide style retention, such as resins and gums, generally hurt dry hair properties (e.g., combing) and leave hair with a sticky and/or stiff feel. Furthermore, silicone materials typically used for hair conditioning tend to hurt style retention. 25 [0005] Siloxanes (see, for example, US-A-3.208,911, Opplicer, issued September 28, 1965) and siloxane-containing polymers have been taught for use in hair conditioning compositions. US-A-4,601,902, Fridd et al., issued July 22, 1986, describes hair conditioning or shampoo/conditioner compositions which include a polydiorganosiloxane having quaternary ammonium substituted groups attached to the silicon, and a polydiorganosiloxane having silicon-bonded substituents which are amino-substituted hydrocarbon groups. US-A-4,654,161, Kollmeier et al., issued March 31, 1987, describes a group of organopolysiloxanes containing betaine substituents. When used in hair care compositions, these compounds are said to provide good conditioning, compatibility with anionic components, hair substantivity, and low skin irritation. US-A-4,563,347, Starch, issued January 7, 1986, relates to hair conditioning compositions which include siloxane components containing substituents to provide attachment to hair. JP-A-56-129,300, Lion Corporation, published October 9, 1981, relates to shampoo conditioner compositions which include an organopolysiloxaneoxy-35 alkylene copplymer together with an acrylic resin. US-A-4,479,893, Hirota et al., issued October 30, 1984, describes shampon conditioner compositions containing a phosphate ester surfactant and a silicon derivative (e.g., polyether- or alcohol-modified siloxanes). Polyether-modified polysiloxanes are also disclosed for use in shampoos in US-A-3,957,970, Korkis, issued May 18, 1976. US-A-4,185,087, Morlino, issued January 22, 1980, describes quaternary nitrogen derivatives of trialkylamino hydroxy organosilloon compounds which are said to have superior hair conditioning

40 properties.
[3006] Slioxane-derived materials have also been used in hair styling compositions. JP-A-56-092,811, Lion Corporation, published December 27, 1979, describes hair setting compositions which comprise an amphateria paryliar resin, a polyoxyalikylene-denatured organopolysiloxane, and polyethylene glyce. US-A-74-4978, hornan et al., issued way 17, 1988, describes hair styling compositions (such as hair sprays) which include ptyliamon et al. issued styling compositions which include ptyliagnosiloxane and a cattorio organic polymer are attaught in US-A-473.8.77, Ge et al., issued March 29, 1988, and US-A-4.724,851, Comwall et al., issued Fabruary 16, 1988. Finally, EP-A-117,360, Cartrill et al., published September 5, 1984, discloses compositions, containing a slowane polymer having at least one and a hair styling at least one and

[0077] Slioxane-containing polymers have also been used in non-hair care applications. US-A-4.136.250, Mueller et al., issued January 23, 1979, relates to polymeric materials used in biological contexts where oxygen permeable and issue compatible membranes are required. They can also be used as carriers for biologically-active substances. These materials are hydrophilic water-insoluble gels which include a low molecular weight terminal oleffinic siloxane micromer and a polymer containing water-soluble monocletinic monomer. US-A-4.93.95. Mazurek, issued September 15, 1987, describes pressure sensitive adhesive compositions which include a copolymer with a viryl polymeric backbone having grafted thereto polysiloxane moleties. US-A-4,728.571, Clemens et al., issued March 1, 1988, relates to adhesive release coating compositions which comorpies polysiloxane-grafted coopylmers and blends of those copolymers.

with other polymeric materials. None of these last three patents suggest the use of the disclosed siloxane-containing polymers in hair care compositions.

[0008] It is an object of the present invention to formulate hair care compositions which provide effective hair conditioning and style retention properties.

[0009] It is also an object of the present invention to formulate hair care compositions which provide conditioning and style retention from a single composition. [0010] It is a further object of the present invention to formulate heir care compositions which provide good style reten-

tion without leaving hair with a stiff or sticky/tacky feel.

[0011] It is a further object of the present invention to provide an improved method for styling and conditioning hair.

[0012] These and other objects will become readily apparent from the detailed description which follows.

[0013] Unless otherwise Indicated, all percentages and ratios herein are by weight.

[0014] The present invention relates to hair care compositions comprising

(a) from 0.1% to 10.0% by weight of a copolymer, having a molecular weight of from 10.000 to 1,000,000, which has a virryl polymeric backbone having grafted to it monovalent siloxane polymeric moleties, said copolymer being derived by polymerization of C monomers and components selected from the group consisting of A monomers, B monomers, and mixtures thereof wherein:

A is at least one free radically polymerizable vinyl monomer, the amount by weight of A monomer, when used, being up to 98% of the total weight of monomers used in making said copplymer:

B is at least one reinforcing monomer copolymerizable with A, the amount by weight of B monomer, when used, being up to 98% of the total weight of monomers used in making said copolymer, said B monomer being selected from polar monomers and macromers, preferably having a Tig or a Tm above -20°C; and

C is a polymeric monomer having a molecular weight of from 1,000 to 50,000 and the general formula

X(Y),Si(R)2m(Z)m wherein

X is a vinyl group copolymerizable with the A and B monomers

Y is a divalent linking group

R is a hydrogen, lower alkyl, arvi or alkoxy

Z is a monovalent siloxane polymeric moiety having a number average molecular weight of at least 500, is essentially unreactive under copolymerization conditions, and is pendant from said vinyl polymeric backbone after copolymerization.

n is 0 or 1 m is an integer from 1 to 3

wherein C comprises from 0.01% to 50% of the total weight of monomers used in making said copolymer;

(b) from 0.5% to 99.5% by weight of a carrier suitable for application to hair.

[0015] The essential, as well as the optional, components of the present invention are described below.

#### Silicone-Containing Copolymer

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48 [0016] The compositions of the present invention contain from 0.1% to 10.0% by weight, preferably from 0.5% to 8.0% by weight, of specifically-defined silicone-containing copolymers. It is these polymers which provide the unique hair conditioning and hair setting characteristics of the present invention. The polymers should have a weight average molecular weight of from 10,000 to 1,000,000 (preferably from 30,000 to 300,000) and, preferably, have a Tg of at least <-20°C. As used herein, the abbreviation "Tg" refers to the glass transition temperature of the non-silicone backbone, so and the abbreviation "Tm" refers to the crystalline melting point of the non-silicone backbone, if such a transition exists for a given polymer.</p>

[0017] In its broadest sense, the polymers useful in the hair care compositions of the present invention include all properly defined copolymers of silicone with a non-silicone adhesive polymer. To be useful such copolymers should satisfy the following bur criteria:

- (1) when dried the copolymer phase-separates into a discontinuous phase which includes the silicone portion and a continuous phase which includes the non-silicone portion;
- (2) the silicone portion is covalently attached to the non-silicone portion:

(3) the molecular weight of the silicone portion is from 1,000 to 50,000; and

(4) the non-silicone portion must render the entire copolymer soluble or dispersible in the hair care composition vehicle and permit the copolymer to deposit on hair.

8 [0018] The most preferred polymers comprise a virty polymeric backbone, preferably having a Tg or a Tm above - 20°C and, grafted to the backbone, a polydimethylsioxane macromer having a weight average molecular weight of from 1,000 to 50,000, preferably from 5,000 to 40,000, most preferably about 20,000. The polymer is such that when it is formulated into the finished hair care composition, when dried, the polymer phase separates into a discontinuous phase which includes the polydimethylsiloxane macromer and a continuous phase which includes the backbone. It is believed that this phase separation property provides a specific orientation of the polymer on hair which results in the desired hair conditioning and setting benefits. The phase-separating nature of the compositions of the present invention may be determined as follows:

[0019] The polymer is cast as a solf film out of a good solvent (i.e., a solvent which dissolves both the backbone and the silicone). This film is then sectioned and examined by transmission electron microgarby. Microphase separation is 15 demonstrated by the observation of inclusions in the continuous phase. These inclusions should have the proper size to match the size of the silicone chain (typically a few hundred nm or less) and the proper density to match the amount of silicone present. This behavior is well documented in the literature for polymers with this structure (see, for example, S. D. Smith, Ph. D. Thesis, University of Virginia, 1997, and references cited therein).

[0020] A second method for determining phase-separating characteristics involves examining the enrichment of the concentration of silicone at the surface of a polymer film relative to the concentration in the bulk polymer. Since the silicone prefers the low energy air interface, it, preferentially orients on the polymer surface. This produces a surface which is entirely covered by silicone even when the concentration of the silicone by weight in the whole polymner is low (2% to 20%). This is demonstrated experimentally by ESCA (electron spectroscopy for chemical nashleyis) of the drief may surface. Such an analysis shows a high level of silicone and a greatly reduced level of backbone polymer when the film set under one sharped in the surface is analysed. (Surface here means the first lew tens of Angstroms of film thickness.) By verying the angle of the interrogating beam the surface can be analysed to varying depths.

[0021] Examples of useful copolymers and how they are made are described in detail in US-A-4,893,935, Mazurek, issued September 15, 1987, and US-A-4,728,571, Clemens et al., issued March 1, 1988. These copolymers are comprised of monomers A, C and, optionally, B, which are defined as follows. A is at least one free radically polymerizable so viryl monomer or monomers. B, when used, comprises at least one reinforcing monomer copolymerizable with A and is selected from the group consisting of polar monomers and macromers having a Tg or a T mabove-20°C. When used, B may be up to 99%, preferably up to 80%, more preferably up to 20%, of the total monomers in the copolymer. Monomer C comprises from 0.01% to 50.0% of the total monomers in the copolymer.

[0022] Representative examples of A (hydrophobic) monomers are acrylic or methacrylic acid esters of Cr<sub>1</sub>O<sub>18</sub> alcobols, such as methanol, ethanol, methoys themol, 1-propanol, 2-propanol, 1-butanol, 2-methyl-1-propanol, 1-predanol, 2-predanol, 2-predanol,

49 [0023] Representative examples of 8 monomers (hydrophilic) include acrylic acid, methacrylic acid, NN-dimethylacrylamide, dimethylaminosthyl methacylatide, orlicative acid methacylamide, the methacylamide, Nt-dimethylacrylamide, methacylamide, National acid, maleic acid, winy laminacid, maleic acid, maleic acid, acid, winy laminacid, maleic acid, aci

X(Y),Si(R)3,mZm

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wherein X is a vinyl group copolymerizable with the A and B monomers; Y is a divalent linking group; R is a hydrogen, lower alkyl, aryl or alkoxy; Z is a monovalent siloxane polymeric moiety having a number average moiecular weight of

at least 500, is essentially unreactive under copolymerization conditions and is pendant from the vinyl polymeric backbone, described above; n is 0 or 1; and n is an integer from 1 to 3. C has a weight average molecular weight of from 1.000 to 50,000, preferably from 5,000 to 40,000, most preferably from 10,000 to 20,000. Preferably, the C monomer has a formula selected from the following group:

0 (a preferred monomer, 
$$X - C - O - (CH_2)_q - (0)_p - Si(R^4)_{3-m} Z_m$$
 (a preferred monomer, particularly preferred when  $p = 0$  and  $q = 3$ )

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dE

35 [0025] In those structures, m is 1, 2 or 3 (preferably m = 1); p is 0 or 1; R" is alkyl or hydrogen; q is an integer from 2 to 6; s is an integer from 0 to 2; X is

 $R^1$  is hydrogen or -COOH (preferably  $R^1$  is hydrogen);  $R^2$  is hydrogen, methyl or -CH<sub>2</sub>COOH (preferably  $R^2$  is methyl); 7 is

 $R^4$  is alkyl, alkoxy, alkylamino, aryl, or hydroxyl (preferably  $R^4$  is alkyl); and r is an integer from about 5 to about 700 (preferably r is about 250).

10026] The polymers of the present invention generally comprise all percentages being expressed by weight of the total weight of monomers used in making the copolymer from 0% to 98% (preferably from 5% to 98%, more preferably from 50% to 90%) of monomer A, from 0% to 98% (preferably from 7.5% to 80%) of monomer B, and from 0.1% to 55% (preferably from 0.5% to 40%, most preferably from 2% to 25%) of monomer C. The combination of the A and B moners preferably comprises from 50.0% to 99% (more preferably 60% to 99%, most preferably from 75% to 95%) of

the polymer. The composition of any particular copolymer will help determine its formulational properties. In fact, by appropriate selection and combination of particular A. B and C components, the copolymer can be optimized for inclusion in specific vehicles. For example, polymers which are soluble in an aqueous formulation preferably have the composition: from 0% to 70% (preferably from 5% to 70%) monomer A, from 30% to 98% (preferably from 30% to 80%) monomer B, and from 1% to 40% monomer C. Polymers which are dispersible have the preferred composition: from 0% to 70% (more preferably from 5% to 70%) monomer A, from 20% to 80% (more preferably from 20% to 60%) monomer B, and from 1% to 40% monomer C.

[0027] In one aspect of the present invention, the polymers comprise from 5% to 98% A monomer, from 0.01% to 50% C monomer, and from 0% to 98% B monomer. In these polymers, it is preferred that A be selected from t-butyl acr-10 ylate, t-butyl methacrylate, and mixtures thereof, since such polymers can be dissolved directly in cyclomethicone solvents without requiring co-solvents. This is surprising in view of US-A-4.693,935 (Mazurek) and US-A-4.728,571 (Clemens et al), which suggest that tertiary alcohols are not suitable A monomers.

[9028] Particularly preferred polymers for use in the present invention include the following (the weight percents below refer to the amount of reactants added in the polymerization reaction, not necessarily the amount in the finished poly-15 mer):

	acrylic acid/n-butylmethacrylate/(polydimethylsiloxane (PDMS) macromer-20,000 molecular weight) (10/70/20 w/w/w)	(1)
20	N,N-dimethylacrylamide/isobutyl methacrylate/(PDMS macromer - 20,000 molecular weight) (20/60/20 w/w/w)	(11)
	N,N-dimethylacrylamide/isobutyl methacrylate/2-ethylhexyl methacrylate/(PDMS macromer - 10,000 molecular weight) (10.5/56/3.5/30 w/w/w/w)	(III)
25	N,N-dimethylacrylamide/(PDMS macromer - 20,000 molecular wt) (80/20 w/w)	(IV)
	t-butylacrylate/t-butyl methacrylate/(PDMS macromer - 10,000 molecular wt) (56/24/20 w/w/w)	(V)
30	t-butylacrylate/(PDMS macromer-10,000 molecular wt) (80/20 w/w)	(IV)
	t-butylacrylate/N,N-dimethylacrylamide/(PDMS macromer - 10,000 molecular weight) (70/10/20)	(VII)
36	t-butylacrylate/acrylic acid/(PDMS monomer - 10,000 molecular weight) (75/5/20)	(VIII)

[0029] The silicone-containing copolymers described above are synthesized as follows.

[0030] The polymers are synthesized by free radical polymerization methods, the general principles of which are well understood. See, for example, Odian, "Principles of Polymerization", 2nd edition, John Wiley & Sons, 1981, pp. 179-318. The desired monomers are all placed in a reactor, along with a sufficient amount of a mutual solvent so that when 40 the reaction is complete the viscosity of the reaction is reasonable. Typical monomer loadings are from 20% to 50%. Undesired terminators, especially oxygen, are removed as needed. This is done by evacuation or by purging with an inert gas, such as argon or nitrogen. The initiator is introduced and the reaction brought to the temperature needed for initiation to occur, assuming thermal initiators are used. Alternatively, redox or radiation initiation can be used as desired. The polymerization is allowed to proceed as long as needed for a high level of conversion to be achieved, typ-45 ically from a few hours to a few days. The solvent is then removed, usually by evaporation or by precipitating the polymer by addition of a nonsolvent. The polymer is further purified, as needed.

[0031] By way of example, Polymers I, II and III, described above, are synthesized in the following manner. There are numerous variations on these procedures which are entirely up to the discretion of the synthetic chemist (e.g., choice of degassing method and gas, choice of initiator type, extent of conversion, reaction loading, etc). The choice of initiator 50 and solvent are often determined by the requirements of the particular monomers used, since different monomers have different solubilities and different reactivities to a specific initiator.

[0032] Polymer I: Place 10 parts acrylic acid, 70 parts n-butylmethacrylate, and 20 parts 20K PDMS macromer in a flask. Add sufficient ethyl acetate to produce a final monomer concentration of 40%. Add initiator, benzoyl peroxide, to a level of 0.5% by weight relative to the amount of monomer. Evacuate the vessel, and refill with nitrogen. Heat to 60°C and maintain this temperature for 48 hours while acitating. Terminate the reaction by cooling to room temperature, and dry off the ethyl acetate by pouring the reaction mixture into a teflon-coated pan and placing in a vacuum oven.

[0033] Polymer II: Place 20 parts N,N-dimethylacrylamide, 60 parts isobutylmethacrylate, and 20 parts silicone macromer in a reaction vessel fitted with a temperature probe, reflux condenser, inlet port, and groon sparge. Add sufficient

toluene to bring the final monomer concentration to 20% by weight. Sparge with argon for 1 to 2 hours. While sparging, heat to 62°C in a water bath. Add initiator, arobisisobutyronitrie, to a level of 0.25% by weight relative to the weight of monomer present. Maintain temperature at 62°C, with a sufficient rate of argon flow to keep the solution mixed. Monitor the reaction visually, ensuring that no phase separation of reactants occurs during polymerization. If any turbidity is observed, add sufficient warm degassed toluene to eliminate the turbidity. Continue to monitor throughout the reaction. Terminate the reaction after 4 to 6 hours and purify as with Polymer I.

[0034] Polymer III: Place 10.5 parts NN-dimethylmetherrylamide, 55 parts isobulyl methacrylate, 3.5 parts 2-ethylhexylmethacrylate, and 30 parts 10x PDMS macromer in a reaction vessel filted with an argon parge, temperature probe, reflux condenser and inlet port. Add sufficient toluene or isopropanol to bring the final monomer concentration to 20% by weight. Begin sitinring and sparge with argon for 1 hour. While sparging, heat to 60°C in a water bath. Add nitiation, accidisobutyrontrile, to a level of 0.25% (if foluene is the solvent) or 0.125% (if isopropanol is the solvent) by weight relative to the weight of monomer present. Continue stirring and a slow argon sparge and maintain the reaction temperature at 60°C. Allow to peace for 6 hours. Terminate the reaction and remove the solvent as with Polymer II.

[0035] The compositions of the Invention also comprise a carrier, or a mixture of such carriers, which are suitable for application to hair. The carriers are present after non .5% to 99.5%, preferably from .50% to 99.5%, most preferably from 10.0% to 90.0% by weight, of the composition. As used herein, the phrase "suitable for application to hair" means that carrier does not damage or negatively affect the easthetics of hair or cause irritation to skin. Choice of appropriate solvent will also depend on the particular copolymer to he used, and whether the product formulated is meant to be left on hair (e. a. hair soraw mouses tonic) or rinsect off (e. a. shamono, conditioner) after use.

10036] The carriers used herein include solvents, as well as other carrier or vehicle components conventionally used in hair care compositions. The solvent selected must be able to dissolve or disperse the particular silicone copolymer being used. The nature and proportion of B monomer in the copolymer largely determines its polarity and solubility characteristics. The silicone copolymers can be designed, by appropriate combination of monomers, for formulation with a wide range of solvents. Suitable solvents for use in the present invention include, but are not limited to water, solven alcohols (such as ethanol, isopropanol), hydroalcoholic mixtures, hydrocarbons (such as isobutane, hexane, decene, acetone), halogenated hydrocarbons (such as Freor (RTM)), linaloot, hydrocarbons eres (such as ethanol, educane, acetone), hydropared hydrocarbons (such as resor (RTM)), linaloot, hydrocarbons eres (such as ethanol, educane), acetopared hydrocarbons (such as resor (RTM)), linaloot, hydrocarbons eres (such as ethanol, educane), acetopared hydrocarbons contactly disiloxane, methoxypropyl hetamethyl dydiotexales (such as resort, and mixtures thereof. Preferred solvents include water, ethanol, volatile silicon derivatives, and mixtures thereof. The solvents used in such mixtures may be miscible or immiscible with each other.

[0037] Where the hair care compositions are conditioner compositions, the carrier may include gel vehicle materials. This gel vehicle comprises two essential components: a lipid vehicle material and a cationic surfactant vehicle material. Cationic surfactant materials are described in detail below. Gel-type vehicles are generally described in the following 5 documents: Barry. The Self Bodying Action of the Mixed Emulsifier Sodium Dodecyl Sulfate/Cetyl Alcohol\*, 28 J. of Colloid and Interface Science 82-91 (1986); Barry, et al., The Self-Bodying Action of Altytrimethylammonium permides/Cetostearyl Alcohol Mixed Emulsifiers; Influence of Quaternary Chain Length\*, 35 J. of Colloid and Interface Science 889-708 (1971); and Barry, et al., "Rheology of Systems Containing Cetomacrogol 1000 - Cetostearyl Alcohol, I. Self Bodying Action\*, 38 J. of Colloid and Interface Science 16-625 (1972).

40 [0038] The vehicles may incorporate one or more lipid vehicle materials which are assentially water-insoluble, and contain hydrophobic and hydrophilic moieties. Lipid vehicle materials include naturally or synthetically-derived acids, acid derivatives, alcohols, setters, eithers, ketones, and amides with carbon chains of from about 12 to about 22, preferably from about 16 to about 18, carbon atoms in length. Fatty alcohols and fatty esters are preferred; fatty alcohols are particularly preferred.

[0039] Lipid vehicle materials among those useful herein are disclosed in Ballet's Industrial Oil and Fat Products, (20d-edition, D. Swern, ed., 1979). Fatty alcohols included among those useful herein are disclosed in the following documents: US-A-3,155,591, Hilter, issued November 3, 1964; US-A-4,155,269, Watanabe, et al., issued August 21, 1979; US-A-4,269,824, Villamatrin, et al., issued May 26, 1981; GB-A-1,532,285, published November 15, 1976; and Fulvathina, et al., "The Effect of Cetoteary! Alcohol in Cosmetic Emulsions," 98 Cognetics & Tolleties 89-112 (1983) et al., issued September 12, 1976; in Clouded among those useful herein are disclosed in US-A-3,341,455, Kaufman, et al., issued September 1976. If included inthe compositions of the present invention, the lipid vehicle material is present at from 0.1% to 10% by weight of the composition; the cationic surfactant vehicle material is present at from 0.05% to 5.0% by weight of the composition;

[0040] Preferred setiers for use herein include cetyl palmitate and glycerylmonostearate. Cetyl alcohol and steeryl alcohol are preferred alcohols. A particularly preferred lipid vehicle material is comprised of a mixture of cetyl alcohol and steeryl alcohol containing from 55% to 55% (by weight of mixture) of cetyl alcohol.

[0041] Preferred vehicles for use in the compositions of the present invention include combinations of hydrophobically-modified hydroxyethyl cellulose materials with thickeners (such as locust bean gum), particular surfactants, qua-

ternary ammonium compounds (such as ditallowdimethyl ammonium chloride), and/or chelating agents (such as EDTA).

1,0042] Other carriers, suitable for use with the present invention are, for example, those used in the formulation of oncies, mousses, gels and not-specios hair sprays utilize a solvent such as water or alcohol while mousses and aerosol hair sprays possed hair prays utilize a solvent such as water or alcohol while mousses and aerosol hair sprays additionally utilize a propellant such as trichlorofluoromethane, dichlorodif-luoromethane, dimethylether, propane, n-butane or isobutane. A tonic or hair spray product having a low viscosity may also require an emulalitying agent to keep the sillcone copolymer homogeneously dispersed in solution. Examples of suitable emulsifying agents include nonionic, cationic, anionic surfactants, or mixtures thereof. If such an entactyring agent is used, it is present at a level of from QuSW to 7.5% by weight of the composition. The level of propellant can be adjusted as desired but is generally from 5% to 30% by weight of mousse compositions and from 15% to 50% by weight of the aerosol hair spray compositions.

[0043] The hair care compositions of the present invention may be formulated in a wide variety of product types, including mousses, gles, lotions, tonics, sprays, shampoos and conditioners. The additional components required to formulate such products vary with product type and can be routinely chosen by one skilled in the hair care product art.

The following is a description of some of these additional components.

[0044] Surfactants are preferred optional ingradients in the compositions of the invention, particularly shampoo and conditioner compositions. When present, the surfactant comprises from 0.05% to 50% by weight of the composition. For a shampoo, the level is preferably from 10% to 30%, most preferably from 12% to 25% by weight, of the composition. For a shampoo, the level is preferably from 10% to 30%, most preferably from 12% to 25% by weight. Surfactants useful in compositions of the present invention include anionic, nonlinic, casionic, switterionic and amothetic surfactants useful in compositions.

20 of the present invention include amonic, nombine, cathonic, avination can't amphoteric surrications, include allyl and allyl ether sulfates. These materials have the respective formulae ROSO<sub>3</sub>M and RO(C<sub>2</sub>H<sub>4</sub>O),8O<sub>3</sub>M, wherein it is allyl or alkenyl of from about 10 to about 20 carbon atoms. It is 1 to 10, and M is a water-soluble cation such as ammonium, softium, potassium and triethanolamine. The allyl ether sulfates useful in the present invention are condensation products of ethylene oxide and monohydric alcohols having from about 10 to about 20 carbon atoms. Preferably, R has from about 12 to about 18 carbon atoms in both the allyl and allyl ether sulfates. The alcohols can be derived from lats, e.g., occonut oil or tallow, or can be synthetic. Lauryl alcohol and straight chain alcohols derived from coconut oil are preferred herein. Such alcohols care reacted with about 1 to about 10, and a proprious of ethylene oxide and the resulting mixture of molecular species, having, for example, an average of 3 moles of ethylene oxide per mole of alcohols is suffleted an entrailized.

[0046] Specific examples of alkyl ether sulfates which may be used in the present invention are sodium cocorut alkyl trieftylene glycol ether sulfate, sodium tallow alkyl trieftylene glycol ether sulfate; and socium tallow alkyl hexacoyethylene sulfate. Highly preferred alkyl ether sulfates are those compressing a mixture in fundividual compounds, said mixture having an average alkyl chain length of from about 15 c about 16 carbon atoms and an average degree of ethoxylation of form about 1 to about 4 moles of ethylene odds. Such a mixture also comprises from about to a bout 10% by by everything to compounds, from about 10 to about 20% by weight of C1<sub>12-15-16</sub> compounds, from about 0 to about 20% by weight of C1<sub>17-18-19</sub> compounds; from about 3 to about 30% by weight of compounds having a degree of ethoxylation of from about 1 to about 4 to about 10 to abou

[0047] Another suitable class of anionic surfactants are the water-soluble salts of the organic, sulfuric acid reaction products of the general formula:

## R1--SO3--M

A.C

wherein R<sub>1</sub> is chosen from the group consisting of a straight or branched chain, saturated aliphatic hydrocarbon radical having from about 8 to about 24, preferably about 12 to about 18, carbon atoms; and M is a cation. Important examples are the salts of an organic suffuric acid reaction product of a hydrocarbon of the methane series, including iso, neo-, inso-, and n-paraffins, having about 8 to about 24 carbon atoms, preferably about 12 to about 18 carbon atoms and a sullonating agent, e.g., SC<sub>3</sub>, H<sub>2</sub>SC<sub>4</sub>, oleum, obtained according to known sullonation methods, including bleaching and hydrolysis. Preferred are alical metal and ammonium sullonated C<sub>12-18</sub> n-parafilms.

[0048] Additional examples of anionic synthetic surfactants which come within the terms of the present invention are the reaction products of fatty acids esterified with isethionic acid and neutralized with sodium hydroxide where, for example, the fatty acids are derived from occonut oil; sodium or potassium satis of fatty acid amides of methyl fauride so in which the fatty acids, for example, are derived from occonut oil. Other anionic synthetic surfactants of this variety are set forth in US-A-2.486.921; US-A-2.498.922. and US-A-2.398.278.

[0049] Still other anionic synthetic surfactants include the class designated as succinamates. This class includes such surface active agents as disodium N-octadecy/sulfosuccinamate; tetrasodium N-(1,2-dicarboxyethyl)-N-octadecy/sulfo-

succinamate; diamyl ester of sodium sulfosuccinic acid; dihexyl ester of sodium sulfosuccinic acid; dioctyl esters of sodium sulfosuccinic acid.

[0050] Other suitable anionic surfactants utilizable herein are olefin suthorates having about 12 to about 24 carbon atoms. The term 'olefin suthorates' is used herein to mean compounds which can be produced by the sulforation of or olefins by means of uncomplexed sulfur trioxide, followed by neutralization of the acid reaction mixture in conditions such that any sultones which have been formed in the reaction are hydrolyzed to give the corresponding hydroxyalkanesulfonates. The sulfur fivoide can be liquid or gaseous, and is usually but not necessarily, diluted by instituents, for example by liquid SO<sub>2</sub>, othernated hydrocarbons, etc., when used in the gaseous form.

10 [0051] The cr-olefins from which the olefin sulfonates are derived are mono-olefins having about 12 to about 24 carbon atoms, preferably about 14 to about 16 carbon atoms. Preferably, they are straight chain olefins. Examples of suitable 1-olefins include 1-dodecens: 1-letradecens: 1-beardacens: 1-loctafecens: 1-elcosene and 1-tetracosene.

[0052] In addition to the true alkene sulfonates and a proportion of hydroxy-alkanesulfonates, the oldra sulfonates can contain minor amounts of other materials, such as alkene disulfonates depending upon the reaction conditions, prores portion of reactants, the nature of the starting oldrins and impurities in the oldrin stock and side reactions during the sulfonation process.

[0053] A specific α-otelin suifonate mixture of the above type is described more fully in US-A-3,832,880, Pflaumer and Kessler, issued July 25, 1967.

[0054] Another class of anionic organic surfactants are the β-alkyloxy alkane sulfonates. These compounds have the following formula:



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where  $R_1$  is a straight chain alkyl group having from about 6 to about 20 carbon atoms,  $R_2$  is a lower alkyl group having from about 1 (preferred) to about 3 carbon atoms, and M is a water-soluble cation as hereinbefore described.

[0055] Specific examples of β-alkyloxy-alkane-1-sulfonates, or alternatively 2-alkyloxy-alkane-1-sulfonates, having low hardness (calcium ion) sensitivity useful herein include; potassium-β-methoxydecanesulfonate, sodium 2-methoxytridecanesulfonate, potassium 2-ethoxytetradecy/sulfonate, sodium 2-isopropoxyhexadecy/sulfonate, lithium 2-t-butoxytetradecy/sulfonate, sodium β-methoxyoctadecy/sulfonate, and ammonium β-p-propoxydodecy/sulfonate.

[0056] Many additional nonscep synthetic anionic surfactants are described in McCutcheon's. Detergents and Emulsities, 1984 Annual, published by Allured Publishing Corporation. Also US-A-3,929,678, Laughlin et al., issued December 30. 1975. discloses many other anionic as well as other surfactant types.

40 [0057] Nonionic surfactants, which are preferably used in combination with an anionic, amphoteric or zwitterionic surfactant, can be broadly defined as compounds produced by the condensation of alkylene oxide groups (hydrophilic in nature) with an organic hydrophobic compound, which may be aliphatic or alkyl aromatic in nature. Examples of preferred classes of nonionic surfactants are:

45 1. The polyethylene oxide condensates of alkyl phenols, e.g., the condensation products of alkyl phenols having an alkyl group containing from about 6 to about 12 carbon atoms in either a straight chain or branched chain configuration, with ethylene oxide, the said ethylene oxide being present in amounts equal to from about 10 to about 50 moles of ethylene oxide per mole of alkyl phenol. The alkyl substituent in such compounds may be derived from polymerized propylene, idiobutylene, oxten, or nonane, for example.

2. Those derived from the condensation of ethylene code with the product resulting from the reaction of propylene oxide and ethylene diamine products which may be varied in composition obsending upon the balance between the hydrophobic and hydrophilic dements which is desired. For example, compounds containing from about 40% to about 80% polyoxyethylene by weight and having a molecular weight of from about 5,000 to about 11,000 resulting from the reaction of ethylene oxide groups with a hydrophobic base constituted of the reaction product of ethylene cliamine and excess propylene oxide, said base having a molecular weight of the order of about 2,500 to about 3,000, are astististory.

3. The condensation product of aliphatic alcohols having from about 8 to about 18 carbon atoms, in either straight chain or branched chain configuration, with ethylene oxide, e.g., a coconut alcohol ethylene oxide condensate hav-

ing from about 10 to about 30 moles of ethylene oxide per mole of coconut alcohol, the coconut alcohol fraction having from about 10 to about 14 carbon atoms.

4. Long chain tertiary amine oxides corresponding to the following general formula:

wherein R<sub>1</sub> contains an alkyl, alkenyl or monohydroxy alkyl radical of from about 8 to about 18 carbon atoms, from 0 to about 10 tethylene codde motelles, and from 0 to about 1 glyceryl molety, and R<sub>2</sub> and R<sub>3</sub> contain from about 1 to about 3 carbon atoms and from 0 to about 1 hydroxy group, e.g., methyl, ethyl, propyl, hydroxyletyl, or hydroxyproxyl radicals. The arrow in the formula is a conventional representation of a semipolar bond. Examples of amine oxides suitable for use in this invention include dimethyl-doecylamine oxide, oleyldi(2-hydroxyethyl) amine oxide, dimethyl-dasylamine oxide, dimethyl-dasylamine oxide, dimethyl-dasylamine oxide, differential contains oxi

5. Long chain tertiary phosphine oxides corresponding to the following general formula:

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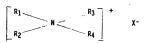
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wherein R contains an allwl, alkenyl or monohydroxyalkly radical ranging from about 8 to about 18 carbon atoms in chain length, from 0 to about 10 ethylene oxide moieties and from 0 to about 1 gybrayl moiety and R' and R' are each alkyl or monohydroxyalkyl groups containing from about 1 to about 3 carbon atoms. The arrow in the formula is a conventional representation of a semipolar bond. Examples of suitable phosphine oxides are: dodecyldimethylphosphine oxide, ethylene phine solds, ethylene phine solds, ethylene phine phine phine phine phine phine phine phine phine oxide, cetyldimethylphosphine oxide, cetyldimethylphosphine oxide, dodecyldighorphylphosphine oxide, ethylene phine phine oxide, dodecyldighylphosphine oxide, dodecyldighylphosphine oxide, dodecyldighylphosphine oxide, ethylene phine oxide, ethylene phine oxide, ethylene phine phine oxide, ethylene oxide, ethylene phine oxide,

6. Long chain dialkyl sulfoxides containing one short chain alkyl or hydroxy alkyl radical of from about 1 to about 3 carbon atoms (susually methyl) and one long hydrophobic brain which include alkyl, alkenyl, hydroxy alkyl, or kelo alkyl radicals containing from about 8 to about 20 carbon atoms, from 0 to about 10 ethylene oxide moieties and from 0 to about 1 glyceryl moiety. Examples include: oxtadecyl methyl sulfoxide, 2-keibriddecyl methyl sulfoxide, 36,9,4 hizacactedeyl 2-hydroxyptryl sulfoxide, levatedeptyl methyl sulfoxide, 3-mydroxyptridecyl methyl sulfoxide, 3-hydroxytridecyl methyl sulfoxide, 3-hydroxytridecyl methyl sulfoxide, 3-hydroxytridecyl methyl sulfoxide, 3-hydroxytridecyl methyl sulfoxide.

[0058] Cationic surfactants useful in compositions of the present invention, particularly the conditioner compositions, comial artino or quaternary ammounts hydrophic moleties which are positively charged when dissolved in the aqueous composition of the present invention. Cationic surfactants among those useful herein are disclosed in the following documents: M.C. Publishing Co. McCutcheon's. Detargents. 8. Emulsifiers, (North American edition 1979); Schwartz, et al. Surface Active. Apens. Their Chemistry and Tachnology, New York: Interscience Publishers, 1994; CA-3, 155,591, Hiller, issued November 3, 1964; US-A-9,399,678, Laughtin, et al., issued December 30, 1975; US-A-3,999, 461, Balley, et al., issued May 25, 1975, and US-A-4,387,090, Bolich, Jr., Issued June 7, 1983. This included in the compositions of the present invention, the catonic surfactant is present at from 0.0% to 5% by weight.

46 [0059] Among the quaternary ammonium-containing cationic surfactant materials useful herein are those of the general formula:



ss wherein R<sub>1</sub>-R<sub>4</sub> are independently an aliphatic group of from about 1 to about 22 carbon atoms, or an aromatic, alkoxy, polyoxyalkytene, aliykamido, hydroxyalkyt, ary or alkylaryl group having from about 12 to about 222 carbon atoms; and X is an anion selected from halogen, acetate, phosphate, nitrate and alkylsulfate radicals. The aliphatic groups may contain, in addition to carbon and hydrogen atoms, ether linkages, and other groups such as amino groups.

[0060] Other quaternary ammonium salts useful herein have the formula:

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wherein R, is an aliphatic group having from about 18 to about 22 carbon atoms, R<sub>p</sub>, R<sub>p</sub>, R<sub>k</sub>, R<sub>b</sub>, and R<sub>p</sub> are selected from hydrogen and alikyl having from about 1 to about 4 carbon atoms, and X is an ion selected from habgen, acetate, 15 phosphate, nitrate and alkyl sulfate radicals. Such quaternary ammonium salts include tallow propane diammonium dichloride.

[0061] Preferred quaternary ammonium salts include dialkyldimethylammonium chlorides, wherein the alkyl groups have from about 12 to about 22 carbon atoms and are derived from long-chain tatty acids, such as hydrogenated allow fathy acids judic quaternary compounds wherein R, and R<sub>2</sub> have predominately from 16 to 18 carbon atoms). Examples of quaternary ammonium salts useful in the present invention include disallowdimethyl ammonium chloride, disclowdimethyl ammonium chloride, disclowdimethyl ammonium methyl sulfact, dichezadeeyl dimethyl ammonium chloride, disclowdimethyl ammonium chloride, disclowdime

[0062] Salts of primary, secondary and tertiary fairly amines are also preferred cetionic surfactant materials. The alkyl groups of such amines preferably have from about 12 to about 22 carbon atoms, and may be substituted or unsubstituted. Secondary and tertiary amines are peritorially preferred. Such amines, substituted or unsubstituted. Secondary and tertiary amines are peritorially preferred. Such amines, substituted substituted in the substituted or unsubstituted. Secondary and tertiary amines are peritorially preferred. Such amines, substituted in secondary and tertiary tertiary amines are peritorially preferred. Such amines and selvent in the substituted of the substituted in the substituted of the substituted in the substituted of the substituted in the s

[0063] Zwitterionic surfactants, useful in shampoos as well as conditioners, are exemplified by those which can be broadly described as derivatives of aliphatic quaternary ammonium, phosphonium, and sulfonium compounds, in which the aliphatic radicals can be straight or branched chain, and wherein one of the aliphatic substituents contains from about 8 to about 19 carbon atoms and one contains an anionic water-solubilizing group, e.g., carboxy, sufforate, suffate, phosphate, or hosphonate. A operall formula for these compounds is:

wherein R<sup>2</sup> contains an alkyl, alkenyl, or hydroxy alkyl radical of from about 8 to about 18 carbon atoms, from 0 to about 10 ethylene oxide moieties and from 0 to about 1 glycenyl moiety. Y is selected from the group consisting of nitrogen, phosphorus, and sulfur atoms, R<sup>2</sup> is an alkyl or monohydroxylayl group containing about 1 to about 3 carbon atoms, X is 1 when Y is a sulfur atom, and 2 when Y is a nitrogen or phosphorus atom; R<sup>4</sup> is an alkylene or hydroxyalkylene of from about 1 to about 4 carbon atoms and Z is a radical selected from the group consisting of carboxylate, sulfonate, sulfate, phosphonate, and phosphate groups.

[0064] Examples of such surfactants include:

#### FP 0 412 704 R1

- 4-[N,N-di(2-hydroxyethyl)-N-octadecylammonio]-butane-1-carboxylate;
- 5-fS-3-hvdroxypropyl-S-hexadecylsulfoniol-3-hvdroxypentane-1-sulfate:
- 3-[P,P-diethyl-P-3,6,9-trioxatetradexocylphosphonio]-2-hydroxy-propane-1-phosphate;
- 3-IN.N-dipropyl-N-3-dodecoxy-2-hydroxypropylammoniol-propane-1-phosphonate:
- 3-(N,N-dimethyl-N-hexadecylammonio)propane-1-sulfonate;
  - 3-(N.N-dimethyl-N-hexadecylammonio)-2-hydroxypropane-1-sulfonate:
    - 4-IN.N-di(2-hydroxyethyl)-N-(2-hydroxydodecyl)ammoniol-butane-1-carboxylate:
    - 3-[S-ethyl-S-(3-dodecoxy-2-hydroxypropyl)sulfonio]-propane-1-phosphate;
- 3-[P,P-dimethyl-P-dodecylphosphoniol-propane-1-phosphonate; and
- 5-IN.N-di(3-hvdroxvoropyl)-N-hexadecylammoniol-2-hvdroxy-pentane-1-sulfate.

[0065] Other zwitterionics such as betaines are also useful in the present invention. Examples of betaines useful herein include the high alky betaines, such as cool dimethy carboxymethyl betaine, lauryl dimethyl apha-carboxymethyl betaine, lauryl dimethyl apha-carboxymethyl betaine, steryl bis-(2-hydroxytroyl) carboxymethyl betaine, lauryl bis-(2-hydroxytroyl) betaine, and lauryl bis-(2-hydroxytroyl) carboxymethyl betaine, oleyl dimethyl gamma-carboxytroyl betaine, and lauryl bis-(2-hydroxytroyl)alphra-carboxytroyl betaine. The suffobetaines may be represented by cool dimethyl sulforpoyl betaine, stearyl dimethyl sulforpoyl betaine, lauryl dimethyl sulfoethyl betaine, lauryl bis-(2-hydroxytroyl) sulforpoyl betaine and sulfobetaines and amidosulfobetaines, wherein the RCONH(CH<sub>2</sub>)<sub>2</sub> radical is attached to the nitrogen atom of the betaine are also useful in this invention.

20 [0066] Examples of amphoteric surfactants which can be used in the compositions of the present invention are those which are broadly described as derivatives of aliphatic secondary and tertiary animes in which the aliphatic rediction be straight or branched chain and wherein one of the aliphatic substituents contains from about 8 to about 18 carbon atoms and one outniss an anionic water solubilizing group, e.g., carboxy, sulfonate, sulfate, phosphate, or phosphonate. Examples of compounds failing within this definition are sodium 3-dodeoyl-aminopropionate, sodium 3-dodeoylaminopropionate, sodium 3-dodeoylaminoprop

[0067] The above-mentioned surfactants can be used alone or in combination in the hair care compositions of the present invention. The alkyl sulfates, ethoxylated alkyl sulfates, and mixtures thereof are preferred for use herein.

The hair care compositions herein can contain a variety of other optional components suitable for rendering such compositions more cosmetically or aesthetically acceptable or to provide them with additional usage benefits. Such conventional optional impredients are well-income to these selled in the art, e.g., pearlscent aids, such as ethylician eligibility of the provide them with additional usage benefits. Such conventional optional impredients are well-income to income selled in the art, e.g., pearlscent aids, such as ethylician eligibility of the provide and viscosity modifiers, such as a dethanolamide of a long chair latty aid (e.g., PEG 8 lauric diethenolamide), cocomonoethanol amide, dimethicone copolyols, guar gum, methyl cellulose, starches and starch derivatives; tatty alcohols, such as cettery alcohol; sodium olhorides codium sulfate; polyonyl alcohol; ethyl alcohol; pt aliquim agents, such as sory of the FD&C or D&C dyse; hair oxidizing (bleaching) agents, such as sory of the FD&C or D&C dyse; hair oxidizing (bleaching) agents, such as the stronger perceide, eppercorde and persultate salts; hair reducing agents, such as the thiogylocolates; perfumers; sequestering agents, such as discontine return-actetate, and polymer plasticizing agents, such as dycerin and procyclene glycol. Such optional ingredients generally are used individually at levels of from 0.01% to 10.0%, preferably from 0.05% to

[0069] The pH of the present compositions should be between about 3 and about 9, preferably between about 4 and about 8

[0070] As with all compositions, the present invention should not contain components which unduly interfere with the performance of the compositions.

[0071] The hair care compositions of the present invention can be made using conventional formulation and mixing techniques. Methods of making various types of hair care compositions are described more specifically in the following examples.

[0072] The hair care compositions of the present invention are used in conventional ways to provide the hair conditioning/styling/hold benefits of the present invention. Such method of use depends upon the type of composition employed but generally involves application of an effective amount of the product to the hair, which may then be rinsed from the hair (as in the case of shampoos and some conditioning products) or allowed to remain on the hair (as in the case of spray, mousse, gel, and tonic products). By "effective amount" is meant an amount sufficient to provide the hair conditioning/styling/hold benefits desired considering the length and texture of the hair, and the type of product used. Preferably, the product is applied to wet or damp hair prior to drying and styling of the hair. After the compositions of the present invention are applied to the hair, the hair is dried and svided in the usual ways of the user.

[0073] The following examples further illustrate preferred embodiments within the scope of the present invention.
[0074] The following table defines the silicone copolymers used in the examples (weight ratios given refer to proportion added to reaction mix):

Copolymer #1	10/70/20 acrylic acid/n-butylmethacrylate/silicone macromer S2, polymer molecular weight about 100,000
Copolymer #2	10/70/20 dimethylacrylamide/isobutyl methacrylate/silicone macromer S2, polymer molecular weight about 400,000
Copolymer #3	60/20/20 diallyldimethyl ammonium chloride/isobutyl methacrylate/silicone macromer S1, polymer molecular weight about 500,000
Copolymer #4	40/40/20 acrylic acid/methyl methacrylate/silicone macromer S1, polymer molecular weight about 400,000
Copolymer #5	10/70/20 acrylic acid/n-butyl methacrylate/silicone macromer S1, polymer molecular weight about 300,000
Copolymer #6	25/65/10 acrylic acid/isopropyl methacrylate/silicone macromer S2, polymer molecular weight about 200,000
Copolymer #7	60/25/15 N,N-dimethylacrylamide/methoxyethyl methacrylate/silicone macromer S1, polymer molecular weight about 200,000
Copolymer #8	12/64/4/20 N,N-dimethylacrylamide/isobutyl methacrylate/2-ethylhexyl methacrylate/PDMS macromer S1, polymer molecular weight about 300,000
Copolymer #9	30/40/10/20 dimethylacrylamide/isobutyl methacrylate/2-ethylhexyl methacrylate/PDMS macromer S1, polymer molecular weight about 300,000
Copolymer #10	80/20 t-butylacrylate/PDMS macromer S2, polymer molecular weight about 150,000

[0075] Silicone macromer S1- has a molecular weight of about 20,000 and is prepared in a manner similar to Example C-2c of US-A-4,728,571, Clemens, issued March 1, 1988.

[0076] Silicone macromer S2- has a molecular weight of about 10,000 and is prepared in a manner similar to Example 35 C-2b of US-A-4,728,571. Clemens, issued March 1, 1988.

## EXAMPLE I

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[0077] The following is a hair spray composition representative of the present invention.

Component	Weight %
Silicone Copolymer #4	2.00
Ethanol	72.90
Perfume	0.10
isobutane propellant	25.00

[0078] This product is prepared by adding the silicone copolymer and perfume to the ethanol and mixing for several hours until all the polymer is dissolved. This "concentrate" is then placed in aerosot cans which are fitted with valves crimped under vacuum and then filled through the valve stem with isobutane dispensed by a pressure filler.

## EXAMPLE II

[0079] The following is a shampoo composition representative of the present invention.

Component	Weight %
Styling Agent	
Silicone Copolymer #2	1.00
Chloropropyl heptamethyl cyclotetrasiloxane	3.00
Premix	
Silicone gum	0.50
Dimethicone, 350 cs. fluid	0.50
Main Mix	
Ammonium lauryl sulfate	11.00
Cocamide MEA	2.00
Ethylene giycol distearate	1.00
Xanthan gum	1.20
Kathon CG1 (RTM)	0.04
Citric acid to pH 4.5	q.s.
Double reverse osmosis (DRO) H <sub>2</sub> O	q.s.

<sup>1</sup> preservative commercially available from Rohm & Haas

[0080] The Styling Agent and Premix are blended separately in a conventional manner. The Main Mix is prepared by first dissolving the xanthan gum in the water with conventional mixing. The remaining Main Mix ingredients are added and the Main Mix is heated to 51°C (150°P) with agriation for 1/2 hour. The Styling Agent and Premix are then added sequentially with about ten minutes agritation between additions, and the entire mixture is stirred while the batch is cooled to room temperature. For varied particle size, the Styling Agent and Premix can be added at different times using either or both high shear mixing (this) seed dispersation or normal agritation.

## 36 EXAMPLE III

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[0081] The following is a shampoo composition representative of the present invention.

Component	Weight %
Ammonium lauryl sulfate	7.00
Ammonium laureth sulfate	7.00
Cocamide MEA	2.50
Silicone Copolymer #3	1.00
Natrosol 250H1 (RTM)	1.00
Glydant <sup>2</sup>	0.37
DRO H <sub>2</sub> O	q.s.

<sup>&</sup>lt;sup>1</sup> hydroxyethyl cellulose commercially available from Aqualon Co.
<sup>2</sup> preservative commercially available

from Giveo, Inc.

[0082] The shampoo is made by first dispersing the Natrosol and silicone copolymer in the water for about 1 hour with

#### FP 0 412 704 R1

conventional agitation. The remaining ingredients are then added.

## EXAMPLE IV

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5 [0083] The following is a styling rinse composition representative of the present invention.

Component	Weight %
Styling Agent Premix	
Silicone Copolymer #8	2.00
Phenethylpentamethyl disiloxane	6.00
Octamethyl cyclotetrasiloxane	3.00
Xanthan Premix	
Xanthan gum	0.25
DRO H <sub>2</sub> O	25.00
Main Mix	
Dihydrogenated tallow-dimethylammonium chloride (DTDMAC)	0.50
EDTA, disodium salt	0.10
D.C. 929 <sup>1</sup>	2.00
Perfume	0.10
Poly Surf C <sup>2</sup> (RTM)	0.75
Locust bean gum	0.75
Kathon CG <sup>3</sup>	0.04
DRO H₂O	q.s.

<sup>1</sup> amodimethicone, commercially available from Dow Corning

[0084] The Styling Agent and Xanthan Premixes are blended separately in a conventional manner. The Main Mix is prepared by adding all the ingredients together and heating with agitation to 95°C for about 1/2 hour. As the batch is cooled, the Styling Agent and Xanthan Premixes are added at about 60°C with vigorous mixing. The batch is then cooled to ambient temperature.

## EXAMPLE V

[0085] The following is a styling rinse composition representative of the present invention.

<sup>&</sup>lt;sup>2</sup> hydrophobically-modified hydroxyethyl cellulose, commercially available from Aquaion Co.

<sup>&</sup>lt;sup>3</sup> preservative commercially available from Rohm and Haas

	,
Component	Weight %
Premix A	
Silicone Copolymer #3	2.00
DRO H₂O	10.00
Premix B	
Silicone Copolymer #4	2.00
DRO H₂O	15.00
NaOH solution (50%)	0.20
Main Mix	
Poly Surf C1 (RTM)	1.00
Stearamide DEA	0.50
Ethanol	10.00
Perfume	0.20
DRO H <sub>2</sub> O	q.s.

<sup>&</sup>lt;sup>1</sup> hydrophobically-modified hydroxyethyl cellulose, commercially available from Aqualon Co.

[0086] Both premixes are blended separately in a conventional manner. The Main Mix is prepared by adding all the 30 ingredients together and heating to about 60°C with mixing. The premixes are then added to the Main Mix with agitation for about 1/2 hour and the batch is cooled to ambient temperature. Either sodium hydroxide or citric acid, if necessary, is added to adjust composition to pH 6.5.

# EXAMPLE VI

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[0087] The following is a hair grooming tonic composition representative of the present invention.

Component	Weight %
Silicone Copolymer #9	0.70
Perfume	0.10
Ethanol	q.s.

[0088] The composition is made by mixing the above components together in a conventional manner.

## EXAMPLE VII

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[0089] The following is a shampoo composition representative of the present invention.

Component	Weight %
Ammonium laureth sulfate	7.00
Cocamido propyl betaine	6.00
Silicone Copolymer #6	2.00
Ethanol	10.00
PEG 150 distearate	2.00
Glydant <sup>1</sup>	0.38
Perfume	1.00
DRO H <sub>2</sub> O	g.s.

<sup>1</sup> preservative commercially available from Glvco, Inc.

[0090] The shampoo is prepared by combining the ammonium laureth sulfate (normally supplied as a 28% solution in water) and Silicone Copolymer and heating to 70°C for about 1/2 hour with mixing. The remaining ingredients are added and mixed for an additional 1/2 hour. The batch is then cooled to ambient temperature. Composition pH is adjusted to 6.3 by the addition of citric acid or sodium hydroxide, if necessary.

## EXAMPLE VIII

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[0091] The following is a styling rinse composition representative of the present invention.

Component	Weight %
Styling Agent	
Silicone Copolymer #5	3.00
Phenylpentamethyl disiloxane	9.00
Premix	
Silicone Gum GE SE761	0.50
Decamethyl cyclopentasiloxane	4.00
Main Mix	
Poly Surf C <sup>2</sup>	0.60
Locust bean gum	0.50
EDTA, disodium salt	0.15
DTDMAC	0.65
Glydant <sup>3</sup>	0.40
DRO H <sub>2</sub> O	q.s.

<sup>&</sup>lt;sup>1</sup> Commercially available from General Electric <sup>2</sup> hydrophobically-modified hydroxyethyl cellulose commercially available from Aquaton Co. <sup>3</sup> preservalve commercially available from Glyco, Inc.

[0092] The Styling Agent and Premix are blended separately by conventional means. The Main Mix is prepared by adding all the ingredients and heating to 55°C for 15°L hour with agitation. As the batch is cooled to about 60°C, the Premix and Styling Agent mix are added to the Main Mix with agitation and the batch is cooled to ambient temperature.

## 5 EXAMPLE IX

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[0093] The following is a styling rinse composition representative of the present invention.

Component	Weight %
Styling Agent	
Silicone Copolymer #10	3.00
Octamethyl cyclotetrasiloxane	9.00
Premix	
Silicone Gum GE SE 76 1	0.50
Decamethyl cyclopentasiloxane	4.00
Main Mix	
Poly Surf C <sup>2</sup> (RTM)	1.25
Stearamide DEA	0.40
DTDMAC	0.50
Kathon CG3 (RTM)	0.03
Imidazole	0.15
Perfume	0.10
DRO H <sub>2</sub> O	q.s.

Commercially available from General Electric hydrophobically-modified hydroxyethyl cellu-

Rohm & Haas

[0094] The Styling Agent and Premix are blended separately by conventional means. The Main Mix is prepared by adding all the ingredients and heating to 95°C for 1/2 hour with agitation. As the batch is cooled to about 60°C, the Premix and Styling Agent mixes are added to the Main Mix with agitation and the batch is cooled to ambient temperature.

## EXAMPLE X

[0095] The following is a cold-wave hair perm composition representative of the present invention.

Component	Weight %
Thioglycolic acid	5.00
Monoethanolamine	6.00
Silicone Copolymer #3	1.50
PEG 10 monostearate	0.50
DRO H <sub>2</sub> O	q.s.

lose commercially available from Aqualon Co.

3 preservative commercially available from

[0096] The composition is prepared by blending all the ingredients with agitation for about 1/2 hour at 60°C and then cooling to ambient temperature.

## EXAMPLE XI

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[0097] The following is a hair conditioner composition representative of the present invention.

Component	Weight %
Styling Agent Premix	
Silicone Copolymer #9	1.00
Phenyl pentamethyl disiloxane	4.00
Silicone Premix	
Silicone gum, GE SE761	0.30
Octamethyl cyclotetrasiloxane	1.70
Main Mix	
Cetyl alcohol	1.00
Quaternium 18 <sup>2</sup> (RTM)	0.85
Stearyl alcohol (RTM)	0.70
Natrosol 250 MBR <sup>3</sup>	0.50
Ceteareth-20	0.35
Fragrance	0.20
Dimethicone copolyol	0.20
Citric acid	0.13
Methylchloroisothiazolinone, methylisothiazolinone	0.04
Sodium chloride	0.01
DRO H <sub>2</sub> O	q.s.

<sup>1</sup> Commercially available from General Electric

[0098] The product is prepared by comixing all the Main Mix ingredients, heating to about 60°C with mixing, and col-45 loid milling down to about 45°C. At this temperature, the two premixes are added separately with moderate agitation and the batch allowed to cool to ambient temperature.

## EXAMPLE XII

50 [0099] The following is a styling get composition representative of the present invention.

Ditallow quaternary ammonium compound, commercially available from Sherex

<sup>3</sup> hydroxyethyl cellulose material, commercially available from

Aguaton Co.

Component	Weight %
Silicone Copolymer #7	2.00
Carbopol 9401 (RTM)	0.75
Triethanolamine	1.00
Dye solution	0.05
Perfume	0.10
Laureth-23	0.10
DRO H₀O	q.s.

 cross-linked polyacrylic acid, commercially available from B. F. Goodrich

20 [0100] This batch is made by mixing the listed components together in a conventional manner.

## EXAMPLE XIII

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[0101] The following is a hair mousse composition representative of the present invention.

Component	Weight %
Silicone Copolymer #7	3.00
Ethanol	15.00
Cocamine oxide	0.60
D.C. 190 <sup>1</sup> (RTM)	0.20
Cocamide DEA	0.30
Perfume	0.10
Isobutane	7.00
DBO H <sub>2</sub> O	0.6.

<sup>1</sup> dimethicone copolyol, commercially available from Dow Corning

45 [0102] The composition is made by blending all of the ingredients except isobutane at ambient temperature until well mixed. Aluminum aerosol cans are then filled with 5 parts of this batch, affixed with a valve which is crimped into position, and lastly pressure filled with 5 parts isobutane.

# EXAMPLE XIV

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[0103] The following is a pump hair spray composition representative of the present invention.

Component	weight %
Silicone Copolymer #1	2.50

#### (continued)

Component	weight %
Dibutyl phthalate	0.20
Phenyldimethicone	0.30
Perfume	0.05
Aminomethyl propanol	0.20
Ethanol	q.s.

[0104] This composition is made by mixing the listed components together in a conventional manner.

[0105] When the compositions defined in Examples I-XIV are applied to hair in the conventional manner, they provide effective hair conditioning and styling/hold benefits without leaving the hair with a sticky/stiff feel.

Claims

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Claims for the following Contracting States: AT. BE, CH, DK, ES, GR, LI, LU, NL, SE

20 1. A hair care composition characterized in that it comprises (all percentages being on a weight basis):

(a) from 0.7% to 10.0% of a copolymer, having a molecular weight of from 10,000 to 1,000,000, which has a vinyl polymeric backbone having grafted to it monovalent slicosne polymeric moieties, said copolymer being derived by polymerisation of C monomers (which provide the monovalent slicosne polymeric moieties) and components selected from A monomers, B monomers, and mixtures thereof, where

A is at least one free radically polymerisable vinyl monomer, preferably selected from t-butyl acrylate, tbutyl methacrylate, and mixtures thereof, the amount by weight of A monomer, when used, being up to about 95% of the total weight of monomers used in making said copolymer;

B is at least one reinforcing monomer copolymerisable with A, the amount by weight of B monomer, when used being up to about 59% of the total weight of monomers used in making said co-polymer, said B monomer being selected from polar monomers and macromers; and

C is a polymeric monomer having a molecular weight of from 1,000 to 50,000 and the general formula

X(Y),Si(R)3-m(Z), wherein

X is a vinyl group copolymerizable with the A and B monomers

Y is a divalent linking group

R is a hydrogen, lower alkyl, aryl or alkoxy

Z is a monovalent siloxane polymeric moiety having a number average molecular weight of at least 500, is essentially unreactive under copolymerisation conditions, and is pendant from said vinyl poly-

meric backbone after polymerization

m is an integer from 1 to 3

n is 0 or 1

wherein C comprises from 0.01% to 50%, of the total weight of monomers used in making said copolymer; and

(b) from 0.5% to 99.5% of a carrier suitable for application to hair.

- A hair care composition according to Claim 1 characterized in that the copolymer is derived by polymerisation of from 5% to 59% A monomer, from 0.01% to 50% C monomer and from 0% to 98% B monomer, the percentages being by weight of the total monomer used in making this copolymer.
- 3. A hair care composition according to Claim 1 characterized in that the copolymer is derived by polymerisation of from 0% to 88% A monomer, from 0.01% to 50% C monomer, and from 7.5% to 80% B monomer, the percentages being by weight of the total monomer used in making the copolymer.

- 4. A hair care composition according to any of Claims 1 to 3 wherein the polymeric monomer C is a polydimethylsi-loxane macromer having a weight everage molecular weight between 1,000 and 50,000; and wherein the polymer and carrier are selected such that, when dried, the polymer phase separates into a discontinuous phase which includes the polydimethylsiloxane macromer and a continuous phase which includes the backbone.
- A hair care composition according to any of Claims 1-4 characterized in that it is in the form of a shampoo which
  additionally comprises from 10% to 30% of a synthetic surfactant, preferably selected from alkyl suffates, ethoxylated alkyl suffates, and mixtures thereof.
- 6. A hair care composition according to any of Claims 1-4 characterized in that it is in the form of a conditioner in which the carrier comprises from 0.1% to 10.0% of a lipid vehicle material, preferably selected from cetyl alcohol, stearyl alcohol, cetyl pelmitate, glycaryl monostearate, and mixtures thereof; and from 0.05% to 5.0% of a cationic surlactant, preferably a quaternary ammonium surfactant.
- A hair care composition according to any of Claims 1-4 characterized in that it is in a form selected from hair sprays, mousses, hair tonics, and gels.
  - A method of conditioning and styling hair characterized in that it comprises applying to the hair an effective amount
    of the composition according to any of Claims 1-7.

Claims for the following Contracting States : DE, FR, GB, IT

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- 1. A hair care composition characterised in that it comprises (all percentages being on a weight basis):
- 29 (a) from 0.1% to 10.0% of a copolymer, having a molecular weight of from 10.000 to 1,000,000, which has a viryl polymeric backbone having grafted to it monovalent silozane polymeric moieties, said opolymer benig derived by polymerisation of C monomers (which provide the monovalent silozane polymeric moieties) and components selected from A monomers. B monomers, and mixtures thereoft, wherein:
  - A is at least one free radically polymerisable vinyl monomer, preferably selected from t-butyl acrylate, tbutyl methacrylate, and mixtures thereof, the amount by weight of A monomer, when used, being up to about 98% of the total weight of monomers used in making said coolymer:
    - B is at least one reinforcing monomer copolymerisable with A, the amount by weight of B monomer, when used, being up to about 98% of the total weight of monomers used in making said copolymer, said B monomer being selected from polar monomers and macromers; and
    - C is a polymeric monomer having a molecular weight of from 1,000 to 50,000 and the general formula

X(Y)<sub>n</sub>Si(R)<sub>3-m</sub>(Z)<sub>m</sub> wherein

- 40 X is a vinvl group copolymerisable with the A and B monomers.
  - Y is a divalent linking group
  - R is a hydrogen, lower alkyl, aryl or alkoxy
  - Z is a monovalent siloxane polymeric molety having a number average molecular weight of at least 500, is essentially unreactive under copolymerisation conditions, and is pendant from said vinyt poly-
  - sour, is essentially unleadave under copolymensation conditions, and is percuant from said virily pomeric backbone after polymenisation

    n is 0 or 1
  - m is an integer from 1 to 3
  - wherein C comprises form 0.01% to 50%, of the total weight of monomers used in making said copolymer; and

(b) from 0.5% to 99.5% of a carrier suitable for application to hair,

- but excluding such compositions either wherein monomer B is present or wherein monomer A is t-butyl methacrylate with tridecyl methacrylate.
- A hair care composition according to claim 1 characterised in that the copolymer is derived by polymerisation of from 5% to 98% A monomer, from 0.01% to 5% C monomer and from 0% to 98% B monomer, the percentages being by weight of the total monomer used in making this copolymer.

- A hair care composition according to claim 1 characterised in that the copolymer is derived by polymerisation of from 0% to 98% A monomer, from 0.01% to 50% C monomer, and from 7.5% to 80% B monomer, the percentages beling by weight for the total monomer used in making the copolymer.
- 4. A hair care composition according to any of claims 1 to 3 wherein the polymeric monomer C is a polydimethylsi-loxane macromer having a weight average molecular weight between 1,000 and 50,000; and wherein the polymer and carrier are selected such that, when dried, the polymer phase separates into a discontinuous phase which includes the polydimethylsiloxane macromer and a continuous phase which includes the backbone.
- 5. A hair care composition according to any of claims 1 to 4 characterised in that it is in the form of a shampoo which additionally comprises from 10% to 30% of a synthetic surfactant, preferably selected from alkyl sulfates, ethoxylated alkyl sulfates, and mixtures threat.
  - 6. A hair care composition according to any of claims 1 to 5 in which monomer A comprises t-butyl acrylate.
  - A hair care composition according to any of claims 1 to 6 in which monomer A is t-butyl acrylate alone or mixed with t-butyl methacrylate.
- 8. A hair care composition according to any of claims 1-7 characterised in that it is in the form of a conditioner in which to the carrier comprises from 0.1% of 10.0% of a lipid vehicle material, preferably selected from cetyl alcohol, stearyl alcohol, cetyl palmitate, glyceryl monostearate, and mixtures thereof; and from 0.05% to 5.0% of a cationic surfactant, preferably a quaternary ammonium surfactant.
- A hair care composition according to any of claims 1-7 characterised in that it is in a form selected from hair sprays, mousses, hair tonics, and gels.
  - 10. A method of conditioning and styling hair characterised in that it comprises applying to the hair an effective amount of the composition according to any of claims 1-9.
- 30 11. A hair care composition characterised in that it comprises (all percentages being on a weight basis):

(a) from 0.1% to 10.0% of a copolymer, having a molecular weight of from 10,000 to 1,000,000, which has a viryl polymeric backtone having grafted to it monovalent sloxane polymeric moieties, said copolymer being derived by polymerisation of C monomers (which provide the monovalent siloxane polymeric moieties) and components selected from A monomers, B monomers, and mixtures thereof, wherein:

A is at least one free radically polymerisable vinyl monomer, preferably selected from t-butyl acrylate, tbutyl methacrylate, and mixtures thereof, the amount by weight of A monomer, when used, being up to about 99% of the total weight of monomers used in making add copolymer;

B is at least one reinforcing monomer copolymerisable with A, the amount by weight of B monomer, when used, being u to about 95% of the total weight of monomers used in making said copolymer, said B monomer being selected from polar monomers and macromens; and

C is a polymeric monomer having a molecular weight of from 1,000 to 50,000 and the general formula

X is a vinvl group copolymerisable with the A and B monomers

Y is a divalent linking group

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ΔD

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R is a hydrogen, lower alkyl, aryl and alkoxy

Z is a monovalent siloxane polymeric moiety having a number average molecular weight of at least 500, is essentially unreactive under copolymerisation conditions, and is pendant from said vinyl polymeric backbone after polymerisation.

n is 0 or 1

m is an integer from 1 to 3

wherein C comprises form 0.01% to 50%, of the total weight of monomers used in making said capolymer; and

(b) from 0.5% to 99.5% of a carrier suitable for application to hair, but excluding such compositions wherein the copolymer is derived by polymerisation of both a C monomer

but exclusing such compositions wherein the oppolyments derived by polymensation or both a component wherein the siloxane polymenic moiety has 150 or fewer siloxane units and a B monomer in an amount by weight of 15% or greater based on the total weight of monomers used in making the oppolymen.

- 12. A hair care composition according to claim 11 characterised in that the copolymer is derived by polymerisation of from 5% to 95% A monomer, from 0.01% to 50% C monomer and from 0% to 95% B monomer, the percentages bein by weight of the total monomer used in making this copolymer.
- 10 13. A hair care composition according to claim 11 characterised in that the copolymer is derived by polymerisation of from 0% to 89% A monomer, from 0.01% to 59% C monomer, and from 7.5% to 80% B monomer, the percentages being to weight of the total monomer used in making the copolymer.
  - 14. A hair care composition according to any of claims 11 to 13 wherein the polymeric monomer C is a polydimethylsitoxane macromer having a weight average molecular weight between 1,000 and 50,000; and wherein the polymer and carrier are selected such that, when dried, the polymer phase separates into a discontinuous phase which includes the polydimethylsiloxane macromer and a continuous phase which includes the backbone.
- 15. A hair care composition according to any of claims 11-14 characterised in that it is in the form of a shampoo which additionally comprises from 10% to 30% of a synthetic surfactant, preferably selected from alkyl sulfates, ethoxylated alkyl sulfates, and mixtures thereof.
- 16. A hair care composition according to any of claims 11-14 characterised in that it is in the form of a conditioner in which the carrier comprises from 0.1% to 10.0% of a lipid vehicle material, preferably selected from cetyl alcohol, stearyl alcohol, cetyl palmitate, glyceryl monostearate, and mixtures thereof; and from 0.05% to 5.0% of a cationic sunfactant, preferably a quaternary ammonium sunfactant.
  - 17. A hair care composition according to any of claims 11-14 characterised in that it is in a form selected from hair sprays, mousses, hair tonics, and gels.
  - A method of conditioning and styling hair characterised in that it comprises applying to the hair an effective amount
    of the composition according to any of claims 11-17.

## Patentansprüche

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## Patentansprüche für folgende Vertragsstaaten : AT, BE, CH, DK, ES, GR, LI, LU, NL, SE

- Haarpflegezusammensetzung, dadurch gekennzeichnet, daß sie (wobei sich alle Prozentsätze auf das Gewicht beziehen);
  - (a) 0,1% bis 10,0% von einem Copolymer mit einem Molekulargewicht von 10.000 bis zu 1.000.000, welches ein Virrylpolymerrückgrat besitzt, an das einwertige Sitoxanpolymerreste gepfroght sind, welches Copolymer durch Polymerisation von C-Monomeren (welche die einwertigen Sitoxanpolymerreste bereitstellen) und unter A-Monomeren, B-Monomeren und Gemischen hievon ausgewählten Komponenten erhalten wird, worin:
    - A mindestens ein freiradikalisch polymerisierbares Vinylmonomer ist, welches vorzugsweise unter tert. Burylacrylat, tert. Burylerhacrylat und Gemischen hieron ausgewählt ist, wobei die Gewichtsmenge an A-Monomer, wenn es eingesetzt wird, bis zu etwa 98% des Gesamtgewichts der bei der Herstellung des genannten Coolomers verwendeten Monomeren beträdt;
    - B mindestens ein mit A copolymerisierbares, verstärkendes Monomer ist, wobei die Gewichtsmenge an B-Monomer, wenn es eingesetzt wird, bis zu etwa 88% des Gesamtgewichts der zur Henstellung des genannten Copolymers verwendeten Monomeren beträgt, welches B-Monomer unter polaren Monomeren und Makromeren ausgewählt ist und
    - C ein polymeres Monomer mit einem Molekulargewicht von 1.000 bis 50.000 und der allgemeinen Formei  $X(Y)_nSi(R)_{2-m}(Z)_m$  ist, worin

X eine mit den A- und B-Monomeren copolymerisierbare Vinylgruppe darstellt,

Y eine zweiwertige verbindende Gruppe bedeutet.

R für Wasserstoff, Niederalkvi, Arvl oder Alkoxy steht.

Z ein einwertiger Siloxanpolymerrest mit einem Zahlenmittel-Molekulargewicht von mindestens 500 ist, welcher unter Copolymerisationsbedingungen im wesemtlichen unreaktiv ist und sich nach der Polymerisation vom genannten Vinylpolymerrückgrat weg enstreckt,

n 0 oder 1 beträgt,

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m eine ganze Zahl von 1 bis 3 ist.

- 15 worin C 0,01% bis 50% des Gesamtgewichts an zur Herstellung des genannten Copolymers verwendeten Monomeren darstellt; und
  - (b) 0,5% bis 99,5% von einem Träger umfaßt, welcher für die Aufbringung auf das Haar geeignet ist.
- 20 2. Haarpflegezusammensetzung nach Anspruch 1, dadurch gekennzeichnet, daß das Copolymer durch Priymerisation von 67% bis 98% A-Monomen, 0,19% bis 50% C-Monomer und 0% bis 99% E-Monomer erhalten wird, verbeis sich die Prozentsätze auf das Gewicht des zur Herstellung dieses Copolymers verwendeten gesamten Monomeren heisiehen.
- 38 3. Haarpflegezusammensetzung nach Anspruch 1, dadurch gekennzeichnet, daß das Copolymer durch Polymerisation von 0% bis 89% A-Monomer, 0,01% bis 50% C-Monomer und 7,5% bis 80% B-Monomer erhalten wird, wobel sich die Prozentsätze auf das Gewicht des zur Herstellung des Copolymers verwendeten gesamten Monomeren beziehen.
- 30 4. Haarpflegæussammensetzung nach einem der Ansprüche 1 bis 3, worin das polymere C-Monomer ein Polytimethyfslioxanmairomer mit einem Gewichtsmittel-Molekufargewicht von 1.000 bis 50.000 bit; und worin das Polymer und der Träger derart ausgewählt sind, daß sich die polymere Phase, wenn sie getrochert ist, in eine das Polydmethyfslioxannakromer enthaltende, diskontiluerliche Phase und in eine das Rückgrat enthaltende, kontiueriche Phase untrennt.
  - Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 4, dadurch gekennzeichnet, daß sie in der Form eines Sharmpoos vorliegt, welches zueätzlich 10% bis 30% von einem synthetischen grenzflächenskiven Mittel urnfaßt, das vorzugsweise unter Alkysütlaten, ethosyfierten Alkysütlaten und Gemischen hievon ausgewählt ist.
- 40 6. Haarpflegazusammensetzung nach einem der Ansprüche 1 bis 4, dadurch pekenrzeichnet, daß sie in der Formeines Konditionierungsmittels vorliegt, worin der Träger 0,1% bis 10,0% von einem Lipidträgermaterlal, weltes vorzugsweise unter Cehylalkohol, Stetypalmitat, Glycerylmonostearat und Gernischen hievon ausgewählt ist, und 0,05% bis 5,0% von einem kationischen grenzflachenaktiven Mittel ut Bassis von Ammonitum umfaßt.
  - Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 4, dadurch gekennzeichnet, daß sie in einer Form vorliegt, welche unter Haarsprays, Schäumen, einem Haartonikum und Gefen ausgewählt ist.
  - Verfahren zur Konditionierung und Formgebung von Haar, dadurch gekennzeichnet, daß es das Aufbringen einer wirksamen Menge der Zusammensetzung nach einem der Ansprüche 1 bis 7 auf das Haar umfaßt.

### Patentansprüche für folgende Vertragsstaaten : DE, FR, GB, IT

- Haarpflegezusammensetzung, dadurch gekennzeichnet, daß sie (wobei sich alle Prozentsätze auf das Gewicht beziehen):
  - (a) 0,1% bis 10,0% von einem Copolymer mit einem Molekulargewicht von 10,000 bis zu 1,000,000, welches ein Vinylpolymerrückgrat besitzt, an das einwertige Siloxanpolymerreste gepfropft sind, welches Copolymer

durch Polymerisation von C-Monomeren (welche die einwertigen Siloxanpolymerreste bereitstellen) und unter A-Monomeren, B-Monomeren und Gemischen hievon ausgewählten Komponenten erhalten wird, worin:

A mindestens ein freiradikalisch polymerisierbares Vinylmonomer ist, welches vorzugsweise unter tert.Butylacrylat, tert.Butylmethacrylat und Gemischen hievon ausgewählt ist, wobei die Gewichtsmenge an A-Monomer, wenn es eingesetzt wird, bis zu etwa 98% des Gesamtgewichts der bei der Herstellung des genannten Copolymers verwendeten Monomeren beträdt:

B mindestens ein mit A copolymerisierbares, verstärkendes Monomer ist, wobei die Gewichtsmenge an B-Monomer, wenn es eingesetzt wird, bis zu etwa 88% des Gesamtgewichts der zur Herstellung des genannten Copolymers verwendeten Monomeren beträgt, welches B-Monomer unter polaren Monomeren und Makromeren ausgewählt ist: und

C ein polymeres Monomer mit einem Molekulargewicht von 1.000 bis 50.000 und der allgemeinen Formei X(Y), Si(R)<sub>2,m</sub>(Z), ist, worin

X eine mit den A- und B-Monomeren copolymerisierbare Vinylgruppe darstellt,

Y eine zweiwertige verbindende Gruppe bedeutet,

R für Wasserstoff, Niederalkyl, Aryl oder Alkoxy steht,

Z ein einwertiger Siloxanpolymerrest mit einem Zahlenmittel-Molekulargewicht von mindestens 500 ist, welcher unter Copolymerisationsbedingungen im wesentlichen unreaktiv ist und sich nach der Polymerisation vom genannten Vinylpolymertückgrat weg erstreckt,

n 0 oder 1 beträat.

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m eine ganze Zahl von 1 bis 3 ist,

worin C 0,01% bis 50% des Gesamtgewichts an zur Herstellung des genannten Copolymers verwendeten Monomeren darstellt: und

- (p) 0,5% bis 99,9% von einem Träger unfaßt, welcher für die Aufbringung auf das Haar geeignet ist, wobei aber solche Zusammensetzungen ausgeschlossen sind, worin das B-Monomer vorhanden ist oder worin das A-Monomer tert. Butyfmethacrylat mit Trides/imethacrylat darstellt.
- Haarpflegezusammensetzung nach Anspruch 1, dadurch gekennzeichnet, daß das Copolymer durch Polymerisation von 5% bis 95% A-Monomer, 0,01% bis 50% C-Monomer und 0% bis 95% B-Monomer erhalten wird, wobei sich die Prozenlsätze auf das Gewicht des zur Herstellung dieses Copolymers verwendeten gesamten Monomeren beziehen.
- Haarpflegezusammensetzung nach Anspruch 1, dadurch gekennzeichnet, daß das Copolymer durch Polymerisetion von 0% bis 99% A-Monorere, 0.01% bis 50% C-Monorere und 7,5% bis 80% E-Monorere erhalten wird, von sich die Prozentsätze auf das Gewicht des zur Herstellung des Copolymers verwendeten gesamten Monomeren beziehen.
  - 4. Haapflegezusammensetzung nach einem der Ansprüche 1 bis 3, worin das polymere C-Monomer ein Polytimethylsiloxanmakromer mit einem Gewichtsmittel-Molekulargewicht von 1.000 bis 50.000 ist; und worin das Polymer und der Täger derart ausgewählt sind, daß sich die polymere Phase, wenn sie getrodknet ist, in eine das Polytimethylsiloxanmakromer enthaltende, diskontinulerliche Phase und in eine das Rückgrat enthaltende, kontinulerliche Phase auftrennt.
- Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 4, dadurch gekennzeichnet, daß sie in der Form eines Shampoos vorliegt, welches zusätzlich 10% bis 30% von einem synthetischen grenzflächenaktiven Mittel umfaßt, das vorzugsweise unter Alkylsulfaten, ethoxylierten Alkylsulfaten und Gemischen hievon ausgewählt ist.
  - 6. Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 5, worin das A-Monomer tert. Butylacrylat umfaßt.

- Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 6, worin das A-Monomer tert. Butylacrylat allein oder im Gemisch mit tert. Butylmethacrylat ist.
- 8. Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 7, dadruch gekennzeichnet, daß sie in der Form eines Konditionierungsmittels vorliegt, worin der Träger 0,1% bis 10,0% von einem Lipidträgernaterial, welches vorzugsweise unter Cetylalkohol, Stearylakhohol, Cetylpalmital, Glycerylmonostearat und Gemischen hievon ausgewählt ist, und 0,05% bis 5,0% von einem kattonischen grenzflächeraktiven Mittel, vorzugsweise einem quaternären errachtenbankenten Mittel auf Basis von Ammonium umfelt.
- Haarpflegezusammensetzung nach einem der Ansprüche 1 bis 7, dadurch gekennzeichnet, daß sie in einer Form vorliegt, welche unter Haarsprays, Schäumen, einem Haartonikum und Gelen ausgewählt ist.
  - Verfahren zur Konditionierung und Formgebung von Haar, dadurch gekennzeichnet, daß es das Aufbringen einer wirksamen Menge der Zusammensetzung nach einem der Ansprüche 1 bis 9 auf das Haar umfaßt.
  - Haarpflegezusammensetzung, dadurch gekennzeichnet, daß sie (wobei sich alle Prozentsätze auf das Gewicht beziehen):
    - (a) 0,1% bis 10,0% von einem Copolymer mit einem Molekulargewicht von 10,000 bis zu 1,000,000, welches ein Virylpolymerrückgraft besitzt, an das einwertige Silovanpolymerreste gepfropft sind, welches Copolymer durch Polymerisation von C-Monomeren (welche die einwertigen Silovanpolymerreste bereitstellen) und unter A-Monomeren, B-Monomeren und Gemischen hievon ausgawählten Komponenten erhalten wirdt, worin:
      - A mindestens ein freiradikalisch polymerisierbares Vinylmonomer ist, welches vorzugsweise unter tert. Butylacrylat, tert. Butylmethacrylat und Gemischen hievon ausgewählt ist, wobei die Gewichtsmenge an A-Monomer, wenn es eingesetzt wird, bis zu ehwa 98% des Gesamtgewichts der bei der Herstellung des genannten Copolymers verwendeten Monomeren beträgt:
      - B mindestens ein mit A copolymerisierbares, verstärkendes Monomer ist, wobel die Gewichtsmenge an B-Monomer, wann es eingesetzt wird, bis zu etwa 88% des Gesamtgewichts der zur Herstellung des genannten Copolymers verwend eten Monomeren beträgt, welches B-Monomer unter polaren Monomeren und Malromeren ausgewählt ist; und
      - C ein polymeres Monomer mit einem Molekulargewicht von 1.000 bis 50.000 und der allgemeinen Formel  $X(Y)_nSi(R)_{3-m}(Z)_m$  ist, worin
        - X eine mit den A- und B-Monomeren copolymerisierbare Vinylgruppe darstellt,
        - Y eine zweiwertige verbindende Gruppe bedeutet,
          - R für Wasserstoff, Niederalkyl, Aryl oder Alkoxy steht,
          - Z ein einwertiger Siloxanpolymerrest mit einem Zahlenmittel-Molekulargewicht von mindestens 500 ist, welcher unter Copolymerisationsbedingungen im wesentlichen unreaktiv ist und sich nach der Polymerisation vom genannten Vinylpolymerückgrat weg erstreckt,
          - n 0 oder 1 beträgt.

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- m eine ganze Zahl von 1 bis 3 ist.
- worin C 0,01% bis 50% des Gesamtgewichts an zur Herstellung des genannten Copolymers verwendeten Monomeren darstellt; und
- (b) 0.5% bis 9,5% von einem Träger umfaßt, welcher für die Aufbringung auf das Haar geeignet ist, wobei aber solche Zusammensetzungen ausgeschlossen sind, worin das Copolymer durch Polymerisation sowohl eines C-Monomers, in welchem der Siloxampolymerrest 150 oder weriger Siloxaneinheiten enthält, als auch eines B-Monomers in einer Gewichtsmenge von 15% oder darüber, bezogen auf das Gesamtgewicht der zur Herstellung des Coolymers verwendeten Monomers, erheiten wird.

- 12. Haarpflegezusammensetzung nach Anspruch 11, dadurch gekennzeichnet, daß das Copolymer durch Polymerisation von 5% bis 99% A-Monomer, 0.01% bis 50% C-Monomer und 0% bis 99% B-Monomer erhalten wird, wobei sich die Prozentsätze auf das Gewicht des zur Herstellung dieses Copolymers verwendeten gesamten Monomeren beziehen.
- 13. Haarpflegezusammensetzung nach Anspruch 11, dadurch gekennzeichnet, daß das Copolymer durch Polymerisation von 0% bis 99% A-Monomer, 0,01% bis 50% C-Monomer und 7,5% bis 80% B-Monomer erhalten wird, wobel sich die Prozentsätze auf das Gewicht des zur Herstellung des Copolymers verwendeten gesamten Monomeren baziehen.
- 14. Haarpflegezusammensetzung nach einem der Ansprüche 11 bis 13, worin das polymere C-Monomer ein Polydimethylsiloxammakromer mit einem Gewichtsmittel-Molekulargewicht von 1,000 bis 50,000 ist; und worin das Polymer und der T\u00e4ger der ausgewählt sind, daß sich die polymere Phase, wenn sie getrocknet ist, in eine das Polydinethylsiloxammakromer enthaltende, diskontinuierliche Phase und in eine das R\u00fcdgrat enthaltende, kontinuierliche Phase auftrent.
  - 15. Haarpflegezusammensetzung nach einem der Ansprüche 11 bis 14. dadurch gekennzeichnet, daß sie in der Form eines Shampoos vorliegt, welches zusätzlich 10% bis 30% von einem synthetischen grenzflächendikten Mittel umfaßt, das vorzusweise unter Alkvisultaten, ethoxylierten Alkvisultaten und Gemischen hievon aussewählt ist.
- 16. Haarpflegezusammensetzung nach einem der Ansprüche 11 bis 14, dadruch gekennzeichnet, daß sie in der Form eines Konditiorierungsmittels vorliegt, worin der Träger 0,1% bis 10,0% von einem Lipidträgermaterial, welches vorzugsweise unter Cetylallechol, Stearylaicholo, Catylpalmitat, Glycarylmonostaerat und Gemischen hievon ausgewählt ist, und 0,05% bis 5,0% von einem kationischen grenzflächeralkiven Mittel, vorzugsweise einem quaternätren grenzflächeralkiven Mittel, vorzugsweise einem quaternätren grenzflächerankiven Mittel utf Basis von Ammonium umfaß.
- 17. Haarpflegezusammensetzung nach einem der Ansprüche 11 bis 14, dadurch gekennzelchnet, daß sie in einer Form vorliegt, welche unter Haarsprays, Schäumen, einem Haartonikum und Gelen ausgewählt ist.
- 30 18. Verfahren zur Konditionierung und Formgebung von Haar, dadurch gekennzeichnet, daß es das Aufbringen einer wirksamen Menge der Zusammensetzung nach einem der Ansprüche 11 bis 17 auf das Haar umfaßt.

### Bevendications

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- 35 Revendications pour les Etats contractants suivants : AT, BE, CH, DK, ES, GR, LI, LU, NL, SE
  - 1. Composition de soin des cheveux, caractérisée en ce qu'elle comprend (tous les pourcentages étant pondéraux):
    - (a) de 0.1% à 10.0% d'un copolymère ayant une masse moléculeire de 10 000 à 1 000 000, qui possède un squiette polymère vinylique sur lequel sont greffés des groupements polymères siloxane monovalents, ledit copolymère étant obtenu par polymérisation de monomères C (qui fournissent les groupements polymères siloxane monovalents) et de constituants choisis parmi les monomères A, les monomères B, et leurs mélanges, dans lequel:
  - A est au moins un monomère virnylique polymérisable par polymérisation radicalaire, choisí de préférence parmi l'acrylate de Houtyle, le méthacrylate de Houtyle et leurs mélanges, la quantité, en poids, du monomère A, lorsqu'il est utilisé, allant jusqu'à environ 98% du poids total des monomères utilisés pour labriquer ledit copolymère;
    - B est au moins un monomère de renfort copolymérisable avec A, la quantité en poids du monomère B, lorsqu'il est utilisé, allant jusqu'à environ 98% du poids total des monomères utilisés pour fabriquer ledit copolymère, ledit monomère B étant choisi parmi les monomères et les macromères polaires; et
    - C est un monomère polymère ayant une masse moléculaire de 1 000 à 50 000 et répondant à la formule générale X(Y),Si(R)3,m(Z)m, dans laquelle
  - X est un groupe vinyle copolymérisable avec les monomères A et B.
    - Y est un groupe de liaison divalent.
    - R est un atome d'hydrogène ou un groupe alkyle inférieur, aryle ou alcoxy,
    - Z est un groupement polymère siloxane monovalent avant une masse moléculaire moyenne en nom-

bre d'au moins 500, est essentiellement non réactif dans les conditions de copolymérisation et est pendant dudit squelette polymère vinylique après la polymérisation,

n vaut 0 ou 1, m est un nombre entier de 1 à 3.

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dans laquelle C constitue de 0,01% à 50% du poids total des monomères utilisés pour fabriquer ledit copolymère: et

(b) de 0,5% à 99,5% d'un véhicule approprié à l'application sur les cheveux.

- Composition de soin des cheveux selon la revendication 1, caractérisée en ce que le copolymère est obtenu par polymérisation de 5% à 93% de monomère A, de 0,01% à 50% de monomère C et de 0% à 93% de monomère B, les pourcentages étant reportés au poids du total des monomères utilisées pour fabriquer copolymère.
- 3. Composition de soin des cheveux selon la revendication 1, caractérisée en ce que le copolymère est obtenu par polymérissation de 0% à 98% de monomère A, de 0,01% à 50% de monomère C et de 7,5% à 60% de monomère B, les pourcentages étant respontés au polds du total des monomères utilisés pour fabriquer ce copolymère.
  - 4. Composition de soin des cheveux selon l'une quelconque des revendications 1 à 3, dans laquelle le monomère polymère C est un macromère de polydiméthylsiloxane syant une masse moléculaire moyenne en poist comprise entre 1 000 et 50 000; et dans laquelle le polymère et le véhicule sont choisis de telle sorte qu'une fois séchée, la phase de polymère se sépare en une phase discontinue contenant le macromère de polydiméthylsiloxane et une phase continue contenant le squelette.
- 26 5. Composition de soin des cheveux selon l'une quelconque des revendications 1 à 4, caractérisée en ce qu'elle se présente sous la forme d'un shampooing qui comprend en plus de 10% à 30% d'un tensioactif synthétique, choisi de tréférence parmi les altivisultates, les allavisultates éthoxylés et leurs mélances.
  - 6. Composition de soin des cheveux selon l'une quelconque des revendications 1 à 4, caractérisée en ce qu'elle se présente sous la forme d'un produit de conditionnement dans lequel le véhicule comprend de 0,1% à 10,0% d'un matériau véhicule lipidique, choisi de préférence parmit l'alcool cétyfique, l'alcool stéatyrique, le palmitate de cétyle, le monostearate de glycéryle, et leurs mélanges; et de 0,05% à 5,0% d'un tensioactif cationique, de préférence un tensioactif de type ammonium quaternaire.
- 7. Composition de soin des cheveux selon l'une quelconque des revendications 1 à 4, caractérisée en ce qu'elle se présente sous la forme de pulvérisations pour les cheveux, de mousses, de toniques capillaires ou de gels.
  - Procédé pour conditionner et coiffer les cheveux, caractérisé en ce qu'îl comprend l'application sur les cheveux d'une quantité efficace de la composition selon l'une quelconque des revendications 1 à 7.

Revendications pour les Etats contractants suivants : DE, FR, GB, IT

- 1. Composition de soin des cheveux, caractérisée en ce qu'elle comprend (tous les pourcentages étant pondéraux);
- 46 (a) de 0,1% à 10,0% d'un copolymère ayant une masse moléculaire de 10 000 à 1 000 000, qui possède un squelette polymère vivin/ique sur lequel sont greffés des groupements potymères siloxane monovalents, ledit copolymère étant obtenu par polymèrisation de monomères C (qui fournissent les groupements polymères siloxane monovalents) et de constituants choisis parmi les monomères A, les monomères B, et leurs mélanges, dans lequel:

A est au moins un monomère vinylique polymérisable par polymérisation radicalaire, choisi de préférence parmi l'acrylate de bbutyle, le méthacrylate de bbutyle et leurs mélanges, la quantité, en pods, du monomère A, lorsqu'il est utilisé, allant jusqu'à environ 99% du poids total des monomères utilisés pour tabriquer ledit cooolymère:

E est au moins un monomère de renfort copolymérisable avec A, la quantité en poids du monomère B, lorsqu'il est utilisé, allant jusqu'à environ 99% du poids total des monomères utilisés pour l'abriquer ledit copolymère, ledit monomère B étant choisi parmi les monomères et les macromères polaires: et

C est un monomère polymère ayant une masse moléculaire de 1 000 à 50 000 et répondant à la formule

générale X(Y),Si(R),m(Z)m, dans laquelle

X est un groupe vinyle copolymérisable avec les monomères A et B.

Y est un groupe de liaison divalent.

R est un atome d'hydrogène ou un groupe alkyle inférieur, aryle ou alcoxy,

Z est un groupement polymère siloxane monovalent ayant une masse moléculaire moyenne en nombre d'au moins 500, est essentiellement non réactif dans les conditions de copolymérisation et est pendant dudit squelette polymère vinyique après la polymérisation,

n vaut 0 ou 1.

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m est un nombre entier de 1 à 3

dans laquelle C constitue de 0,01% à 50% du poids total des monomères utilisés pour fabriquer ledit copo-

(b) de 0.5% à 99.5% d'un véhicule approprié à l'application sur les cheveux.

mais à l'exclusion des compositions dans lesquelles le monomère B est présent ou dans lesquelles le monomère A est du méthacrylate de t-butyle avec du méthacrylate de tridécyle.

- Composition de soin des cheveux selon la revendication 1. caractérisée en ce que le copolymère est obtenu par 20 polymérisation de 5% à 98% de monomère A, de 0,01% à 50% de monomère C et de 0% à 98% de monomère B, les pourcentages étant rapportés au poids du total des monomères utilisés pour fabriquer ce copolymère.
- Composition de soin des cheveux selon la revendication 1, caradérisée en ce que le copolymère est obtenu par polymérisation de 0% à 99% de monomère A, de 0,01% à 50% de monomère C et de 7,5% à 80% de monomère B, les pourcentages étant rapportés au poids du total des monomères utilisée pour fabriquer ce copolymère.
  - 4. Composition de soin des cheveux selon l'une quelconque des revendications 1 à 3, dans laquelle le monomère polymère C est un macromère de polydiméthy/siloxane syant une masse moléculaire moyenne en poids comprise entre 1 000 et 50 000; et dans laquelle le polymère et le véhicule sont choisis de telle sorte qu'une fois sédnée, la phase de polymère se sépare en une phase discontinue contenant le macromère de polydiméthy/siloxane et une phase continue contenant le scueletta.
  - Composition de soin des cheveux selon l'une quelconque des revendications 1 à 4, caractérisée en ce qu'elle se présente sous la forme d'un shampooing qui comprend en plus de 10% à 30% d'un tensicactif synthétique, choisi de préférence parmi les allyfusulfates, les alkyfusulfates éthoxylés et leurs mélanges.
  - Composition de soin des cheveux selon l'une quelconque des revendications 1 à 5, dans laquelle le monomère A comprend de l'acrylate de t-butyle.
- 40 7. Composition de soin des cheveux selon l'une quelconque des revendications 1 à 6, dans laquelle le monomère A est de l'acrylate de t-butyle, seul ou en mélange avec du méthacrylate de t-butyle.
- Composition de soin des cheveux salon l'une quelconque des revendications 1 à 7, caractérisée en ce qu'elle se présente sous la forme d'un produit de conditionnement dans lequel le véhicule comprend de 0,1% à 10,0% d'un matériau véhicule lipidique, choisi de préférence parmi l'abcol célyfique, l'afacol stéarylique, le palmitate de célyfe, le monostéarate de glycéryle, et leurs mélanges; et de 0,05% à 5,0% d'un tensioactif cationique, de préférence un tensioactif de type ammonium quaternaire.
  - Composition de soin des cheveux seion l'une quelconque des revendications 1 à 7, caractérisée en ce qu'elle se présente sous la forme de pulvérisations pour les cheveux, de mousses, de toniques capillaires ou de gels.
    - 10. Procédé pour conditionner et coiffer les cheveux, caractérisé en ce qu'il comprend l'application sur les cheveux d'une quantité efficace de la composition selon l'une quelconque des revendications 1 à 9.
- 55 11. Composition de soin des cheveux, caractérisée en ce qu'elle comprend (tous les pourcentages étant pondéraux):
  - (a) de 0,1% à 10,0% d'un copolymère ayant une masse moléculaire de 10 000 à 1 000 000, qui possède un squelette polymère vinylique sur lequel sont greffés des groupements polymères siloxane monovalents, ledit

copolymère étant obtenu par polymérisation de monomères C (qui fournissent les groupements polymères silloxane monovalents) et de constituants choisis parmi les monomères A, les monomères B, et leurs mélandes, dans lequel:

- A est au moins un monomère vinylique polymérisable par polymérisation radicalaire, choisí de préférence parmi l'acrylate de t-butyle, le méthacrylate de t-butyle et leurs mélanges, la quantité, en poids, du monomère A, lorsqu'il est utilisé, allant jusqu'à environ 98% du poids total des monomères utilisés pour fabriquer ladit propolymère:
  - B est au moins un monomère de renfort copolymérisable avec A, la quantité en poids du monomère B, lorsqu'il est utilisé, allant jusqu'à environ 89% du poids total des monomères utilisés pour fabriquer ledit conolymàre, aleit monomère B étant chois i carmi les monomères et les macromères poliagies; et
  - C est un monomère polymère ayant une masse moléculaire de 1 000 à 50 000 et répondant à la formule générale X(Y), Si(R), m(Z), m, dans laquelle
    - X est un groupe vinyle copolymérisable avec les monomères A et B,
    - Y est un groupe de liaison divalent,

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- R est un atome d'hydrogène ou un groupe alkyle inférieur, aryle ou alcoxy,
- Z est un groupement polymère siloxane monovalent ayant une masse moléculaire moyenne en nombre d'au moins 500, est essentiellement non réactif dans les conditions de copolymérisation et est pendant dudit squelette polymère vinylique après la polymérisation, n vaut 0 nu 1.
- m est un nombre entier de 1 à 3.
- dans laquelle C constitue de 0,01% à 50% du poids total des monomères utilisés pour fabriquer ledit copolymère; et
- (b) de 0,5% à 99,5% d'un véhicule approprié à l'application sur les cheveux,
- mais à l'exclusion des compositions dans lesquelles le copolymère est obtenu par polymérisation à la fois d'un monomère C dans lequel le groupement polymère siloxane possède 150 motifs siloxane ou moins et d'un monomère B en proportion pondérale de 15% ou plus, rapportée au poids total des monomères utilisés pour fabriquer le copolymère.
- 12. Composition de soin des cheveux selon la revendication 11, caractérisée en ce que le copolymère est obtenu par polymérisation de 5% à 98% de monomère B, de 0,01% à 50% de monomère C et de 0% à 98% de monomère B, les pourcentages étant rapportés au poids du total des monomères utilisés pour fabriquer ce copolymère.
- 13. Composition de soin des cheveux selon la revenification 11, caractérisée en ce que le copolymère est oblanu par polymérisation de 0% à 98% de monomère A, de 0,01% à 50% de monomère C et de 7,5% à 80% de monomère B, les pourcentages étant rapportés au poids du total des monomères utilisés pour fabriquer ce copolymère.
- 14. Composition de soin des cheveux selon l'une quelconque des revendications 11 à 13, dans laquelle le monomère polymère C est un macromère de polydimétry/siloixane ayant une masse moléculairs moyenne en polotic comprise entre 100 et 50 000; et dans laquelle le polymère et le véhicule sont choisis de telle sorte qu'une fois séchée, la phase de polymère se sépare en une phase discontinue contenant le macromère de polydimétry/siloixane et une phase continue contenant le souelette.
- 15. Composition de soin des cheveux selon l'une quelconque des revendications 11 à 14, caractérisée en ce qu'elle se présente sous la forme d'un shampooing qui comprend en plus de 10% à 30% d'un tensioacitif synthétique, choisi de référence parmi les allivisultates, les allivisultates éthoxivés et leurs mélanges.
- 16. Composition de soin des cheveux selon l'une quelconque des revendications 11 à 14, caractérisée en ce qu'elle se présente sous la forme d'un produit de conditionnement dans lequel le véhicule comprend de 0.1% à 10,0% d'un materiau véhicule lipidique, choisi de préférence perm'i l'alcool cétylique, l'alcool stérylique, le paimitate de cétyle, le monostéarate de glycéryle, et leurs mélanges; et de 0.05% à 5,0% d'un tensioactif d'exprence un tensioactif de type ammonium quaternaire.
- 17. Composition de soin des cheveux selon l'une quelconque des revendications 11 à 14, caractérisée en ce qu'elle se présente sous la forme de pulvérisations pour les cheveux, de mousses, de toniques capillaires ou de gels.

	18.	Procédé pour conditionner et coiffer les cheveux, caractérisé en ce qu'il comprend l'application sur les cheveux d'une quantité efficace de la composition selon l'une quelconque des revendications 11 à 17.
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(54) Title: HAIR TREATMENT COMPOSITIONS WHICH PROVIDE HAIR RODY AND WHICH COMPRISE STITCOME PRESSURE SENSITIVE ADHESIVES

(57) Abstract: An aqueous hair treatment composition comprising: a) at least one silicone pressure sensitive adhesive; and b) at least one material selected from the group consisting of a hair conditioning agent, a hair cleansing agent, and an agent for hair care suspension, is described.

(GB).

# HAIR TREATMENT COMPOSITIONS WHICH PROVIDE HAIR BODY AND WHICH COMPRISE SILICONE PRESSURE SENSITIVE ADHESIVES

### FIELD OF THE INVENTION

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This invention relates to rinse-off hair treatment compositions and to their use in the treatment of hair.

## BACKGROUND AND PRIOR ART

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Shampoo compositions are generally formulated with highly effective cleansing surfactants, typically anionic surfactants, and do not in themselves provide much conditioning or styling benefit to the hair. In fact, basic shampoo formulations which have not been supplemented with specific conditioning or styling agents have a tendency to leave the hair in a cosmetically-unsatisfactory condition with regards to manageability and stylability. The hair tends to have a harsh, dull and dry feel, often referred to as "creak", is often difficult to comb, in either the wet or the dry state, typically has poor brushing properties, and tends to have poor set-retaining abilities.

This has resulted in the use of products containing specific conditioning and/or styling agents. Such agents are generally applied separately after shampooing and rinsing the hair, for example, in the form of conditioner formulations or styling mousses etc. Alternatively, conditioning and/or styling agents have been incorporated into the shampoo formulations. Although the latter approach provides the advantage of removing the need for a separate

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conditioner or styling treatment, the conditioning and/or styling agents are not always compatible with the shampoo ingredients, especially the anionic surfactant. This can result in the cleansing action and/or cosmetic benefit being compromised.

One of the most common methods for imparting styling benefits to the hair has been the use of hair fixative agents, such as high molecular weight polymers. The problem with using such agents is that they have a tendency to negatively impact on conditioning attributes such as wet and dry stage clean feel and smoothness. In fact, they can result in a sticky feel to the hair.

15 Conventional styling polymers are typically water soluble. This means that when incorporated into a shampoo or conditioner which is rinsed off the hair, there is a tendency for the styling polymer to be washed away to a greater or lesser degree with the shampoo/conditioner.
20 Hence, most styling products are leave-in products which are applied to the hair as post-shampoo/conditioner treatments.

The problem being addressed by the present invention is the provision of rinse-off hair treatment compositions which

25 impart styling benefits, and in particular body benefits on the hair, but which do not compromise the cleansing action of the shampoo and which do not negatively impact on the conditioning attributes of the hair. The body benefits or attributes the present invention is looking particularly to provide include root lift, increased hair volume, bounce, control (i.e. ease of styling) and manageability, i.e.

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maintenance of style without undue stiffness and negative sensory feel. Such body attributes are particularly attractive to people with fine or long, weighty hair.

5 One way in which this problem has been addressed in the past has been to include conditioning agents, for example silicones and cationic surfactants, in the compositions, to counter the negative effects of the styling agents.

Although such conditioning agents do provide substantial

improvements in for example the wet and dry combing properties of the hair and in the smoothness of the hair, they tend to have a negative effect on many of the

attributes associated with hair body.

example a dry feel to the hair.

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15 An alternative approach has been the use different forms of styling agents such as small particulate materials. Such an approach is described, for example, in our unpublished FCT International Patent Application No. PCT/GB00/04020. This document describes the use of small hard particles, and in particular colloidal silica, in hair treatment compositions to impart body and volume to the hair. Although providing significant styling benefits, the use of these materials can still lead to small levels of sensory negatives, such as for

JP 10144622 (Toshiba Silicone) discloses cosmetic compositions containing particles consisting of colloidal silica cores surrounded by silicone shells which may be used on the skin or hair. Hairdressing lotions, hair creams and

30 cleansing compositions such as a shampoo, rinse and

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conditioner are disclosed as suitable cosmetic compositions in which the particles can be utilized.

We have now found that the inclusion of a certain level of silicone pressure sensitive adhesives (silicone PSA) in the hair treatment formulations provides substantial styling benefits, in particular with regards to imparting body attributes to the hair. Furthermore, the conditioning attributes of the hair are not adversely affected by the use of hair compositions containing these silicone PSA's and there is no necessity to incorporate additional conditioning agents or specialized surfactant systems. The compositions of the present invention are also stable.

15 The incorporation of silicone PSA's into the hair treatment compositions of this invention leads to substantive improvements in the body of the washed and optionally conditioned hair, especially if a subsequent styling regime is followed. The compositions impart body attributes, such as root lift, volume, bounce and manageability, in the absence (or substantial absence) of a styling polymer, which leads to compositions which have a styling benefit, but nevertheless do not suffer from the sensory negatives (e.g. stickiness and/or dry feel) which are associated with prior styling compositions which are based on, for example, a styling polymer.

# SUMMARY OF THE INVENTION

30 Accordingly, this invention provides an aqueous hair treatment composition comprising:

- 5 -

a) at least one silicone pressure sensitive adhesive

 a material selected from the group consisting of a suspending agent, a hair conditioning agent and a hair cleansing agent.

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Preferably, the resulting hair care composition will increase static friction of dry hair by at least about 10%, and will increase in the dynamic friction of dry hair by no more than 100% or leave said dynamic friction unchanged or decrease said dynamic friction. This invention provides for the use of silicone PSA's as defined herein in a hair treatment composition to impart body without compromising conditioning.

# 15 DETAILED DESCRIPTION OF THE INVENTION

Unless specified otherwise, all wt% values quoted hereinafter are percentages by weight based on total weight of the hair treatment composition.

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Compositions of the invention may be prepared by known methods, or may be prepared by methods which are analogous to known methods.

25 By "insoluble" is meant that the material is not soluble in water (distilled or equivalent) at a concentration of 0.1%, at 25°C.

The invention provides an aqueous hair treatment composition 30 comprising:

- 6 -

a) at least one silicone pressure sensitive adhesive

 a material selected from the group consisting of a suspending agent, a hair conditioning agent and a hair cleansing agent.

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Preferably, the resulting hair care composition will increase static friction of dry hair by at least 10%, or more preferably by at least about 15%, most preferably by about 20% and which increases the dynamic friction of dry hair by not more than 100%, or more preferably no more than by 60% or most preferably not more than by 40% Said dynamic friction of dry hair may also be unchanged or decrease.

More preferably, the invention provides an aqueous hair treatment composition comprising

- a) about 0.1% to about 10% of at least one silicone pressure sensitive adhesive;
   and
- b1) about 0.1% to about 10% of at least one suspending agent; or
- b2) about 0.05% to about 10% of at least one hair conditioning agent; or
- b3) about 5% to about 40% of at least one hair cleansing agent.

25

What follows now are descriptions of the materials and ingredients that may be employed in the compositions of the present invention.

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### SILICONE PRESSURE SENSITIVE ADHESIVES

Pressure sensitive adhesives (PSA) are being used for a wide variety of adhesive applications. Organic PSAs have been finding increased utility principally for manufacture of adhesive tapes and labels. Other PSA uses include automotive, medical and coating industry applications. There are several chemical types of PSAs, including tackified natural rubbers, synthetic rubbers, polyvinyl ether types PSAs, acrylic, and silicone PSAs. The present invention relates to the use of Silicone PSAs in hair care applications.

PSAs require a delicate balance of viscous and elastic properties that results in desired balance of adhesion, 15 cohesion, peel strength and elasticity. The performance of PSA is governed mainly by three properties: Tack, peel strength and shear strength. Properties such as shear strength, cohesion can be tested using standard tests that are found in the detail in literature (Ref: A. Zosel, J. 20 Adhesion, 1994, 44, pp 1-6). Adhesion is the binding force between two different materials, whereas cohesion is the binding force between two similar materials. When two materials are brought into contact with each other, the surface molecules interact, giving rise to attractive forces 25 that may be physical, chemical or electrostatic (corresponding to adsorption, covalent bonding or van der Waals forces, respectively). When the molecules are similar, as in the case of two 'glue molecules,' the cohesive force causes the glue to stick to itself. When the 30 molecules are dissimilar, as in the case of a glue molecule

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and a molecule of the substrate (the surface the glue is sticking to), the adhesive force holds the glue to the substrate. PSAs usually consist of chemical moieties that exhibit varying tackifying and elastomeric behaviors. By controlling the amount of the viscous and elastic materials, desired properties can be obtained. As used herein, the term PSA shall refer to an adhesive composition that satisfies the Dahlquist criterion, i.e.: 1 -sec creep compliance greater than 1x10 -6 cm 2/dyne (Ref: Handbook of 10 Pressure Sensitive Adhesive Technology, p 172, D. Satas (ed.) Van Nostrand, N. Y. (1989)). Typically, a pressure sensitive adhesive is normally tacky at room temperature and adheres to a surface upon contact to the surface without the need for more than finger or hand pressure. Owing to the 15 unique chemistry of PSAs, they exhibit some unique properties such as low glass transition temperature  $(T_{\rm g})$ , low surface energy, high flexibility, quick bonding.

This present invention relates to the use of silicone
PSAs for hair care rinse-off applications. Silicone PSAs
comprise two major components, a polymer or gum, and a
tackifying resin. The polymer is typically a high molecular
weight polydimethylsiloxane or polydimethyldiphenylsiloxane,
that contains residual silanol functionality (SiOH) on the
ends of the polymer chain, or a block copolymer comprising
polydiorganosiloxane soft segments and urea terminated hard
segments. The tackifyng resin is generally a three
dimensional silicate structure that is endcapped with
trimethylsiloxy groups (OSiMe3) and also contains some
residual silanol functionality. Manufacture of typical

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silicone PSA is described in US patent 2736721 (Dexter). Other materials can be added for special purposes, including pigments, plasticizers, and fillers. Although, silicone PSAs have been cited in US patent 5337047, US patent 5060804 and US patent 5451610.

A preferable silicone PSA can be a mixture of a hydroxyterminated polydimethylsiloxane gum of  $T_{\rm q}$  below -20°C with a silicone resin which has a  $T_{\rm g}$  or softening point above 0°C. The gum is lightly crosslinked with the resin. The resin comprises at least 30% units selected from RSiO3/2 units (T units) and SiO4/2 units (Q units), optionally together with R3Si01/2 units (M units) and/or R2Si02/2 units (D units), where R is a monovalent hydrocarbon radical, preferably 15 methyl, and generally has an average of at least one R group per Si atom. The  $T_{\rm g}$  of the blend of resin and gum is generally between -15 and 15°C (T at tan delta maximum). The resin lowers the rubbery plateau modulus of the system. The resin is preferably a silanol-containing trimethylated silicate resin, that is a resin comprising Q and M units in 20 which some trimethylsilyl groups are replaced by dimethylhydroxysilyl groups. The PSAs described above can be supplied as solutions or in emulsified form to be used in the hair care compositions of this invention.

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A preferred silicone PSA emulsion can be prepared by mixing the silicone PSA in volatile silicone fluid. The silicone PSA together with a volatile silicone fluid having a boiling point below 300°C is emulsified together in water using one or more surfactants. The preferred surfactants are anionic

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or nonionic surfactants, especially a blend of anionic and nonionic surfactants. The silicone fluid can be a linear polydiorganosiloxane such as hexamethyldisiloxane, octamethyltrisiloxane, decamethyltetrasiloxane,

5 dodecamethylpentasiloxane or a polydimethylsiloxane of viscosity lcSt, or can be a cyclic siloxane such as decamethylcyclopentasiloxane or octamethylcyclotetrasiloxane or can be a mixture of one or more linear polydimethylsiloxanes with one or more cyclic siloxanes.

10

Silicone PSAs may be formed with a wide range of tack properties, peel adhesion properties and cohesion properties. In the hair care compositions of the present invention, it is also preferable that the silicone PSAs have tack and adhesion properties in the following range:

	Tack (g)	Adhesion (g/cm)
Low tack (LT)	<70	800
Medium tack (MT)	70	600
High tack (HT)	500	400

20 In the hair care compositions of the present invention, it is also preferable that the silicone PSAs have tack properties between about 40 g to about 750 g, or more preferably 50 g to 600 g; adhesion properties between about 1600 g/cm to 200 g/cm, or more preferably 1000 g/cm to 300 25 g/cm.

Silicone PSA that may be used in the compositions of the invention may have a resin-to-polymer ratio that can range

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from about 25 to 75 to about 75 to 25, more preferably from about 55 to 45 to 65 to 35.

In the hair care compositions of the present invention, the silicone FSAs can cause said compositions to maximally increase the dynamic friction of dry hair by 100% or 60%, or most preferably by 40%; and can cause the static friction of dry hair to increase by at least 10% or 15%, or most preferably by 20%.

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This invention does not include the silicone acrylate type of PSAs, such as acrylate dimethicone copolymer cited in US patent 5166276 or acrylates/dimethicone methacrylate copolymer that have been previously used in hair care applications.

# HAIR TREATMENT COMPOSITIONS

Compositions in accordance with the invention may be
20 formulated as compositions for the treatment of hair and
subsequent rinsing.

# COMPOSITIONS MADE WITH A SUSPENDING AGENT

25 Compositions in accordance with this invention may also be formulated as suspensions for the treatment of hair and subsequent rinsing. These compositions will require silicone PSAs and a suspending agent.

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## Suspending agents:

In a preferred embodiment, the hair treatment composition further comprises from 0.01 to 10 wt% of a suspending agent 5 for the silicone pressure sensitive adhesive. Suitable suspending agents are selected from polyacrylic acids, cross-linked polymers of acrylic acid, copolymers of acrylic acid with a hydrophobic monomer, copolymers of carboxylic acid-containing monomers and acrylic esters, cross-linked 10 copolymers of acrylic acid and acrylate esters, heteropolysaccharide gums and crystalline long chain acyl derivatives. The long chain acyl derivative is desirably selected from ethylene glycol stearate, alkanolamides of fatty acids having from 16 to 22 carbon atoms and mixtures thereof. Ethylene glycol distearate and polyethylene glycol 1.5 3 distearate are preferred long chain acyl derivatives. Polyacrylic acid is available commercially as Carbopol 420, Carbopol 488 or Carbopol 493. Polymers of acrylic acid cross-linked with a polyfunctional agent may also be used, they are available commercially as Carbopol 910, Carbopol 20 934, Carbopol 940, Carbopol 941 and Carbopol 980. An example of a suitable copolymer of a carboxylic acid containing a monomer and acrylic acid esters is Carbopol 1342. All Carbopol (trade mark) materials are available 25 from Goodrich.

Suitable cross-linked polymers of acrylic acid and acrylate esters are Pemulen TR1 or Pemulen TR2. A suitable heteropolysaccharide gum is xanthan gum, for example that available as Kelzan mu.

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### COMPOSITIONS MADE WITH A CONDITIONING AGENT

Compositions in accordance with this invention may also be formulated as conditioners for the treatment of hair typically after shampooing and subsequent rinsing. These compositions will require silicone PSAs and a conditioning agent.

Conditioning Agents

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Such a conditioner will comprise at least one silicone pressure sensitive adhesive and one or more conditioning agents that are cosmetically acceptable and suitable for topical application to the hair.

15

Suitable conditioning agents are selected from cationic surfactants, used singly or in admixture.

Cationic surfactants useful in compositions of the invention
contain amino or quaternary ammonium hydrophilic moieties
which are positively charged when dissolved in the aqueous
composition of the present invention.

Examples of suitable cationic surfactants are those corresponding to the formula:

[N(R<sub>1</sub>)(R<sub>2</sub>)(R<sub>3</sub>)(R<sub>4</sub>)] + (X)

in which  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are independently selected from 30 (a) an aliphatic group of from 1 to 22 carbon atoms, or (b)

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an aromatic, alkoxy, polyoxyalkylene, alkylamido,
hydroxyalkyl, aryl or alkylaryl group having up to 22 carbon
atoms; and X is a salt-forming anion such as those selected
from halogen, (e.g. chloride, bromide), acetate, citrate,
5 lactate, glycolate, phosphate nitrate, sulphate, and
alkylsulphate radicals.

The aliphatic groups can contain, in addition to carbon and hydrogen atoms, ether linkages, and other groups such as 
10 amino groups. The longer chain aliphatic groups, e.g., those of about 12 carbons, or higher, can be saturated or unsaturated.

The most preferred cationic surfactants for conditioner

15 compositions of the present invention are monoalkyl
quaternary ammonium compounds in which the alkyl chain
length is C8 to C14.

Suitable examples of such materials correspond to the 20 formula:

 $[N(R_5)(R_6)(R_7)(R_8)]^+(X)^-$ 

in which R<sub>5</sub> is a hydrocarbyl chain having 8 to 14 carbon stoms

or a functionalized hydrocarbyl chain with 8 to 14 carbon

atoms and containing ether, ester, amido or amino moieties

present as substituents or as linkages in the radical chain,

and R<sub>5</sub>, R<sub>7</sub> and R<sub>8</sub> are independently selected from (a)

hydrocarbyl chains of from 1 to about 4 carbon atoms, or (b)

functionalized hydrocarbyl chains having from 1 to about 4

- 15 -

carbon atoms and containing one or more aromatic, ether, ester, amido or amino moieties present as substituents or as linkages in the radical chain, and X is a salt-forming anion such as those selected from halogen, (e.g. chloride,

5 bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulphate, and alkylsulphate radicals.

The functionalised hydrocarbyl chains (b) may suitably contain one or more hydrophilic moieties selected from alkoxy

10 (preferably C<sub>1</sub>-C<sub>3</sub> alkoxy), polyoxyalkylene (preferably C<sub>1</sub>-C<sub>3</sub> polyoxyalkylene), alkylamido, hydroxyalkyl, alkylester, and combinations thereof.

Preferably the hydrocarbyl chains R<sub>1</sub> have 12 to 14 carbon

15 atoms, most preferably 12 carbon atoms. They may be derived
from source oils which contain substantial amounts of fatty
acids having the desired hydrocarbyl chain length. For
example, the fatty acids from palm kernel oil or coconut oil
can be used as a source of C8 to C12 hydrocarbyl chains.

Typical monoalkyl quaternary ammonium compounds of the above general formula for use in shampoo compositions of the invention include:

- 25 (i) lauryl trimethylammonium chloride(available commercially as Arquad C35 ex-Akzo); cocodimethyl benzyl ammonium chloride (available commercially as Arquad DMCB-80 ex-Akzo)
  - (ii) compounds of the formula:

20

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 $[N(R_1)(R_2)((CH_2 CH_2 O)_X H)((CH_2 CH_2 O)_Y H)]^+(X)^-$ 

wherein:

1.0

1.5

5 x + y is an integer from 2 to 20;

R1 is a hydrocarbyl chain having 8 to 14, preferably 12 to 14, most preferably 12 carbon atoms or a functionalised hydrocarbyl chain with 8 to 14, preferably 12 to 14, most preferably 12 carbon atoms and containing ether, ester, amido or amino moieties present as substituents or as linkages in the radical chain;

 $R_2$  is a  $C_1$ - $C_3$  alkyl group or benzyl group, preferably methyl,

X is a salt-forming anion such as those selected from halogen, (e.g. chloride, bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulphate, methosulphate and alkylsulphate radicals.

Suitable examples are PEG-n lauryl ammonium chlorides (where n is the PEG chain length), such as PEG-2 cocomonium chloride (available commercially as Ethoquad C12 ex-Akzo Nobel); PEG-2 cocobenzyl ammonium chloride (available commercially as Ethoquad CB/12 ex-Akzo Nobel); PEG-5 cocomonium methosulphate (available commercially as Rewoquat CPEM ex-Rewo); PEG-15 cocomonium chloride (available commercially as Ethoquad C/25 ex-Akzo)

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(iii) compounds of the formula:

 $[N(R_1)(R_2)(R_3)((CH_2)_n OH)]^+$  (X)

5 wherein:

30

n is an integer from 1 to 4, preferably 2;

R<sub>1</sub> is a hydrocarbyl chain having 8 to 14, preferably 12 to 10 14, most preferably 12 carbon atoms;

 $R_2$  and  $R_3$  are independently selected from  $C_1$  -  $C_3$  alkyl groups, and are preferably methyl, and

- 15 X is a salt-forming anion such as those selected from halogen, (e.g. chloride, bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulphate, and alkylsulphate radicals.
- 20 Suitable examples are lauryldimethylhydroxyethylammonium chloride (available commercially as Prapagen HY ex-Clariant)

Mixtures of any of the foregoing cationic surfactants compounds may also be suitable.

25
 Examples of suitable cationic surfactants include:

quaternary ammonium chlorides, e.g. alkyltrimethylammonium chlorides wherein the alkyl group has from about 8 to 22 carbon atoms, for example octyltrimethylammonium chloride,

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dodecyltrimethylammonium chloride, hexadecyltrimethylammonium chloride, cetyltrimethylammonium chloride, octyldimethylbenzylammonium chloride, decyldimethylbenzylammonium chloride, stearyldi-

methylbenzylammonium chloride, didodecyldimethylammonium chloride, dioctadecyldimethylammonium chloride, tallow trimethylammonium chloride, cocotrimethylammonium chloride, and the corresponding salts thereof, e.g., bromides, hydroxides. Cetylpyridinium chloride or salts thereof,

10 e.g., chloride

Quaternium -5

Quaternium -31

Quaternium -18

and mixtures thereof.

15

In the conditioners of the invention, the level of cationic surfactant is preferably from 0.01 to 10, more preferably 0.05 to 5, most preferably 0.1 to 2 wt% of the total composition.

20

Optional Conditioning Materials

Fatty alcohol material

- 25 Conditioner compositions of the invention preferably additionally comprise a fatty alcohol material. The combined use of fatty alcohol materials and cationic surfactants in conditioning compositions is believed to be especially advantageous, because this leads to the formation of a
- 30 lamellar phase, in which the cationic surfactant is dispersed.

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By "fatty alcohol material" is meant a fatty alcohol, an alkoxylated fatty alcohol, or a mixture thereof.

Representative fatty alcohols comprise from 8 to 22 carbon

5 atoms, more preferably 16 to 20. Examples of suitable fatty
alcohols include cetyl alcohol, stearyl alcohol and mixtures
thereof. The use of these materials is also advantageous in
that they contribute to the overall conditioning properties
of compositions of the invention.

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Alkoxylated, (e.g. ethoxylated or propoxylated) fatty alcohols having from about 12 to about 18 carbon atoms in the alkyl chain can be used in place of, or in addition to, the fatty alcohols themselves. Suitable examples include ethylene glycol cetyl ether, polyoxyethylene (2) stearyl ether, polyoxyethylene (4) cetyl ether, and mixtures thereof.

The level of fatty alcohol material in conditioners of the
invention is suitably from 0.01 to 15, preferably from 0.1 to
10, and more preferably from 0.1 to 5 wt%. The weight ratio
of cationic surfactant to fatty alcohol is suitably from 10:1
to 1:10, preferably from 4:1 to 1:8, optimally from 1:1 to
1:7, for example 1:3.

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Cationic Polymers

Conditioner compositions of the invention can also contain a cationic polymer. Suitable cationic polymers are described hereinbelow in relation to shampoo compositions.

- 20 -

COMPOSITIONS MADE WITH A HAIR CLEANSING AGENT (SHAMPOO COMPOSITIONS)

A particularly preferred hair treatment composition in

5 accordance with the invention is a shampoo composition that
comprises of at least one silicone PSA and at least one
cleansing agent.

Such a shampoo composition will comprise at least one silicone pressure sensitive adhesive and one or more cleansing surfactants which are cosmetically acceptable and suitable for topical application to the hair. Further surfactants may be present as an additional ingredient if sufficient for cleansing purposes is not provided as emulsifier for the silicone component. It is preferred that shampoo compositions of the invention comprise at least one further surfactant (in addition to that used as emulsifying agent for the silicone component) to provide a cleansing benefit.

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Suitable cleansing agents, which may be used singularly or in combination, are selected from anionic, nonionic, amphoteric and zwitterionic surfactants, and mixtures thereof. The cleansing agent may be the same surfactant as the emulsifier, or may be different.

### CLEANSING AGENTS

Anionic cleansing surfactant

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Shampoo compositions according to the invention will typically comprise one or more anionic cleansing surfactants which are cosmetically acceptable and suitable for topical application to the hair.

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Examples of suitable anionic cleansing surfactants are the alkyl sulphates, alkyl ether sulphates, alkaryl sulphonates, alkanoyl isethionates, alkyl succinates, alkyl sulphosuccinates, N-alkyl sarcosinates, alkyl phosphates, alkyl ether phosphates, alkyl ether carboxylates, and alphaolefin sulphonates, especially their sodium, magnesium, ammonium and mono-, di- and triethanolamine salts. The alkyl and acyl groups generally contain from 8 to 18 carbon atoms and may be unsaturated. The alkyl ether sulphates, alkyl ether phosphates and alkyl ether carboxylates may contain from 1 to 10 ethylene oxide or propylene oxide units per molecule.

Typical anionic cleansing surfactants for use in shampoo compositions of the invention include sodium cleyl succinate, ammonium lauryl sulphosuccinate, ammonium lauryl sulphosuccinate, ammonium lauryl sulphate, sodium dodecylbenzene sulphonate, triethanolamine dodecylbenzene sulphonate, sodium cocoyl isethionate, sodium lauryl isethionate and sodium N-lauryl sarcosinate. The most preferred anionic surfactants are sodium lauryl sulphate, sodium lauryl ether sulphate(n)EO, (where n ranges from 1 to 3), ammonium lauryl sulphate and ammonium lauryl ether sulphate(n)EO, (where n ranges from 1 to 3).

30 Mixtures of any of the foregoing anionic cleansing surfactants may also be suitable.

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The total amount of anionic cleansing surfactant in shampoo compositions of the invention is generally from 5 to 30, preferably from 6 to 20, more preferably from 8 to 16 wt%.

# 5 Amphoteric Surfactants

The shampoo composition can include other cleansing agents, to help impart aesthetic, physical or cleansing properties to the composition.

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A preferred example is an amphoteric or zwitterionic surfactant, which can be included in an amount ranging from 0 to about 8, preferably from 1 to 4 wt\*.

Examples of amphoteric and zwitterionic surfactants include alkyl amine oxides, alkyl betaines, alkyl amidopropyl betaines, alkyl sulphobetaines (sultaines), alkyl glycinates, alkyl carboxyglycinates, alkyl amphopropionates, alkylamphoglycinates, alkyl amidopropyl hydroxysultaines, acyl taurates and acyl glutamates, wherein the alkyl and acyl groups have from 8 to 19 carbon atoms. Typical amphoteric and zwitterionic surfactants for use in shampoos of the invention include lauryl amine oxide, cocodimethyl sulphopropyl betaine and preferably lauryl betaine, cocomidopropyl betaine and sodium cocamphopropionate.

Nonionic Surfactants

Another preferred example is a nonionic surfactant, which can 30 be included in an amount ranging from 0 to 8, preferably from 2 to 5 wt%.

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For example, representative nonionic surfactants that can be included in shampoo compositions of the invention include condensation products of aliphatic (C8-C18) primary or secondary linear or branched chain alcohols or phenols with alkylene oxides, usually ethylene oxide and generally having from 6 to 30 ethylene oxide groups.

Other representative nonionic surfactants include mono- or di-alkyl alkanolamides. Examples include coco mono- or di-ethanolamide and coco mono-isopropanolamide.

Further nonionic surfactants which can be included in shampoo compositions of the invention are the alkyl polyglycosides (APGs). Typically, the APG is one which comprises an alkyl group connected (optionally via a bridging group) to a block of one or more glycosyl groups. Preferred APGs are defined by the following formula:

RO - (G)n

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wherein R is a branched or straight chain alkyl group which may be saturated or unsaturated and G is a saccharide group.

R may represent a mean alkyl chain length of from about  $C_5$  to about  $C_{20}$ . Preferably R represents a mean alkyl chain length of from about  $C_8$  to about  $C_{12}$ . Most preferably the value of R lies between about 9.5 and about 10.5. G may be selected from  $C_5$  or  $C_6$  monosaccharide residues, and is preferably a glucoside. G may be selected from the group comprising

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glucose, xylose, lactose, fructose, mannose and derivatives thereof. Preferably G is glucose.

The degree of polymerization, n, may have a value of from 5 about 1 to about 10 or more. Preferably, the value of n lies in the range of from about 1.1 to about 2. Most preferably the value of n lies in the range of from about 1.3 to about 1.5.

- Suitable alkyl polyglycosides for use in the invention are commercially available and include for example those materials identified as: Oramix NS10 ex Seppic; Plantaren 1200 and Plantaren 2000 ex Henkel.
- Other sugar-derived nonionic surfactants which can be included in shampoo compositions of the invention include the C<sub>10</sub>-C<sub>18</sub> N-alkyl (C<sub>1</sub>-C<sub>6</sub>) polyhydroxy fatty acid amides, such as the C<sub>12</sub>-C<sub>18</sub> N-methyl glucamides, as described for example in WO 92 06154 and US 5 194 639, and the N-alkoxy polyhydroxy 20 fatty acid amides, such as C<sub>10</sub>-C<sub>18</sub> N-(3-methoxypxopyl) clucamide.

OPTIONAL SHAMPOO INGREDIENTS

# 25 Cationic Surfactants

The shampoo composition can also optionally include one or more cationic co-surfactants included in an amount ranging from 0.01 to 10, more preferably from 0.05 to 5, most

30 preferably from 0.05 to 2 wt%. Useful cationic surfactants

- 25 -

are described hereinabove in relation to conditioner compositions.

The total amount of surfactant (including any co-surfactant, 5 and/or any emulsifier) in shampoo compositions of the invention is generally from 5 to 50, preferably from 5 to

Cationic Polymer

10 A cationic polymer is a preferred ingredient in shampoo compositions of the invention, for enhancing conditioning performance of the shampoo.

The cationic polymer may be a homopolymer or be formed from two or more types of monomers. The molecular weight of the polymer will generally be between 5 000 and 10,000,000, typically at least 10 000 and preferably in the range 100 000 to about 2,000,000. The polymers will have cationic nitrogen containing groups such as quaternary ammonium or protonated amino groups, or a mixture thereof.

The cationic nitrogen-containing group will generally be present as a substituent on a fraction of the total monomer units of the cationic polymer. Thus when the polymer is not a homopolymer it can contain spacer non-cationic monomer units. Such polymers are described in the CTFA Cosmetic Ingredient Directory, 3rd edition. The ratio of the cationic to non-cationic monomer units is selected to give a polymer having a cationic charge density in the required range.

Suitable cationic conditioning polymers include, for example, copolymers of vinyl monomers having cationic amine or quaternary ammonium functionalities with water soluble spacer monomers such as (meth)acrylamide, alkyl and dialkyl (meth)acrylamides, alkyl (meth)acrylate, vinyl caprolactone and vinyl pyrrolidine. The alkyl and dialkyl substituted monomers preferably have C1-C7 alkyl groups, more preferably C1-3 alkyl groups. Other suitable spacers include vinyl esters, vinyl alcohol, maleic anhydride, propylene glycol and ethylene glycol.

The cationic amines can be primary, secondary or tertiary amines, depending upon the particular species and the pH of the composition. In general secondary and tertiary amines, especially tertiary, are preferred.

Amine substituted vinyl monomers and amines can be polymerized in the amine form and then converted to ammonium by quaternization.

The cationic conditioning polymers can comprise mixtures of monomer units derived from amine- and/or quaternary ammonium-substituted monomer and/or compatible spacer monomers.

Suitable cationic conditioning polymers include, for example:

- copolymers of 1-vinyl-2-pyrrolidine and 1-vinyl-3methyl-imidazolium salt (e.g. chloride salt), referred to in the industry by the Cosmetic, Toiletry, and Fragrance Association, (CTFA) as Polyquaternium-16.
This material is commercially available from BASF
Wyandotte Corp. (Parsippany, NJ, USA) under the LUVIQUAT
tradename (e.g. LUVIQUAT FC 370);

- copolymers of 1-vinyl-2-pyrrolidine and dimethylaminoethyl methacrylate, referred to in the industry (CTFA) as Polyquaternium-11. This material is available commercially from Gaf Corporation (Wayne, NJ, USA) under the GAFQUAT tradename (e.g., GAFQUAT 755N);
- cationic diallyl quaternary ammonium-containing polymers including, for example, dimethyldiallyammonium chloride homopolymer and copolymers of acrylamide and dimethyldiallylammonium chloride, referred to in the industry (CTFA) as Polyquaternium 6 and Polyquaternium 7, respectively;
- mineral acid salts of amino-alkyl esters of homo-and copolymers of unsaturated carboxylic acids having from 3 to 5 carbon atoms, (as described in U.S. Patent 4,009,256);
- cationic polyacrylamides (as described in WO95/22311).

Other cationic conditioning polymers that can be used include cationic polysaccharide polymers, such as cationic cellulose derivatives, cationic starch derivatives, and cationic guar gum derivatives. Suitably, such cationic polysaccharide polymers have a charge density in the range from 0.1 to 4 meg/g.

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Cationic polysaccharide polymers suitable for use in compositions of the invention include those of the formula:

$$A-O-[R-N^{+}(R^{1})(R^{2})(R^{3})X^{-}],$$

wherein: A is an anhydroglucose residual group, such as a starch or cellulose anhydroglucose residual. R is an alkylene, oxyalkylene, polyoxyalkylene, or hydroxyalkylene group, or combination thereof.  $\mathbb{R}^1$ ,  $\mathbb{R}^2$  and  $\mathbb{R}^3$  independently represent alkyl, aryl, alkylaryl, arylalkyl, alkoxyalkyl, or alkoxyaryl groups, each group containing up to about 18 carbon atoms. The total number of carbon atoms for each cationic moiety (i.e., the sum of carbon atoms in  $\mathbb{R}^1$ ,  $\mathbb{R}^2$  and  $\mathbb{R}^3$ ) is preferably about 20 or less, and  $\mathbb{X}^1$  is an anionic counterion.

Cationic cellulose is available from Amerchol Corp. (Edison, NJ, USA) in their Polymer JR (trade mark) and LR (trade mark) series of polymers, as salts of hydroxyethyl cellulose reacted with trimethyl ammonium substituted epoxide, referred to in the industry (CTFA) as Polyquaternium 10. Another type of cationic cellulose includes the polymeric quaternary ammonium salts of hydroxyethyl cellulose reacted with lauryl dimethyl ammonium-substituted epoxide, referred to in the industry (CTFA) as Polyquaternium 24. These materials are available from Amerchol Corp. (Edison, NJ, USA) under the tradename Polymer LM-200.

Other suitable cationic polysaccharide polymers include quaternary nitrogen-containing cellulose ethers (e.g. as

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described in U.S. Patent 3,962,418), and copolymers of etherified cellulose and starch (e.g. as described in U.S. Patent 3,958,581).

A particularly suitable type of cationic polysaccharide polymer that can be used is a cationic guar gum derivative, such as guar hydroxypropyltrimonium chloride (commercially available from Rhone-Poulenc in their JAGUAR trademark series).

Examples are JAGUAR C13S, which has a low degree of substitution of the cationic groups and high viscosity.

JAGUAR C15, having a moderate degree of substitution and a low viscosity, JAGUAR C17 (high degree of substitution, high viscosity), JAGUAR C16, which is a hydroxypropylated cationic guar derivative containing a low level of substitutent groups as well as cationic quaternary ammonium groups, and JAGUAR 162 which is a high transparency, medium viscosity guar having a low degree of substitution.

Preferably the cationic conditioning polymer is selected from cationic cellulose and cationic guar derivatives.

Particularly preferred cationic polymers are JAGUAR C138,

JAGUAR C15, JAGUAR C17 and JAGUAR C16 and JAGUAR C162.

The cationic conditioning polymer will generally be present in compositions of the invention at levels of from 0.01 to 5, preferably from 0.05 to 1, more preferably from 0.08 to 0.5 wt%.

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#### Conditioning Agents

The compositions of this invention can also contain one or more conditioning agents selected from silicone conditioning agents and non-silicone oily conditioning agents.

When conditioning agent is present in the hair treatment compositions in droplet form, the droplets may be liquid, semi-solid or solid in nature, so long as they are 10 substantially uniformly dispersed in the fully formulated product. Any droplets of oily conditioning agent are preferably present as either liquid or semi-solid droplets, more preferably as liquid droplets.

#### 15 Silicone conditioning agents

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The compositions of the invention can contain, emulsified droplets of a silicone conditioning agent, for enhancing conditioning performance. The silicone conditioning agent is insoluble in the aqueous matrix of the composition and so is present in an emulsified form, with the silicone present as dispersed droplets.

Suitable silicone conditioning agents include

25 polydiorganosiloxanes, in particular polydimethylsiloxanes
which have the CTFA designation dimethicone. Also suitable
for use compositions of the invention (particularly shampoos
and conditioners) are polydimethyl siloxanes having hydroxyl
end groups, which have the CTFA designation dimethiconol.

30 Also suitable for use in compositions of the invention are

silicone gums having a slight degree of cross-linking, as

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are described for example in WO 96/31188. These materials can impart body, volume and stylability to hair, as well as good wet and dry conditioning.

- 5 The viscosity of the emulsified silicone conditioning agent itself (not the emulsion or the final hair conditioning composition) is typically at least 10,000 cst. In general we have found that conditioning performance increases with increased viscosity. Accordingly, the viscosity of the silicone conditioning agent itself is preferably at least 60,000 cst, most preferably at least 500,000 cst, ideally at least 1,000,000 cst. Preferably the viscosity does not exceed 10° cst for ease of formulation.
- 15 Emulsified silicone conditioning agents for use in the shampoo compositions of the invention will typically have an average silicone droplet size in the composition of less than 30, preferably less than 20, more preferably less than 10 μm. We have found that reducing the droplet size generally improves conditioning performance. Most preferably the average silicone droplet size of the emulsified silicone in the composition is less than 2 μm, ideally it ranges from 0.01 to 1 μm. Silicone emulsions having an average silicone droplet size of ≤ 0.15 μm are generally termed microsmulsions.

Suitable silicone emulsions for use in the invention are also commercially available in a pre-emulsified form.

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Examples of suitable pre-formed emulsions include emulsions DC2-1766, DC2-1784, and microemulsions DC2-1865 and DC2-1870, all available from Dow Corning. These are all emulsions/microemulsions of dimethiconol. Cross-linked 5 silicone gums are also available in a pre-emulsified form, which is advantageous for ease of formulation. A preferred example is the material available from Dow Corning as DC 2-1787, which is an emulsion of cross-linked dimethiconol gum. A further preferred example is the material available from Dow Corning as DC 2-1391, which is a microemulsion of crosslinked dimethiconol gum.

A further preferred class of silicone conditioning agents for inclusion in shampoos and conditioners of the invention are amino functional silicones. By "amino functional silicone" is meant a silicone containing at least one primary, secondary or tertiary amine group, or a quaternary ammonium group.

- Examples of suitable amino functional silicones include: 20
  - (i) polysiloxanes having the CTFA designation "amodimethicone", and the general formula:
- HO-[Si(CH2)2-O-]-[Si(OH)(CH2CH2CH2-NH-CH2CH2NH2)-O-]V-H 25

wherein x and y are numbers such that the molecular weight is between about 5,000 and 500,000.

(ii) polysiloxanes having the formula:

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in which:

5 G is selected from H, phenyl, OH or C<sub>1-8</sub> alkyl, e.g. methyl; a is 0 or an integer from 1 to 3, preferably 0; b is 0 or 1, preferably 1;

m and n are numbers such that  $(m \div n)$  can range from 1 to 2000, preferably from 50 to 150;

10 m is a number from 1 to 2000, preferably from 1 to 10; n is a number from 0 to 1999, preferably from 49 to 149, and

 $R^{'}$  is a monovalent radical of formula  $-C_{\mathbf{q}}H_{2\mathbf{q}}L$  in which  $\mathbf{q}$  is a number from 2 to 8 and L is an aminofuctional group selected from the following:

$$\begin{split} -\text{NR}^{\text{''}} - \text{CH}_2 - \text{CH}_2 - \text{N} \left( \text{R}^{\text{''}} \right)_2 \\ - \text{N} \left( \text{R}^{\text{''}} \right)_2 \end{split}$$

in which R is selected from H, phenyl, benzyl, or a

25 saturated monovalent hydrocarbon radical, e.g. C1-20 alkyl,
and A- is a halide ion, e.g. chloride or bromide.

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Suitable amino functional silicones corresponding to the above formula include those polysiloxanes termed "trimethylsilylamodimethicone" as depicted below, and which are sufficiently water insoluble so as to be useful in compositions of the invention:

$$Si(CH_3)_3$$
 - O -  $[Si(CH_3)_2$  - O -  $]_X$  -  $[Si(CH_3)$  (R - NH - CH<sub>2</sub>CH<sub>2</sub> NE<sub>2</sub>) - O -  $]_Y$  - Si (CH<sub>3</sub>)<sub>3</sub>

- 10 wherein x + y is a number from about 50 to about 500, and wherein R is an alkylene group having from 2 to 5 carbon atoms. Preferably, the number x + y is in the range of from about 100 to about 300.
- 15 (iii) quaternary silicone polymers having the general formula:

$$\begin{split} & \left\{ \, \left( \, \text{R}^{\, 1} \right) \, \left( \, \text{R}^{\, 2} \right) \, \, \left( \, \text{R}^{\, 3} \right) \right. \quad \text{N}^{+} \quad \text{CH}_{2}\text{CH} \, (\text{OH}) \, \text{CH}_{2}\text{O} \, \left( \, \text{CH}_{2} \right) \, _{3} \, \left[ \, \text{Si} \, \left( \, \text{R}^{\, 4} \right) \, \left( \, \text{R}^{\, 5} \right) \, - \text{O-l} \, _{1} \, _{1} \, _{2} \, _{3} \,$$

wherein  $R^1$  and  $R^{10}$  may be the same or different and may be independently selected from H, saturated or unsaturated long or short chain alk(en)yl, branched chain alk(en)yl and  $C_5$ - $C_8$  cyclic ring systems;

 $R^2$  through  $R^9$  may be the same or different and may be independently selected from H, straight or branched chain lower alk(en)yl, and  $C_5$ - $C_8$  cyclic ring systems;

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n is a number within the range of about 60 to about 120, preferably about 80, and

X is preferably acetate, but may instead be for example halide, organic carboxylate, organic sulphonate or the like. Suitable quaternary silicone polymers of this class are described in EP-A-0 530 974.

Amino functional silicones suitable for use in shampoos and conditioners of the invention will typically have a mole % amine functionality in the range of from about 0.1 to about 8.0 mole %, preferably from about 0.1 to about 5.0 mole %, most preferably from about 0.1 to about 2.0 mole %. In general the amine concentration should not exceed about 8.0 mole % since we have found that too high an amine concentration can be detrimental to total silicone deposition and therefore conditioning performance.

The viscosity of the amino functional silicone is not
20 particularly critical and can suitably range from about 100
to about 500,000 cSt.

Specific examples of amino functional silicones suitable for use in the invention are the aminosilicone oils DC2-8220,

DC2-8166, DC2-8466, and DC2-8950-114 (all ex Dow Corning),
and GE 1149-75, (ex General Electric Silicones).

Also suitable are emulsions of amino functional silicone oils with non ionic and/or cationic surfactant.

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Suitably such pre-formed emulsions will have an average amino functional silicone droplet size in the shampoo composition of less than 30, preferably less than 20, more preferably less than 10  $\mu$ m. Again, we have found that reducing the droplet size generally improves conditioning performance. Most preferably the average amino functional silicone droplet size in the composition is less than 2  $\mu$ m ideally it ranges from 0.01 to 1  $\mu$ m.

Pre-formed emulsions of amino functional silicone are also available from suppliers of silicone oils such as Dow Corning and General Electric. Specific examples include DC929 Cationic Emulsion, DC939 Cationic Emulsion, and the non-ionic emulsions DC2-7224, DC2-8467, DC2-8177 and DC2-

An example of a quaternary silicone polymer useful in the present invention is the material K3474, ex Goldschmidt.

For shampoo compositions according to the invention intended for the treatment of "mixed" hair (i.e. greasy roots and dry ends), it is particularly preferred to use a combination of amino functional and non-amino functional silicone in compositions of the invention, especially when these are in the form of shampoo compositions. In such a case, the weight ratio of amino functional silicone to non-amino functional silicone will typically range from 1:2 to 1:20, preferably 1:3 to 1:20, more preferably 1:3 to 1:8.

The total amount of silicone incorporated into compositions of the invention depends on the level of conditioning desired and the material used. A preferred amount is from

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0.01 to 10 wt% although these limits are not absolute. The lower limit is determined by the minimum level to achieve conditioning and the upper limit by the maximum level to avoid making the hair and/or skin unacceptably greasy.

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We have found that a total amount of silicone of from 0.3 to 5, preferably 0.5 to 3 wt% is a suitable level.

The viscosity of silicones and silicone emulsions can be

10 measured by means of a glass capillary viscometer as set out
further in Dow Corning Corporate Test Method CTM0004,
July 20 1970.

In compositions comprising silicone conditioning agent, it is
preferred that a suspending agent for the silicone
conditioning agent also be present. Suitable suspending
agents are as described hereinabove.

Non-silicone oily conditioning components

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Compositions according to the present invention may also comprise a dispersed, non-volatile, water-insoluble oily conditioning agent.

25 This component will be dispersed in the composition in the form of droplets, which form a separate, discontinuous phase from the aqueous, continuous phase of the composition. In other words, the oily conditioning agent will be present in the shampoo composition in the form of an oil-in-water

30 emulsion.

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Suitably, the D<sub>3,2</sub> average droplet size of the oily conditioning component is at least 0.4, preferably at least 0.8, and more preferably at least 1 µm. Additionally, the D<sub>3,2</sub> average droplet size of the oily conditioning component is preferably no greater than 10, more preferably no greater 8, more preferably no greater than 5, yet more preferably no greater than 3.5 µm.

The oily conditioning agent may suitably be selected from 10 oily or fatty materials, and mixtures thereof.

Oily or fatty materials are preferred conditioning agents in the shampoo compositions of the invention for adding shine to the hair and also enhancing dry combing and dry hair feel.

preferred oily and fatty materials will generally have a viscosity of less than 5 Pa.s, more preferably less than 1 Pa.s, and most preferably less than 0.5 Pa.s, e.g. 0.1 Pa.s and under as measured at 25°C with a Brookfield Viscometer (e.g. Brookfield RV) using spindle 3 operating at 100 rpm.

Oily and fatty materials with higher viscosities may be used. For example, materials with viscosities as high as 65 25 Pa.s may be used. The viscosity of such materials (i.e. materials with viscosities of 5 Pa.s and greater) can be measured by means of a glass capillary viscometer as set out further in Dow Corning Corporate Test Method CTM004, July 20 1970.

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Suitable oily or fatty materials are selected from hydrocarbon oils, fatty esters and mixtures thereof.

Hydrocarbon oils include cyclic hydrocarbons, straight chain aliphatic hydrocarbons (saturated or unsaturated), and branched chain aliphatic hydrocarbons (saturated or unsaturated). Straight chain hydrocarbon oils will preferably contain from about 12 to about 30 carbon atoms. Branched chain hydrocarbon oils can and typically may contain higher numbers of carbon atoms. Also suitable are 1.0 polymeric hydrocarbons of alkenyl monomers, such as C2-C6 alkenyl monomers. These polymers can be straight or branched chain polymers. The straight chain polymers will typically be relatively short in length, having a total number of carbon atoms as described above for straight chain 1.5 hydrocarbons in general. The branched chain polymers can have substantially higher chain length. The number average molecular weight of such materials can vary widely, but will typically be up to about 2000, preferably from about 200 to about 1000, more preferably from about 300 to about 600. 20

Specific examples of suitable hydrocarbon oils include paraffin oil, mineral oil, saturated and unsaturated dodecane, saturated and unsaturated tridecane, saturated and unsaturated tetradecane, saturated and unsaturated pentadecane, saturated and unsaturated pentadecane, saturated and unsaturated hexadecane, and mixtures thereof. Branched-chain isomers of these compounds, as well as of higher chain length hydrocarbons, can also be used. Exemplary branched-chain isomers are highly branched saturated or unsaturated alkanes, such as the permethyl-substituted isomers, e.g., the permethyl-

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substituted isomers of hexadecane and eicosane, such as 2, 2, 4, 4, 6, 6, 8, 8-dimethyl-10-methylundecane and 2, 2, 4, 4, 6, 6-dimethyl-8-methylnonane, sold by Permethyl Corporation. A further example of a hydrocarbon polymer is 5 polybutene, such as the copolymer of isobutylene and butene. A commercially available material of this type is L-14 polybutene from Amoco Chemical Co. (Chicago, Ill., U.S.A.).

Particularly preferred hydrocarbon oils are the various grades of mineral oils. Mineral oils are clear oily liquids obtained from petroleum oil, from which waxes have been removed, and the more volatile fractions removed by distillation. The fraction distilling between 250°C to 300°C is termed mineral oil, and it consists of a mixture of 15 hydrocarbons ranging from C16H34 to C21H44. Suitable commercially available materials of this type include Sirius M85 and Sirius M125, all available from Silkolene.

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Suitable fatty esters are characterized by having at least 10 carbon atoms, and include esters with hydrocarbyl chains derived from fatty acids or alcohols, e.g., monocarboxylic acid esters, polyhydric alcohol esters, and di- and tricarboxylic acid esters. The hydrocarbyl radicals of the fatty esters hereof can also include or have covalently bonded thereto other compatible functionalities, such as amides and alkoxy moieties, such as ethoxy or ether linkages.

Monocarboxylic acid esters include esters of alcohols and/or 30 acids of the formula R'COOR in which R' and R independently

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denote alkyl or alkenyl radicals and the sum of carbon atoms in  $\ensuremath{\mbox{R}^{\,\prime}}$  and  $\ensuremath{\mbox{R}}$  is at least 10, preferably at least 20.

Specific examples include, for example, alkyl and alkenyl 5 esters of fatty acids having aliphatic chains with from about 10 to about 22 carbon atoms, and alkyl and/or alkenyl fatty alcohol carboxylic acid esters having an alkyl and/or alkenyl alcohol-derived aliphatic chain with about 10 to about 22 carbon atoms, benzoate esters of fatty alcohols having from about 12 to 20 carbon atoms.

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The monocarboxylic acid ester need not necessarily contain at least one chain with at least 10 carbon atoms, so long as the total number of aliphatic chain carbon atoms is at least 10. Examples include isopropyl isostearate, hexyl laurate, isohexyl laurate, isohexyl palmitate, isopropyl palmitate, decyl oleate, isodecyl cleate, hexadecyl stearate, decyl stearate, isopropyl isostearate, dihexyldecyl adipate, lauryl lactate, myristyl lactate, cetyl lactate, oleyl stearate, oleyl oleate, oleyl myristate, lauryl acetate, cetyl propionate, and oleyl adipate.

Di- and trialkyl and alkenyl esters of carboxylic acids can also be used. These include, for example, esters of  $\text{C}_4\text{-C}_8$ dicarboxylic acids such as C1-C22 esters (preferably C1-C5) 25 of succinic acid, glutaric acid, adipic acid, hexanoic acid, heptanoic acid, and octanoic acid. Examples include diisopropyl adipate, diisohexyl adipate, and diisopropyl sebacate. Other specific examples include isocetyl stearoyl stearate, and tristearyl citrate. 3.0

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Polyhydric alcohol esters include alkylene glycol esters, for example ethylene glycol mono and di-fatty acid esters, diethylene glycol mono- and di-fatty acid esters, polyethylene glycol mono- and di-fatty acid esters, polypropylene glycol mono- and di-fatty acid esters, polypropylene glycol monocleate, polypropylene glycol monostearate, polygropylene glycol monostearate, polyglycorol poly-fatty acid esters, ethoxylated glyceryl monostearate, 1,3-butylene glycol monostearate, 1,3-butylene glycol distearate, polyoxyethylene polyol fatty acid ester, sorbitan fatty acid esters, polyoxyethylene sorbitan fatty acid esters and mono-, di-and triglycerides.

Particularly preferred fatty esters are mono-, di- and
triglycerides, more specifically the mono-, di-, and triesters of glycerol and long chain carboxylic acids such as
C1-C22 carboxylic acids. A variety of these types of
materials can be obtained from vegetable and animal fats and
oils, such as cocomut oil, castor oil, safflower oil,
sunflower oil, cottonseed oil, corn oil, olive oil, cod
liver oil, almond oil, avocado oil, palm oil, sesame oil,
peanut oil, lanolin and soybean oil. Synthetic oils include
triolein and tristearin glyceryl dilaurate.

25 Specific examples of preferred materials include cocoa butter, palm stearin, sunflower oil, scyabean oil and coconut oil.

The oily or fatty material is suitably present at a level of from 0.05 to 10, preferably from 0.2 to 5, more preferably from about 0.5 to 3 wt%.

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The compositions of this invention preferably contain no more than 3 wt% of a styling polymer, more preferably less than 1% of a styling polymer, preferably contain less than 0.1% by weight a styling polymer, and optimally are free of styling polymer.

In hair treatment compositions containing a conditioning agent, it is preferred that a cationic polymer also be present.

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### Adjuvants

The compositions of the present invention may also contain adjuvants suitable for hair care. Generally such ingredients are included individually at a level of up to 2, preferably up to 1 wt% of the total composition.

Among suitable hair care adjuvants, are:

(i) natural hair root nutrients, such as amino acids and sugars. Examples of suitable amino acids include arginine, cysteine, glutamine, glutamic acid, isoleucine, leucine, methionine, serine and valine, and/or precursors and derivatives thereof. The amino acids may be added singly, in mixtures, or in the form of peptides, e.g. di- and tripeptides. The amino acids may also be added in the form of a protein hydrolysate, such as a keratin or collagen hydrolysate. Suitable sugars are glucose, dextrose and fructose. These may be added singly or in the form of, e.g.
fruit extracts. A particularly preferred combination of natural hair root nutrients for inclusion in compositions of

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the invention is isoleucine and glucose. A particularly preferred amino acid nutrient is arginine.

(ii) hair fibre benefit agents. Examples are:

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ceramides, for moisturizing the fibre and maintaining cuticle integrity. Ceramides are available by extraction from natural sources, or as synthetic ceramides and pseudoceramides. A preferred ceramide is Ceramide II, ex
 Quest. Mixtures of ceramides may also be suitable, such as Ceramides LS, ex Laboratoires Serobiologiques.

The invention will now be further illustrated by the following, non-limiting Examples.

Table 1

	Example 1	Example 2	Example 3	Example 4	Example 3 Example 4 Example 5	Example 6
Thursday and	Weight, &	Weight &	Weight &	Weight %	Weight 8	Weight &
dimerhirlamine	0.750	0.750	0.750	0.750	0.750	0.750
PEG-2 oleamonium chloride &	2.000		2.000	2.000	2.000	2.000
propylene glycol	000	000	1 000	1.000	1.000	1.000
Behentrimonium Methosuliate and	2.0					
cetearyl alcohol				2 000	3 000	3,000
Cervl alcohol	3.000	3.000			-	
Stearyl alcohol	2.000	2.000	2.000	2.000		2.000
Decay I amount	0.100	0.100	0.100	0.100	0.100	0.100
DISCOLUMN BATA	0000	0.00	0.000	000.0	0.8	0.000
Ulmerniconol			000	000	2.000	0.00
Silicone fluid 245	0000					-
Fragrance	0.600	0.600	0.600	0.600		0.00
Transfer of 1 from DGL emulaion	0.000	0.2	0.4	0.4	0.000	000.0
HOW TACK SILLESING TON CHILDREN	c	0.000	000.0	00000	0.000	0.00.0
31	-		9.8	g.8.	g.s.	g.8.
, rradrame,	-	10	100 00	100.00	100.00	1.00.00
Total	TOO. OC	-			1	-

which is a 40% emulsion of silicone PSA that contains 65% silicone resin and 35% silicone  $^1$  Low Tack Silicone PSA emulsion is available from Dow Corning Corp. (DC 5-7300) polymer.

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Table 2:

	Example 7	Example 8	Example 9	Example 10	Example 11
Ingredient	Weight %	Weight &	Weight &	Weight 8	Weight &
Water, soft	45.000	45.000	45.000	45.000	45.000
Hydroxyethylcellulose	0.200	0.000	0.000	0.000	0.200
Cetrimonium Chloride	2.800	2.500	2.500	2.500	2.800
Quaternium-18 and propylene glycol	0.500	0.400	0.400	0.400	0.500
Cetyl/Stearyl alcohol	3.000	3.000	3.000	3.000	3.000
Disodium EDTA, 100%	0.100	0.100	0.100	0.100	0.100
Dimethiconol	0.8	0.6	0.6	0.6	0.8
Silicone fluid 245	0.000	0.000	0.000	0.000	0.000
Fragrance	0.400	0.400	0.600	0.600	0.600
Low Tack Silicone PSA emulsion <sup>1</sup>	0.000	0.000	0.000	0.500	0.000
Water, Fragrance, Preservative	ď.a.	q.s.	q.s.	q.s.	q.s.
Total	100.000	100.000	100.000	100.000	100.000

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# Measurement of Static and Dynamic friction:

Friction measurements are performed using a modified version of a previously described methodology based on Dynamic Mechanical Analysis (DMA) (Ref: US Patent No. 5,968,286 which is hereby incorporated by reference). Testing involves the application of different force profiles to a bundle of hair fibers. One manner for carrying out testing involves using a single cantilever geometry wherein only one side of a hair bundle is secured. The extent to which the

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interfiber friction. As such, relative differences in the frictional properties of hair can be measured by comparing the results from treated and untreated hair bundles. A diagram of the experimental set up is shown below in Figure 1.

Two-gram hair tresses are prepared using natural brown hair purchased from Imhair Ltd. (Italy). Before testing, all tresses are cleaned thoroughly using standard surfactant solutions. Each tress is cut to the length of 6 inches. Testing is performed with the hair encased in a thin-walled latex tube with an inside diameter of 3 mm, and outside diameter 3.4 mm. A close up of the hair and the tube is shown in Figure 2.

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For statistical purposes, 4 tube samples are tested for each formulation. Testing is first performed on an untreated hair array. After testing, the hair is removed from the latex tube and treated with the test formula. The formula is applied in the proportion 0.2 ml to 2 g of hair for a shampoo, and 0.3 ml to 2 g of hair for a conditioner product. Each hair array is treated for 1 minute and then rinsed for 30 seconds in warm water (40°C), at a flow rate of 2 1/min. After treatment the arrays are air dried for 24 hours at 30% RH, and a temperature of 20°C. The samples are 25 then placed back in the latex tube and equilibrated for the next 24 hours under the same conditions. The treated hair arrays are then tested again to allow for differences in deflection to be observed as a result of surface 30 modification imparted by the test material.

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A Perkin Elmer DMA 7e housed within an environmentally controlled chamber is used for all our measurements. The tube sample is mounted in the single cantilever DMA fixture in such a way that the length of the latex tube protruding from the clamp is 20 mm (see Fig.1). The instrument probe imposes a bending force on the top of the tube at a distance of 7.5 mm from the clamp.

By imposing different force profiles, it is possible to measure different frictional properties of the hair. For example, a measure of the static friction can be obtained by performing a test in which the bending force is gradually increased. Meanwhile, the dynamic friction is measured by imposing an oscillating sinusoidal force. In each case, the instrument measures the resulting deflection or strain that results in the specimen. More detailed information regarding these two modes of operation are given below.

Change in static friction

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The coefficient of static friction is calculated from a test in which an increasing bending force deflects the tube sample. Initially, the process is elastic and consequently a linear relationship exists between the stress (force/unit area) and the deflection. Under these conditions the array behaves like a solid rod, since the interfiber friction and the external pressure of the tube holds the fibers together and prevents movement of the individual fibers. However, at a certain critical force, adjacent fibers will start to slide over one another. As the result of this interfiber slip, the total resistance of the sample towards the bending

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force decreases. From this point on, the deflection increases faster than the bending stress. That is, the linear relationship between the stress and strain is lost. The critical deflection do at which the interfiber slip sapears is measured by the instrument and can be used to calculate an average coefficient of internal static friction in the tube sample. The change in static friction is calculated by comparing the critical deflection of the same hair array before and after treatment with the test sample.

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Change in dynamic friction

The dynamic friction test is somewhat more complex. The use of an oscillating force allows for the deconvolution of a material's elastic (storage) and viscous (lost) components. These quantities identify the ability of the material to recover from deformation (elasticity) or to dissipate a portion of the mechanical energy (damping). Friction is a property that is associated with the dissipation of the mechanical energy and therefore can be probed using the loss component that is obtained from a dynamic mechanical test.

Dynamic friction testing is performed by introducing a gradually increasing dynamic force to the sample at a constant frequency. Furthermore, this dynamic force is superimposed over a gradually increasing static force. This behavior is shown schematically in Figure. 3.

Calculation of the coefficient of dynamic friction is more

30 complex and requires a detailed analysis involving the

movement of the fiber bundle as a result of the two

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superimposed bending forces. As the magnitude of both the static and dynamic force is raised, so the magnitude of the deflection also increases. That is, the amplitude of dynamic bending also increases. Furthermore, the magnitude of the bending amplitude is influenced by the surface frictional properties of the hair fibers. The measurement of the amplitude before and after the treatment allows for assessment of a relative change in dynamic friction Δfd.

The relative coefficient of dynamic friction is calculated 10 by:

$$\Delta$$
 fd/fd =  $(A_{\rm H} - A_{\rm T})/A_{\rm H}$ 

where  $A_{\rm U}$  is the maximum amplitude of bending before treatment, and  $A_{\rm T}$  is the maximum amplitude for the same hair array after the treatment.

- 15 If the same hair array were treated with a conditioning formula that reduces the surface friction, then the same bending force would be able to generate longer interfiber slip and the higher amplitude of bending (Fig. 4). The results of frictional tests are valid only for the treatments, which do not change the bulk properties of hair
  - The experimental data below demonstrates the beneficial properties of compositions of the invention.

fibers, but only their surface friction.

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Table 3 includes the static and dynamic friction properties as measured by the above mentioned DMA technique for the formulation examples as listed in tables 1 and 2.

Table 3

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Conditioner Description	Static	Dynamic	
	Friction (%)	Friction (%)	
Example 1	210	280	
Example 2	17	3	
Example 3	31	5	
Example 4	45	26	
Example 5	30	. 2	
Example 6	-21	-29	
Example 7	-10	-31	
Example 8	25	-11	
Example 9	-17.67	-22.67	
Example 10	24	25	
Example 11	-16	-29	

# Shampoo compositions:

The invention will now be further illustrated by the 10 following, non-limiting example 12 of a shampoo formulation.

Table 4

	Example :		Example 13
Ingredient	Weight	96	Weight %
Emulsion stabilizer	0.4	100	0.400
Ammonium Lauryl Sulphate	7.6	505	
Ammonium Laureth Sulphate	5.9	941	5.941
Cocamide MEA	1.3		1.300
PEG-6 Cocamide	0.6	550	
Propylene Glycol	0.5	500	0.500
Guar Hydroxypropyltrimonium chloride		100	0.100
Dimethiconol	1.0	000	1.000
Low Tack Silicone PSA	0.0	000	0.750
Chelating agent	0.0		0.080
Water, Fragrance, and Preservatives	q	.s.	q.s.

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Table 5 includes the static and dynamic friction properties as measured by the above mentioned DMA technique for the formulation examples as listed in tables 4.

Table 5

Shampoo Description	Static Friction	Dynamic Friction
	(%)	(%)
Example 12	-7	-11
Example 13	18	3

Suspension Composition:

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10 The invention will now be further illustrated by the following, non-limiting examples of a suspension.

	Example 13
Description	Wt %
Silicone PSA emulsion (40% active)	2.00
Carbopol 980, 100% active	1.00
DMDM Hydantion	0.1
Kathon CG, 39% active	0.04
Soft water, 100% active	96.86
Total	100.00

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### CLAIMS

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- 1. An aqueous hair treatment composition comprising:
  - a) at least one silicone pressure sensitive adhesive;
     and
  - b) at least one material selected from the group consisting of:
    - i) a hair conditioning agent,
    - ii) a hair cleansing agent, and
    - iii) an agent for suspending said silicone pressure sensitive adhesive in a manner which enables said silicone pressure sensitive adhesive to be deposited on said hair.
- 2. An aqueous hair treatment composition according to claim 1 wherein said composition increases static friction of dry hair by at least about 10%, and wherein said composition increases dynamic friction of dry hair by no more than about 100% or decreases or leaves unchanged
  said dynamic friction.
  - An aqueous hair treatment composition according to claim
     wherein said composition increases dynamic friction of dry hair by no more than about 60%.
- An aqueous hair treatment composition according to claim
   wherein said composition increases dynamic friction of

dry hair by no more than about 40%.

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- 5. An aqueous hair treatment composition according to claim 2 wherein said composition increases static friction of dry hair by at least about 15%.
- 6. An aqueous hair treatment composition according to claim 2 wherein said composition increases static friction of dry hair by at least about 20%.
- 7. A composition in accordance with claim 1, wherein said silicone pressure sensitive adhesive is the product of 1.0 mixing 30 to 60 parts by weight of a silanol-terminated polydiorganosiloxane of Tg below -20°C and viscosity 0.1-30000 Pa.s at 25°C lightly crosslinked with 40 to 70 parts by weight of a silanol-containing silicone resin of Tg above 0°C comprising monovalent 15 trihydrocarbonsiloxy (M) groups of the formula R"3SiO1/2 and tetrafunctional (Q) groups SiO4/2 wherein R" denotes a monovalent hydrocarbon group having 1 to 6 carbon atoms, the number ratio of M groups to Q groups being in the range 0.5:1 to 1.2:1. 20
- 8. A composition in accordance to claim 1, wherein said pressure sensitive adhesive is dispersed as an emulsion comprising a disperse silicone phase emulsified in a continuous water phase in the presence of a surfactant, wherein the disperse silicone phase comprises 40 to 80 % by weight of a silicone pressure sensitive adhesive, which is the lightly crosslinked product of mixing a silanol-terminated polydiorganosiloxane of Tg below -20°C with a silanol-containing silicone resin of Tg 3.0

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above 0°C, dispersed in 60 to 20 % by weight of a volatile silicone fluid having a boiling point below 300°C, the emulsion being substantially free of any non-silicon-containing volatile organic material.

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1.0

- An aqueous hair treatment composition according to claim 1 comprising:
- a) about 0.01% to about 10% of at least one silicone pressure sensitive adhesive; and
  - b1) about 0.01% to about 10% of at least one suspending agent: or
  - b2) about 0.05% to about 10% of at least one hair conditioning agent; or
- b3) about 5% to about 40% of at least one hair cleansing agent.
  - 10. An aqueous hair treatment composition according to claim 8 comprising:

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- a) about 0.05% to about 5% of at least one silicone pressure sensitive adhesive; and
- b1) about 0.1% to about 5% of at least one suspending agent; or
- 25 b2) about 0.1% to about 5% of at least one hair conditioning agent; or
  - b3) about 10% to about 20% of at least one hair cleansing agent.
- 30 11. An aqueous hair treatment composition according to claim 1 which is a leave-in composition.

- 12. An aqueous hair treatment composition according to claim 1 which is a rinse-out composition.
- 13. A composition according to claim 1 which is a hair conditioner and which comprises a conditioning agent 5 selected from the group consisting of : octyltrimethylammonium chloride, dodecvltrimethylammonium chloride, hexadecyltrimethylammonium chloride, cetyltrimethylammonium chloride, 10 octyldimethylbenzylammonium chloride, decyldimethylbenzylammonium chloride, stearyldimethylbenzylammonium chloride, didodecyldimethylammonium chloride, dioctadecyldimethylammonium chloride, tallow trimethylammonium chloride, cocotrimethylammonium 15 Cetylpyridinium chloride, Quaternium -5 chloride, Quaternium -31 Quaternium -18; and mixtures thereof.
- 20 14. A composition in accordance with claim 1 which comprises a suspending agent selected from the group consisting of polyacrylic acids, cross-linked polymers of acrylic acid, copolymers of acrylic acid with a hydrophobic monomer, copolymers of carboxylic acid-containing
  25 monomers and acrylic esters, cross-linked copolymers of acrylic acid and acrylate esters, heteropolysaccharide gum; ethylene glycol stearate, alkanolamides of fatty acids; ethylene glycol distearate; polyethylene glycol 3 distearate; Carbopol 420, Carbopol 488; Carbopol 493; Carbopol 910, Carbopol 934, Carbopol 940, Carbopol 941; Carbopol 980; Carbopol 1342; cross-linked polymers of

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acrylic acid and acrylate ester , xanthan gum, and mixtures thereof

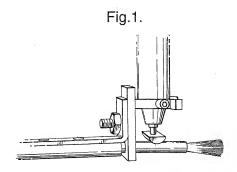
- 15. A composition in accordance with claim 1 which is a shampoo and which comprises a hair cleansing agent selected from the group consisting of an anionic surfactant, a non-ionic surfactant, an amphoteric surfactant, and mixtures thereof.
- 10 16. A composition in accordance with claim 14 wherein said hair cleansing agent is selected from the group consisting of sodium dodecylbenzene sulphonate, sodium, lauryl sulphate, sodium lauryl ether sulphate nEO, where n is from 1 to 20; octylphenol ether sulphate nEO where n is from 1 to 20, sodium dioctylsulphosuccinate; nonylphenol ethoxylate nEO, where n is from 1 to 50, alcohol ethoxylates; lauryl alcohol nEO, where n is from 1 to 50, polyoxyethylene monostearate where the number of oxyethylene units is from 1 to 30.

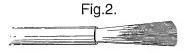
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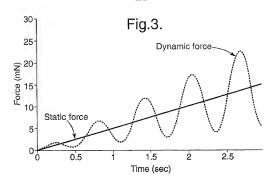
17. A composition in accordance with claim 1, which comprises a conditioning agent selected from the group consisting of a volatile silicone, a nonvolatile silicone, and mixtures thereof.

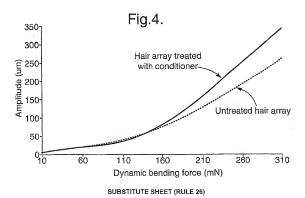
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18. A method for providing hair with body which comprises contacting said hair with a composition in accordance with claim 1.









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# HAIR TREATMENT COMPOSITIONS

### FIELD OF THE INVENTION

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This invention relates to leave on hair treatment compositions and to their use in the treatment of hair.

#### BACKGROUND AND PRIOR ART

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product.

- One of the most common methods for styling hair has been applying fixative agents to the hair, such as high molecular weight polymers. The problem with using such fixative agents is that they have a tendency to make the hair feel sticky, stiff and crisp. Furthermore conventional fixative agents also tend to make consumers hands feel sticky when they are applying or styling their hair with compositions containing them.
- 20 One way in which this problem has been addressed in the past has been to include conditioning agents, for example silicones and cationic surfactants, in the compositions, to counter the negative effects of the styling agents.

  Although such conditioning agents do provide substantial

  25 improvements in for example the wet and dry combing properties of the hair and in the smoothness of the hair, they tend to have a negative effect on the styling of hair e.g. poor style creation and hold. Furthermore the use of conditioners does not overcome the sticky feeling on

  30 consumers hands during application and styling with the

The present invention provides high styling efficacy (e.g. control, ease of styling, style longevity and manageability) without undue stiffness and sticky feel.

- 5 Pressure sensitive adhesives (PSAs) have been used in hair care compositions as described in US5166276, EP408311, EP412707 and EP412704. However these PSAs tend to hydrolyse in aqueous and hydroalcoholic hair care products.
- 10 The present invention has the added advantage that hair can be styled without the consumers hands becoming sticky.

A further advantage is that hair styled with compositions of the present invention does not become limp or lose its style and curl retention in humid conditions.

The invention also relates to PSAs, which are particularly stable in aqueous and hydroalcoholic hair care products.

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#### SUMMARY OF THE INVENTION

Accordingly, this invention provides a hair treatment composition comprising a silicone pressure sensitive adhesive emulsion in which the emulsion comprises a disperse organic solvent phase in a continuous water phase.

This invention provides for the use of silicone PSA organic solvent based emulsions in a hair treatment composition to impart styling without compromising feel, especially omitting stickiness on hair and hands.

A method for styling hair is also described which comprises contacting the hair with the composition described above.

5 This invention further relates to a hair treatment composition obtainable by adding a silicone pressure sensitive adhesive emulsion comprising a silicone pressure sensitive adhesive and an organic solvent phase in a continuous water phase to a base composition.

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#### DETAILED DESCRIPTION OF THE INVENTION

Unless specified otherwise, all wt% values quoted hereinafter are percentages by weight based on total weight of the hair treatment composition.

By "insoluble" is meant that the material is not soluble in water (distilled or equivalent) at a concentration of 0.1%, at  $25\,^{\circ}\mathrm{C}$ .

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The term organic solvent relates to solvents that do not contain silicone.

#### Silicone Pressure Sensitive Adhesives

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This present invention relates to the use of silicone PSAs emulsions which comprise non-silicone based organic solvents for hair care applications.

30 The term "silicone pressure sensitive adhesive" (SPSA) refers to pressure sensitive adhesives comprising a silicone resin and a polydiorganosiloxane. These "pressure sensitive adhesive" (PSA) materials are permanently tacky at room temperature and able to develop measurable adhesion to a surface simply upon contact or by the application of a light pressure. Generally they do not require heat. No chemical reaction takes place between the adhesive and the adherent, no curing of the adhesive is necessary and no solvent is required to be lost during the adhesion process.

- 10 In the context of the present invention there are 3 types of silicone PSAs:
- i) One class of silicone pressure sensitive adhesives consists of a mixture of (i) a silanol end-blocked polydiorganosiloxane fluid, e.g. a polydimethylsiloxane polymer and (ii) a trimethylsilyl end-blocked polysilicate resin such as a silicate resin consisting of a benzenesoluble resinous copolymer containing silicon-bonded
- 20 hydroxyl radicals and consisting essentially of triorganosiloxy units of the formula RUSiO1/2 and tetrafunctionalsiloxy units of the formula SiO4/2 in a ratio of about 0.6 to 0.9 triorganosiloxy units for each tetrafunctionalsiloxy unit present in the copolymer, wherein
- 25 each R is a monovalent organic radical independently
   selected from the group consisting of hydrocarbon radicals
   of from 1 to 6 inclusive carbon atoms. U.S. Patent No.
   2,736, 721 to Dexter et al. and U.S. Patent No. 2,814,601 to
   Currie et al. teach such or similar silicone pressure
- 30 sensitive adhesives.

ii) A preferred class of silicone PSAs are prepared by condensing the silicone fluid and the silicate. In this preferred condensation reaction, the silicate resin and the silicone fluid are mixed together in the presence of a catalytic amount of a silanol condensation catalyst and then the silicate resin and the silicone fluid are condensed, for example, by heating under reflux conditions for 1 to 20 hours. Examples of silanol condensation catalysts are primary, secondary and tertiary amines, carboxylic acids of these amines and quaternary ammonium salts.

iii) A further optional step can also employ an alkenylfunctional polymer and a crosslinking agent containing
silicone-bonded hydrogen atoms, they are cured by a
hydrosilation addition reaction using a platinum-type
catalyst as described in US-4988779. In such systems the
molar ratio of silicon bonded hydrogen groups to silicone
bonded alkenyl groups is typically greater than 1. However
these systems are not highly preferred.

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A preferred silicone PSA comprises (a) 40 to 70 parts by weight of at least one silicone copolymer resin and (b) 30 to 60 parts by weight of at least one polydiorganosiloxane. The silanol content of the silicone pressure sensitive adhesive composition is reduced by chemically treating at least a portion of (a), (b) or the mixture of (a) and (b) with at least one chemical treating agent (c) that reacts with silicon-bonded hydroxyl groups to reduce the silicon-bonded hydroxyl content of the composition.

Preferably the silicon-bonded hydroxyl content of the composition is reduced to a range of between 8000 and 13.000.

- 5 The silicone resin copolymers (i) usually contain siliconbonded hydroxyl radicals in amounts which typically range from about 1 to 4 weight percent of silicon-bonded hydroxyl radicals and comprise triorganosiloxy units of the formula R 3 SiC 1/2 and tetrafunctional siloxy units of the formula
- 10 SiO 4/2 in a mole ratio of from 0.6 to 0.9 R 3 Si 1/2 units for each SiO 4/2 unit present. Blends of two or more such copolymers may also be used. There should be at least some and preferably at least 0.5% silicon-bonded hydroxyl content to enable the polydiorganosiloxane component to copolymerize
- with the copolymer resin and/or to react with the end blocking agent being added to chemically treat the silicone pressure-sensitive adhesive composition. Each R denotes, independently, a monovalent hydrocarbon radical having from 1 to 6 inclusive carbon atoms such as methyl, ethyl, propyl,
- 20 isopropyl, hexyl, cyclohexyl, vinyl, allyl, propenyl and phenyl. Preferably, the R 3 SiO 1/2 units are Me 3 SiO 1/2 units and/or Me 2 R 1 SiO 1/2 units wherein is R 1 is a vinyl ("Vi") or phenyl ("Ph") radical. More preferably, no more than 10 mole percent of the R 3 SiO 1/2 units present
- 25 in resin copolymer (i) are Me 2 R 2 SiO 1/2 units and the remaining units are Me 3 SiO 1/2 units where each R 2 is a vinyl radical. Most preferably, the R 3 SiO 1/2 units are Me 3 SiO 1/2 units.
- 30 The preferred class of silicone PSAs (ii) usually comprise one or more polydiorganosiloxanes comprising ARSiO units

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terminated with end blocking TRASiO 1/2 units, where each R is as defined in the paragraph above. Each A radical is selected from radicals such as R or halohydro-carbon radicals of from 1 to 6 inclusive carbon atoms such a chloromethyl, chloropropyl, 1-chloro-2-methylpropyl, 3,3,3trifluoropropyl and F 3 C(CH 2) 5 radicals. Thus the polydiorganosiloxane can contain Me 2 SiO units, PhMeSiO units, MeViSiO units, Ph 2 SiO units, methylethylsiloxy units, 3,3,3-trifluoropropyl units and 1-chloro, 2methylpropyl units and the like. Preferably, the ARSiO units are selected from the group consisting of R  $_{2}$  SiO RR'SiO units, Ph 2 SiO units and combinations of both where R and R' are as for R in the paragraph above, at least 50 mole percent of the R' radicals present in the polydiorganosiloxane (ii) are methyl radicals and no more than 50 mole percent of the total moles of ARSiO units present in each polydiorganosiloxane of (ii) are Ph 2 SiO units. More preferably, no more than 10 mole percent of the ARSiO units present in each polydiorganosiloxane (ii) are MeRSiO units where R is as above defined and the remaining ARSiO units present in each polydiorganosiloxane are Me 2 SiO units. Most preferably, substantially all of the ARSiO units are Me 2 SiO units. Each T radical is R, OH, H or OR' radicals where each R' is an alkyl radical of from 1 to 4 inclusive carbon atoms such as methyl, ethyl, n-propyl, and isobutyl radicals. H, OH and OR' provide a site for reaction with the endblocking triorganosilvl units of ingredient (iii) and also provide a site for condensation with other such radicals on polydiorganosiloxanes (ii) or with the silicon-bonded hydroxyl groups present in resin copolymer

(i). Use of polydiorganosiloxanes where T is OH is most

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preferred because the polydiorganosiloxane (ii) can then readily copolymerize with the resin copolymer (i). When an appropriate catalyst such as HCl, which is generated when chlorosilanes are used, or ammonia, which is generated when organosilazanes are used, as endblocking agents, then triorganosiloxy (e.g., R 3 SiO 1/2 such as (CH 3) 3 SiO 1/2 or CH 2 CH (CH 3) 2 SiO 1/2) unit terminated polydiorganosiloxanes can be employed because some of the triorganosiloxy units can be cleaved when the condensation reaction is conducted with heating. The cleavage exposes a silicon-bonded hydroxyl radical which can then condense with silicon- bonded hydroxyl radicals in the copolymer resin, with endblocking triorganosilyl units or with other polydiorganosiloxanes containing H, OH or OR' radicals or silicon-bonded hydroxyl radicals exposed by cleavage reactions. Mixtures of polydiorganosiloxanes containing different substituent radicals may also be used.

Each of the polydiorganosiloxanes (ii) preferably have a viscosity of from 100 centipoise to 30,000,000 centipoise at 25° C. (100 millipascal- seconds to 30,000 pascal seconds (Pa.s) where 1 centipoise equals 1 millipascal second). As is well-known, viscosity is directly related to the average number of diorganosiloxane units present for a series of polydiorganosiloxanes of varying molecular weights, which have the same endblocking units. Polydiorganosiloxanes having a viscosity of from about 100 to 100,000 centipoise at 25° C. range from fluids to somewhat viscous polymers. These polydiorganosiloxanes are preferably pre-reacted with resin copolymer (i) prior to condensation in the presence of

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endblocking agent (iii) to improve the tack and adhesion properties of the resulting PSA as will be further described. Polydiorganosiloxanes having viscosities in excess of 100,000 centipoise can typically be subjected to the condensation/endblocking step (II) of the present invention without prereaction. Polydiorganosiloxanes having viscosities in excess of 1,000,000 centipoise are highly viscous products often referred to as gums and the viscosity is often expressed in terms of a Williams Plasticity value (polydimethylsiloxane gums of about 10,000,000 centipoise viscosity typically have a Williams Plasticity Value of about 50 mils (1.27 mm) or more at 25° C.).

- Examples of endblocking agents (iii) are (Me<sub>3</sub>Si)<sub>2</sub>NH,
   (ViMe<sub>2</sub>Si)<sub>2</sub>NH, (MePhViSi)<sub>2</sub>NH, (CF<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>Me<sub>2</sub>Si)<sub>2</sub>NH, (Me<sub>3</sub>Si)<sub>3</sub>NMe,
   (ClCH<sub>2</sub>Me<sub>2</sub>Si)<sub>2</sub>NH, Me<sub>3</sub>SiOMe, Me<sub>3</sub>SiOC<sub>2</sub>H<sub>5</sub>, Ph<sub>3</sub>SiOC<sub>2</sub>H<sub>5</sub>,
   (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>SiOC<sub>2</sub>H<sub>5</sub>, Me<sub>2</sub>PhSiOC<sub>2</sub>H<sub>5</sub>, (i- C<sub>3</sub>H<sub>7</sub>)<sub>3</sub>SiOH, Me<sub>3</sub>Si(OC<sub>3</sub>H<sub>7</sub>),
   MePhViSiOMe, Me<sub>3</sub>SiCl, Me<sub>2</sub>ViSiCl, MePhViSiCl,
- 20 (H<sub>2</sub>CCCHCH<sub>2</sub>) Me<sub>2</sub>SiCl, (n-C<sub>3</sub>H<sub>7</sub>)<sub>3</sub>SiCl, (F<sub>3</sub>CCF<sub>2</sub>CF<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>)<sub>3</sub>SiCl,
  NCCH<sub>2</sub>CH<sub>2</sub>Me<sub>2</sub>SiCl, (n-C<sub>6</sub>H<sub>1</sub>)<sub>3</sub>SiCl, MePh<sub>2</sub>SiCl, Me<sub>3</sub>SiBr, (t-C<sub>4</sub>H<sub>5</sub>) Me<sub>2</sub>SiCl, CF<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>Me<sub>2</sub>SiCl, (Me<sub>3</sub>Si)<sub>2</sub>O, (Me<sub>2</sub>PhSi)<sub>2</sub>O,
  BrCH<sub>2</sub>Me<sub>2</sub>SiOSiMe<sub>3</sub>, (p-FC<sub>6</sub>H<sub>4</sub>Me<sub>2</sub>Si)<sub>2</sub>O, (CH<sub>3</sub>COOCH<sub>2</sub>Me<sub>2</sub>Si)<sub>2</sub>O,
  [(H<sub>2</sub>CCCH<sub>3</sub>COOCH<sub>2</sub>CH<sub>2</sub>) Me<sub>2</sub>Si]<sub>2</sub>O, [(CH<sub>3</sub>COOCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>) Me<sub>2</sub>Si]<sub>2</sub>O,
- 30 Me<sub>3</sub>SiCCH, HO(CH<sub>2</sub>)<sub>4</sub>Me<sub>2</sub>Si]<sub>2</sub>O, (HOCH<sub>2</sub>CH<sub>2</sub>OCH<sub>2</sub>Me<sub>2</sub>Si)<sub>2</sub>O, H<sub>2</sub>N(CH<sub>2</sub>)<sub>3</sub>Me<sub>2</sub>SiOCH<sub>3</sub>, CH<sub>3</sub>CH(CH<sub>2</sub>NH<sub>2</sub>)CH<sub>2</sub>Me<sub>2</sub>SiOCH<sub>3</sub>,

C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub>CH<sub>2</sub>S (CH<sub>2</sub>)<sub>5</sub>Me<sub>2</sub>SiOC<sub>2</sub>H<sub>5</sub>, HSCH<sub>2</sub>CH<sub>2</sub>NH (CH<sub>2</sub>)<sub>4</sub>Me<sub>2</sub>SiOC<sub>2</sub>H<sub>5</sub>, HOCH<sub>2</sub>CH<sub>2</sub>SCH<sub>2</sub>Me<sub>2</sub>SiOC<sub>1</sub>H<sub>5</sub>.

Preferably, the endblocking agent employed is (Me3Si)2NH.

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The silicone PSA emulsion can be prepared by mixing the silicone PSA in a suitable organic solvents to give a dispersed phase. It is advantageous if this dispersed phase comprises 20 to 80 % by weight of the silicone pressure sensitive adhesive. The PSA/solvent mixture is emulsified in water using one or more surfactants. The preferred surfactants are anionic or nonionic surfactants, especially

15 Preferred organic solvents include ethyl acetate and especially hydrocarbons. Preferred hydrocarbons include heptane, hexane and particularly preferred is isododecane).

a blend of anionic and nonionic surfactants.

Other silicone-based solvents can additionally be present,

20 but it is preferred if they are absent.

## Hair Styling Polymer

The compositions of the invention may further comprise from 0.001% to 10% by weight of a hair styling polymer.

More preferred amounts of hair styling polymer in the compositions of the invention are from 0.1% to 5% by weight of the composition, even more preferably from 0.5% to 3% by weight. However it is highly preferable if additional hair 30 styling polymersthey are not present or present in levels below 0.01 wt% of the total composition.

Hair styling polymers are well known. Suitable hair styling polymers include commercially available polymers that contain moieties that render the polymers cationic, anionic, amphoteric or nonionic in nature. Suitable hair styling polymers include, for example, block and graft copolymers. The polymers may be synthetic or naturally derived.

Examples of anionic hair styling polymers are:

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copolymers of vinyl acetate and crotonic acid; terpolymers of vinyl acetate, crotonic acid and a vinyl ester of an alpha-branched saturated aliphatic monocarboxylic acid such as vinyl neodecanoate:

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copolymers of methyl vinyl ether and maleic anhydride (molar ratio about 1:1) wherein such copolymers are 50% esterified with a saturated alcohol containing from 1 to 4 carbon atoms such as ethanol or butanol;

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acrylic copolymers containing acrylic acid or methacrylic acid as the anionic radical-containing moiety with other monomers such as: esters of acrylic or methacrylic acid with one or more saturated alcohols having from 1 to 22 carbon atoms (such as methyl methacrylate, ethyl acrylate, ethyl methacrylate, n-butyl acrylate, t-butyl methacrylate, n-butyl methacrylate, n-hexyl acrylate, n-octyl acrylate, lauryl methacrylate and behenyl acrylate); glycols having from 1 to 6 carbon atoms (such as hydroxypropyl methacrylate and hydroxyethyl acrylate); styrene; vinyl carrolactam; vinyl acetate; acrylamide; alkyl

acrylamides and methacrylamides having 1 to 8 carbon atoms in the alkyl group (such as methacrylamide, t-butyl acrylamide and n-octyl acrylamide); and other compatible unsaturated monomers.

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The additional styling polymer may also contain grafted silicone, such as polydimethylsiloxane.

Specific examples of suitable anionic hair styling polymers 10 are:

RESYN® 28-2930 available from National Starch (vinyl acetate/crotonic acid/vinyl neodecanoate copolymer);

15 ULTRAHOLD® 8 available from BASF (CTFA designation Acrylates/acrylamide copolymer);

the GANTREZ®ES series available from ISP Corporation esterified copolymers of methyl vinyl ether and maleic anhydride);

Laviset PUR® available from BASE.

Other suitable anionic hair styling polymers include carboxylated polyurethanes. Carboxylated polyurethane resins are linear, hydroxyl-terminated copolymers having pendant carboxyl groups. They may be ethoxylated and/or propoxylated at least at one terminal end. The carboxyl group can be a carboxylic acid group or an ester group, wherein the alkyl moiety of the ester group contains one to

three carbon atoms. The carboxylated polyurethane resin can also be a copolymer of polyvinylpyrrolidone and a polyurethane, having a CTFA designation PVP/polycarbamyl polyglycol ester. Suitable carboxylated polyurethane resins are disclosed in EP-A-0619111 and US Patent No. 5,000,955. Other suitable hydrophilic polyurethanes are disclosed in US Patent Nos. 3,822,238; 4,156,066; 4,156,067; 4,255,550; and 4,743,673.

- Amphoteric hair styling polymers which can contain cationic groups derived from monomers such as t-butyl aminoethyl methacrylate as well as carboxyl groups derived from monomers such as acrylic acid or methacrylic acid can also be used in the present invention. One specific example of an amphoteric hair styling polymer is Amphomer® (Octylacrylamide/ acrylates/butylaminoethyl methacrylate copolymer) sold by the National Starch and Chemical Corporation.
- 20 Examples of nonionic hair styling polymers are homopolymers of N- vinylpyrrolidone and copolymers of N-vinylpyrrolidone with compatible nonionic monomers such as vinyl acetate.

  Nonionic polymers containing N- vinylpyrrolidone in various weight average molecular weights are available commercially from ISP Corporation specific examples of such materials are homopolymers of N-vinylpyrrolidone having an average molecular weight of about 630,000 sold under the name PVP K-90 and are homopolymers of N-vinylpyrrolidone having an average molecular weight of about 1,000,000 sold under the name of PVP K-120.

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Other suitable nonionic hair styling polymers are crosslinked silicone resins or gums. Specific examples include rigid silicone polymers such as those described in EP-A-0240350 and cross-linked silicone gums such as those described in WO 96/31188.

Examples of cationic hair styling polymers are copolymers of amino-functional acrylate monomers such as lower alkyl aminoalkyl acrylate, or methacrylate monomers such as dimethylaminoethyl methacrylate, with compatible monomers such as N-vinylpyrrolidone, vinyl caprolactam, alkyl methacrylates (such as methyl methacrylate and ethyl methacrylate) and alkyl acrylates (such as ethyl acrylate and n-butyl acrylate).

Specific examples of suitable cationic polymers are:

copolymers of N-vinylpyrrolidone and dimethylaminoethyl methacrylate, available from ISP Corporation as Copolymer 845, Copolymer 937 and Copolymer 958;

copolymers of N-vinylpyrrolidone and dimethylaminopropylacrylamide or methacrylamide, available from ISP Corporation as Styleze® CC10;

copolymers of N-vinylpyrrolidine and dimethylaminoethyl methacrylate;

copolymers of vinylcaprolactam, N-vinylpyrrolidone and 30 dimethylaminoethylmethacrylate; 5

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Polyquaternium-4 (a copolymer of diallyldimonium chloride and hydroxyethylcellulose);

Polyquaternium-11 (formed by the reaction of diethyl sulphate and a copolymer of vinyl pyrrolidone and dimethyl aminoethylmethacrylate), available from ISP as Gafquat® 734, 755 and 755N, and from BASF as Luviquat® PQ11;

Polyquaternium-16 (formed from methylvinylimidazolium chloride and vinylpyrrolidone), available from BASF as Luviquat® FC 370, FC 550, FC 905 and HM-552;

Polyquaternium-46 (prepared by the reaction of vinylcaprolactam and vinylpyrrolidone with

15 methylvinylimidazolium methosulphate), available from BASF as Luviquat@Hold.

Examples of suitable naturally-derived polymers include shellac, alginates, gelatins, pectins, cellulose derivatives and chitosan or salts and derivatives thereof. Commercially available examples include Kytamer® (ex Amerchol) and Amaze® (ex National Starch).

Also suitable for use as additional styling polymers in the compositions of the invention are the ionic copolymers described in WO 93/03703, the polysiloxane-grafted polymers disclosed in WO 93/23446, the silicone-containing polycarboxylic acid copolymers described in WO 95/00106 or WO 95/32703, the thermoplastic elastomeric copolymers

30 described in WO 95/01383, WO 95/06078, WO 95/06079 and WO

95/01384, the silicone grafted adhesive polymers disclosed in WO 95/04518 or WO 95/05800, the silicone macro-grafted copolymers taught in WO 96/21417, the silicone macromers of WO 96/32918, the adhesive polymers of WO 98/48770 or WO 98/48771 or WO 98/48772 or WO 98/48776, the graft polymers of WO 98/51261 and the grafted copolymers described in WO 98/512755

In certain embodiments of the invention, the styling polymer is preferably a copolymer having a backbone comprising a 10 polyether and, depending from the backbone, a plurality of poly (vinyl ester) groups. At least some of the ester groups are hydrolysed to the corresponding alcohol, preferably at least 50%, more preferably at least 75%, most preferably at least 95% of the groups are hydrolysed to the 15 corresponding alcohol. The poly (vinyl ester) chains optionally contain other functional groups in and/or on the polymer chain, such as, for example, amide and/or keto groups. The copolymer has a polyether backbone, which is 20 obtainable by the polymerisation of one or more alkylene oxides. The polyether may comprise a single alkyleneoxy group or a mixture of two or more alkyleneoxy groups. The polyether may, for example, be based on ethylene oxide, propylene oxide, butylene oxide, other alkylene oxides, polyglycerol and mixtures thereof. Optionally, the backbone 25 comprises linkages other than those based on polyether, such as, for example, amide or keto linkages. Preferably, the copolymer comprises a polyethyleneglycol backbone. The copolymer is preferably polyethyleneglycol-copolyvinylalcohol having polyvinylalcohol groups bound to the 30

polyethyleneglycol i.e., subtantially all of the poly (vinyl

ester) groups are preferably hydrolysed in the copolymers used in the compositions of the invention. The copolymer can be produced by methods, which are well-known to those skilled in the art. For example, the copolymers are obtainable by graft polymerisation. In a method comprising graft polymerisation, poly (vinyl ester) groups are preferably grafted onto a polyether and are subsequently hydrolysed to convert at least some of the ester groups to the corresponding alcohol. For example, DE 1 077 430, the 10 contents of which are incorporated herein by reference, describes a process for the preparation of graft polymers of vinyl esters on polyalkylene glycols. The preparation of graft copolymers of polyvinyl alcohol on polyalkylene glycols by hydrolysis of the vinyl esters is described in DE 15 1 094 457 and DE 1 081 229, both also incorporated herein by reference. The weight average molecular weight of the polyether is preferably from 1 to 100 kDa. Preferred copolymers for use in compositions of the invention have a molar ratio of polyether to total poly(vinyl ester) and 20 polyvinylalcohol groups in the range of from about 95:5 to 5:95, more preferably about 30:70 to about 50:50. Typically, such copolymers have a molar ratio of polyether to total poly(vinyl ester) and polyvinylalcohol groups of about 40:60. The copolymer may be non-cross-linked or cross-linked and it is preferred that the copolymer is 25 cross-linked. Suitable cross-linking agents are those compounds which can bind to two or more polyether, poly (vinyl ester) and/or poly (vinyl alcohol) chains and include, for example, pentaerythritol triallyl ether.

## Surfactant

The compositions of the invention may comprise a surfactant in addition to that required for the preparation of any PSA emulsion. The surfactants which are suitable for use in the compositions of the invention may be nonionic, cationic, anionic, zwitterionic or a mixture of such surfactants depending on the product form.

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The hair styling compositions of the invention preferably comprise a non-ionic surfactant, in an amount of up to 5%, preferably from 0.01% to 1%, most preferably from 0.02% to 0.8% by weight based on total weight.

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Examples of suitable non-ionic surfactants are condensation products of aliphatic  $(C_8-C_{18})$  primary or secondary linear or branched chain alcohols or phenols with alkylene oxides, usually ethylene oxide and generally having at least 15, preferably at least 20, most preferably from 30 to 50 ethylene oxide groups. Other suitable non-ionics include esters of sorbitol, esters of sorbitan anhydrides, esters of

propylene glycol, fatty acid esters of polyethylene glycol, fatty acid esters of polypropylene glycol, ethoxylated

25 esters and polyoxyethylene fatty ether phosphates.

Of particular use are those non-ionic surfactants of general formula  $R(EO)_x$  H, where R represents a straight or branched chain alkyl group having an average carbon chain length of

30 12-18 carbon atoms and x ranges from 30 to 50. Specific examples include steareth-40, steareth-50, ceteareth-30, ceteareth-40, ceteareth-50 and mixtures thereof. Suitable commercially available examples of these materials include Unicol SA-40 (Universal Preserv-A-Chem), Empilan KM50 (Albright and Wilson), NONION PS-250 (Nippon Oils & Fats), Volpo CS50 (Croda Inc), and Incropol CS-50 (Croda Inc).

### Water

1.0

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2.0

Compositions of the present invention can also include water, preferably distilled or de-ionised, as a carrier for the PSAs, when used in an emulsion form in addition to it being a carrier or a solvent for other components. When present the water will typically be present in amounts ranging from 30% to 95%, preferably from 50% to 95% by weight.

#### Solvent/Carrier

Compositions of the present invention can also include solvents, as a carrier or solvent for the PSAs and other components. When present the solvent will typically be present in amounts ranging from 30% to 98%, preferably from 50% to 95% by weight. Examples of solvents are hydrocarbons, esters, alcohols etc.

## 25 Hair conditioning agents

Hair conditioning agents such as hydrocarbons, esters, silicone fluids, and cationic materials may be included in the compositions of the invention. Hair conditioning agents may typically be present in compositions of the invention in amounts of from 0.001% to 10% by weight, preferably 0.1% to

- 3% by weight. Hair conditioning agents may be single compounds or mixtures of two or more compounds from the same class or different general classes.
- 5 Hair conditioning agents may be included in any of the compositions of the invention, regardless of whether they contain a hair styling polymer. In one embodiment of the invention, the compositions (such as aerosol mousse formulations, for example) comprise a hair conditioning
  10 agent and are substantially free of hair styling polymer.
  - Suitable hydrocarbons can be either straight or branched chain and can contain from about 10 to about 16, preferably from about 12 to about 16 carbon atoms. Examples of suitable hydrocarbons are decame, dodecame, tetradecame, tridecame, and mixtures thereof.
    - Suitable oily or fatty materials are selected from hydrocarbon oils, fatty esters and mixtures thereof.
- 20 Straight chain hydrocarbon oils will preferably contain from about 12 to about 30 carbon atoms. Also suitable are polymeric hydrocarbons of alkenyl monomers, such as  $C_2$ - $C_6$  alkenyl monomers.
- 25 Specific examples of suitable hydrocarbon oils include paraffin oil, mineral oil, saturated and unsaturated dodecane, saturated and unsaturated tridecane, saturated and unsaturated tetradecane, saturated and unsaturated

pentadecane, saturated and unsaturated hexadecane, and mixtures thereof. Branched-chain isomers of these compounds, as well as of higher chain length hydrocarbons, can also be used.

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Suitable fatty esters are characterised by having at least 10 carbon atoms, and include esters with hydrocarbyl chains derived from fatty acids or alcohols, Monocarboxylic acid esters include esters of alcohols and/or acids of the formula R'COOR in which R' and R independently denote alkyl or alkenyl radicals and the sum of carbon atoms in R' and R is at least 10, preferably at least 20. Di- and trialkyl and alkenyl esters of carboxylic acids can also be used.

Particularly preferred fatty esters are mono-, di- and triglycerides, more specifically the mono-, di-, and triesters of glycerol and long chain carboxylic acids such as  $C_1$ - $C_{22}$  carboxylic acids. Preferred materials include cocoa butter, palm stearin, sunflower oil, soyabean oil and

Especially preferred is isopropyl myristate

The oily/fatty material is suitably present at a level of from 0.05 to 10, preferably from 0.2 to 5, more preferably from about 0.5 to 3 wt%.

- 5 Examples of suitable silicone conditioning agents useful herein can include either cyclic or linear polydimethylsiloxanes, phenyl and alkyl phenyl silicones, and silicone copolyols. Cationic conditioning agents useful herein can include quaternary ammonium salts or the salts of fatty amines, such as cetyl ammonium chloride, for example.
- Compositions according to the invention may, optionally, comprise from 0.1% to 10% by weight of a volatile silicone as the hair conditioning agent. Volatile silicones are well known in the art and are commercially available and include, for example linear and cyclic compounds. Volatile silicone oils are preferably linear or cyclic polydimethylsiloxanes containing from about three to about nine silicon atoms.
- 20 The compositions of the invention may optionally comprise a cross-linked silicone polymer.

The cross-linked silicone polymer is preferably a non-rigid emulsion-polymerised and may be present in compositions of the invention in an amount of up to 10% by weight based on the total weight of the composition, more preferably from 0.2% to 6% by weight, most preferably from 0.5 to 5% by weight.

Preferred silicone polymers for use in the invention are polydiorganosiloxanes, preferably derived from suitable combinations of  $R_3 SiO_{0.5}$  units and  $R_2 SiO$  units where each R independently represents an alkyl, alkenyl (e.g., vinyl), alkaryl, aralkyl, or aryl (e.g. phenyl) group. R is most preferably methyl.

The preferred silicone polymers of the invention are crosslinked polydimethyl siloxanes (which have the CTFA designation dimethicone), and cross-linked polydimethyl siloxanes having end groups such as hydroxyl (which have the CTFA designation dimethiconol). Good results have been obtained with cross-linked dimethiconol.

15 Cross-linking of the silicone polymer is typically introduced concurrently during emulsion polymerisation of the polymer through the inclusion of the required amount of trifunctional and tetrafunctional silane monomer units, for example, those of formula:

20

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R Si (OH), wherein R represents an alkyl, alkenyl (e.g. vinyl), alkaryl, aralkyl or aryl (e.g. phenyl) group, preferably methyl.

25 The degree of cross-linking of the silicone polymer can be measured as the percentage of branched monomer units in the silicone polymer and is from 0.05% to 10%, preferably being in the range 0.15% to 7%, e.g. from 0.2% to 2%. Increasing cross-linking is found to improve styling benefits but also to reduce conditioning performance somewhat, so compromise

levels must be selected with properties optimised to suit

consumer preferences in different cases. Good overall performance has been obtained with dimethiconol 0.3% cross-linked

- 5 Suitable emulsion polymerised cross-linked silicone polymers are commercially available or can be readily made using conventional techniques well known to those skilled in the art.
- 10 Cross-linked silicone polymers are described in EP 818190, the contents of which are incorporated herein by reference.

The compositions of the invention may optionally comprise cationic surfactants, used singly or in admixture.

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Cationic surfactants useful in compositions of the invention contain amino or quaternary ammonium hydrophilic moieties which are positively charged when dissolved in the aqueous composition of the present invention.

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Examples of suitable cationic surfactants are those corresponding to the formula:

[N(R<sub>1</sub>)(R<sub>2</sub>)(R<sub>3</sub>)(R<sub>4</sub>)]\* (X)\*

25

in which  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are independently selected from (a) an aliphatic group of from 1 to 22 carbon atoms, or (b) an aromatic, alkoxy, polyoxyalkylene, alkylamido, hydroxyalkyl, aryl or alkylaryl group having up to 22 carbon atoms; and X

30 is a salt-forming anion such as those selected from halogen, (e.g. chloride, bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulphate, and alkylsulphate radicals

- The aliphatic groups can contain, in addition to carbon and hydrogen atoms, ether linkages, and other groups such as amino groups. The longer chain aliphatic groups, e.g., those of about 12 carbons, or higher, can be saturated or unsaturated.
- The most preferred cationic surfactants for conditioner compositions of the present invention are monoalkyl quaternary ammonium compounds in which the alkyl chain length is C8 to C14.
- 15 Suitable examples of such materials correspond to the formula:

 $[N(R_6)(R_6)(R_7)(R_8)]^+(X)^-$ 

- 20 in which  $R_5$  is a hydrocarbyl chain having 8 to 14 carbon atoms or a functionalized hydrocarbyl chain with 8 to 14 carbon atoms and containing ether, ester, amido or amino moieties present as substituents or as linkages in the radical chain, and  $R_5$ ,  $R_7$  and  $R_8$  are independently selected from (a)
- 25 hydrocarbyl chains of from 1 to about 4 carbon atoms, or (b) functionalized hydrocarbyl chains having from 1 to about 4 carbon atoms and containing one or more aromatic, ether, ester, amido or amino moieties present as substituents or as linkages in the radical chain, and X is a salt-forming anion
- 30 such as those selected from halogen, (e.g. chloride,

bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulphate, and alkylsulphate radicals.

The functionalised hydrocarbyl chains (b) may suitably contain one or more hydrophilic moieties selected from alkoxy (preferably  $C_1$ - $C_3$  alkoxy), polyoxyalkylene (preferably  $C_1$ - $C_3$  polyoxyalkylene), alkylamido, hydroxyalkyl, alkylester, and combinations thereof.

10 Preferably the hydrocarbyl chains  $R_1$  have 12 to 14 carbon atoms, most preferably 12 carbon atoms. They may be derived from source oils which contain substantial amounts of fatty acids having the desired hydrocarbyl chain length. For example, the fatty acids from palm kernel oil or coconut oil 15 can be used as a source of C8 to C12 hydrocarbyl chains.

Typical monoalkyl quaternary ammonium compounds of the above general formula for use in shampoo compositions of the invention include:

20

- (i) lauryl trimethylammonium chloride(available commercially as Arquad C35 ex-Akzo); cocodimethyl benzyl ammonium chloride (available commercially as Arquad DMCB-80 ex-Akzo)
- 25 (ii) compounds of the formula:

```
[N(R_1)(R_2)((CH_2 CH_2 O)_x H)((CH_2 CH_2 O)_y H)]^+(X)^-
```

wherein.

3.0

x + y is an integer from 2 to 20;

R<sub>1</sub> is a hydrocarbyl chain having 8 to 14, preferably 12 to 14, most preferably 12 carbon atoms or a functionalised hydrocarbyl chain with 8 to 14, preferably 12 to 14, most 5 preferably 12 carbon atoms and containing ether, ester, amido or amino moieties present as substituents or as linkages in the radical chain;

 $R_2$  is a  $C_1\text{-}C_3$  alkyl group or benzyl group, preferably methyl, 10 and

X is a salt-forming anion such as those selected from halogen, (e.g. chloride, bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulphate, methosulphate and alkylsulphate radicals.

Suitable examples are PEG-n lauryl ammonium chlorides (where n is the PEG chain length), such as PEG-2 cocomonium chloride (available commercially as Ethoquad C12 ex-Akzo Nobel); PEG-2 cocobenzyl ammonium chloride (available commercially as Ethoquad CB/12 ex-Akzo Nobel); PEG-5 cocomonium methosulphate (available commercially as Rewoquat CPEM ex-Rewo); PEG-15 cocomonium chloride (available commercially as Ethoquad C/25 ex-Akzo)

25

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(iii) compounds of the formula:

$$[N(R_1)(R_2)(R_3)((CH_2)_n OH)]^+ (X)^-$$

30 wherein:

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n is an integer from 1 to 4, preferably 2;

R<sub>1</sub> is a hydrocarbyl chain having 8 to 14, preferably 12 to 14, most preferably 12 carbon atoms;

 $R_2$  and  $R_3$  are independently selected from  $C_1$  -  $C_3$  alkyl groups, and are preferably methyl, and

X is a salt-forming anion such as those selected from 10 halogen, (e.g. chloride, bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulphate, and alkylsulphate radicals.

Suitable examples are lauryldimethylhydroxyethylammonium

15 chloride (available commercially as Prapagen HY ex-Clariant)

Mixtures of any of the foregoing cationic surfactant compounds may also be suitable.

20 Examples of suitable cationic surfactants include:

quaternary ammonium chlorides, e.g. alkyltrimethylammonium chlorides wherein the alkyl group has from about 8 to 22 carbon atoms, for example octyltrimethylammonium chloride, dodecyltrimethylammonium chloride,

hexadecyltrimethylammonium chloride, cetyltrimethylammonium chloride, octyldimethylbenzylammonium chloride, decyldimethylbenzylammonium chloride, stearyldimethylbenzylammonium chloride, didodecyldimethylammonium

30 chloride, dioctadecyldimethylammonium chloride, tallow trimethylammonium chloride, cocotrimethylammonium chloride, and the corresponding salts thereof, e.g., bromides, hydroxides. Cetylpyridinium chloride or salts thereof, e.g., chloride

Ouaternium -5

5 Quaternium -31

Ouaternium -18

and mixtures thereof.

In the conditioners of the invention, the level of cationic surfactant is preferably from 0.01 to 10, more preferably 0.05 to 5, most preferably 0.1 to 2 wt% of the total composition.

# Optional Conditioning Materials

Fatty alcohol material

Conditioner compositions of the invention preferably additionally comprise a fatty alcohol material. The combined use of fatty alcohol materials and cationic surfactants in conditioning compositions is believed to be especially advantageous, because this leads to the formation of a lamellar phase, in which the cationic surfactant is dispersed.

25 By "fatty alcohol material" is meant a fatty alcohol, an alkoxylated fatty alcohol, or a mixture thereof.

Representative fatty alcohols comprise from 8 to 22 carbon atoms, more preferably 16 to 20. Examples of suitable fatty

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#### Product Form

Compositions of the present invention can be formulated as any suitable product form, however it is preferable if they are in a product from that is applied to the hair and not immediately rinsed off (a leave on product) such as mousse, gel, lotion, cream, spray or tonics. These product forms are well known in the art.

10

The preferred product is a spray and/or aerosol and/or mousse.

The compositions of the invention are preferably foaming

15 compositions. Foaming compositions are those compositions which are capable of forming a foam on dispensation from a suitable container, such as a pressurised aerosol container.

More preferably are in the form of an aerosol hair mousse.

20 Aerosol-form compositions of the invention will include an aerosol propellant which serves to expel the other materials from the container, and forms the mousse character in mousse compositions. The aerosol propellant included in styling compositions of the present invention can be any liquefiable 25 gas conventionally used for aerosol containers. Examples of suitable propellants include dimethyl ether and hydrocarbon propellants such as propane, n-butane and iso-butane. The propellants may be used singly or admixed. Water insoluble propellants, especially hydrocarbons, are preferred because they form emulsion droplets on agitation and create suitable

mousse foam densities.

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The amount of the propellant used is governed by normal factors well known in the aerosol art. For mousses the level of propellant is generally up to 35%, preferably from 2% to 30%, most preferably from 3% to 15% by weight based on 5 total weight of the composition. If a propellant such as dimethyl ether includes a vapour pressure suppressant (e.g. trichloroethane or dichloromethane), for weight percentage calculations, the amount of suppressant is included as part of the propellant. For aerosol sprays the levels of propellant are usually higher; preferably from 30 to 98 wt% of the total composition, more preferably 50 to 95 wt % .

Preferred propellants are selected from propane, n-butane, isobutane, dimethyl ether and mixtures thereof. Preferably, the propellant comprises dimethyl ether and at least one of propane, n-butane and isobutane.

The method of preparing aerosol hair styling mousse compositions according to the invention follows conventional aerosol filling procedures. The composition ingredients (not including the propellant) are charged into a suitable pressurisable container which is sealed and then charged with the propellant according to conventional techniques.

Compositions of the invention may also take a non-foaming 25 product form, such as a hair styling cream or gel. Such a cream or gel will include a structurant or thickener, typically at a level of from 0.1% to 10%, preferably 0.5% to 3% by weight based on total weight.

Examples of suitable structurants or thickeners are polymeric thickeners such as carboxyvinyl polymers. A carboxyvinyl polymer is an interpolymer of a monomeric mixture comprising a monomeric olefinically unsaturated carboxylic acid, and from about 0.01% to about 10% by weight of the total monomers of a polyether of a polyhydric alcohol. Carboxyvinyl polymers are substantially insoluble in liquid, volatile organic hydrocarbons and are dimensionally stable on exposure to air. Suitably the molecular weight of the carboxyvinyl polymer is at least 750,000, preferably at least 1,250,000, most preferably at least 3,000,000. Preferred carboxyvinyl polymers are copolymers of acrylic acid cross-linked with allylsucrose or allylpentaerythritol as described in US Patent 2,798,053. These polymers are provided by B.F.Goodrich Company as, for 15 example, CARBOPOL 934, 940, 941 and 980. Other materials that can also be used as structurants or thickeners include those that can impart a gel-like viscosity to the composition, such as water soluble or colloidally water soluble polymers like cellulose ethers (e.g. 20 methylcellulose, hydroxyethylcellulose, hydroxypropylmethylcellulose and carboxymethylcellulose), quar gum, sodium alginate, gum arabic, xanthan gum, polyvinyl alcohol, polyvinyl pyrrolidone, hydroxypropyl guar 25 gum, starch and starch derivatives, and other thickeners, viscosity modifiers, gelling agents, etc. It is also possible to use inorganic thickeners such as bentonite or

laponite clays.

The hair styling compositions of the invention can contain a variety of non-essential, optional components suitable for rendering the compositions more aesthetically acceptable or to aid use, including discharge from the container, of the product. Such conventional optional ingredients are well known to those skilled in the art, e.g. preservatives such as benzyl alcohol, methyl paraben, propyl paraben and imidazolidinyl urea, fatty alcohols such as cetearyl alcohol, cetyl alcohol and stearyl alcohol, pH adjusting agents such as citric acid, succinic acid, sodium hydroxide and triethanolamine, colouring agents such as any of the FD&C or D&C dyes, perfume oils, chelating agents such as ethylenediamine tetraacetic acid, and polymer plasticising agents such as glycerin and propylene glycol

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The invention will now be further illustrated by the following, non-limiting Examples.

Examples of the invention are illustrated by a number, comparative examples are illustrated by a letter.

2.0

The following PSA emulsions were used:

The PSA emulsions are defined below:

Product code	DC®: 5-7300 18393-45	DC® 5-7200 17724-65-A	DC® 5-7200 17724-65-B	DC® 5-7200 17724-65-C
% internal phase(solvent + PSA)	60	60	60	60
PSA:solvent ratio	40:60	60:40	60:40	60:40
Solvent	isododecane	1 cSt PDMS	1 cst PDMs	1 cSt PDMS
Resin:Polymer ratio	65:35	65:35	65:35	55:45
Particle size D50 (Microtrack)	4.312μm	10µm	4μm	14µm
Emulsifier	anionic	anionic	anionic	anionic

# All DC emulsions are from Dow Corning.

# Aerosol Mousse Example 1

Ingredient	Trade Name	Raw Material Supplier	łwt. raw materia ł
PSA emulsion	DC® 5-7300 18393-45	DOW CORNING	2.8
Cetearyl alcohol	LAUREX CS	ALBRIGHT & WILSON	0.64
Behenyl trimethyl ammonium chloride	GENAMIN KDMP	CLARIANT	0.32
Isopropyl myristate	ISOPROPYL MYRISTATE	UNIQEMA	2
Polyoxyethylene (10) lauryl ether	EMALEX 710	NIHON EMULSION CO., LTD	1
Propane/Butane gas	copane/Butane gas CAP 40 CA		8
Water	DEIONISED WATER	Local supply	Up to

### Aerosol mousse Example 2

Ingredient	Trade Name	Raw Material Supplier		
PSA emulsion	DC® 5-7300 18393-45	DOW CORNING	2.8	
Cross-linked methylpolysilox ane	DOW CORNING 2- 1787 HVF EMULSION	DOW CORNING	2.8	
Methylpolysilox ane emulsion (1MMcs)	DOW CORNING 2- 1784 HVF EMULSION	DOW CORNING	2	
Polyoxyethylene (10) lauryl ether	EMALEX 710	NIHON EMULSION CO., LTD	1	
Dimethyl ether	DYMEL A	DUPONT	2	
Propane/Butane gas	CAP 40	CALOR GAS	6	
Water	DEIONISED WATER	Local supply	Up to	

Cream / Lotion Example 3

Ingredient	Trade Name	Raw Material Supplier	%wt. raw material
PSA emulsion1	DC® 5-7300 18393-45	DOW CORNING	2.8
Cetearyl alcohol	LAUREX CS	ALBRIGHT & WILSON	0.64
Behenyl trimethyl ammonium chloride	GENAMIN KDMP	CLARIANT	0.32
Isopropyl myristate	ISOPROPYL MYRISTATE	UNIQEMA	2
Cationic acrylic homopolymer dispersed in an emollient ester	SALCARE SC96	ALLIED COLLOIDS	1.2

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# Styling performance

The styling performance of two PSA emulsions was compared to that of Luviquat\* FC550 a conventional styling polymer.

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A set of 5 2g/25cm switches made from 'virgin' Spanish hair was washed with 16% wt. SLES.2EO. 1 ml solution was applied along the length of the hair and agitated for 30 sec. The switches were then rinsed with warm water for 30 sec.

15 Further 1 ml surfactant solution was applied and the hair was agitated for 30 sec again followed by 1 min rinse with warm water. The towel dried hair was then treated with the Examples exemplified below:

		44-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-	% active ingredient		
			В	С	4
Copolymer of 3- methyl-1-vinyl- 1H-imidazolium chloride and 1- vinyl- 2pyrrolidone (50:50)	Luviquat* FC550	BASF PLC	1.2		
PSA emulsion	DC® 5-7200 17724-65-A	DOW CORNING		1.2	
PSA emulsion	DC® 5-7300 18393-45	DOW CORNING			1.2
Polyoxyethylene (10) lauryl ether	EMALEX 710	NIHON EMULSION CO., LTD	1	1	1
Propane/Butane gas	CAP40	CALOR GAS	8	8	8
Water		LOCAL SUPPLY	Up to 100	Up to 100	Up to 100

- 5 1g of mousse was applied to each set of 5 2g/25cm hair switches ensuring even distribution. Each switch was wound on a pegboard. The pegboards were then placed in a drying cabinet @ 65°C/10% RH for 3h. Prior removing the curls, the pegboards were left at ambient conditions for 30 min. The curls were then hung on a panel and placed in humidity chamber at 30°C/90%RH. The curls were photographed every 5 min and a record of the curl length was kept.

  The generated colour digital images were rendered into grey-
- scale format. The grey-scale images were subsequently

  converted into a binary form (i.e. composed only of black

and white pixels). The dimensionless 2D projection area of each switch was used as a measure for the extent of switch spread out (i.e. loss of curliness). The projection area was calculated from the number of black pixels. The data 5 were normalised by taking the ratio of the projection area to the average switch projection area calculated for the set of switches treated with Example 4.

	В	c	4	water
Normalised projection area after 1h @ 30°C/90%RH	1.34 ± 0.13	1.27 ± 0.13	1	2.2 ± 0.13

10 The pressure sensitive adhesives (Example 4) better curl retention to that of the conventional styling polymer (Example B) and to the silicone pressure sensitive adhesive with a silicone based solvent (Example C).

## CLAIMS

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- A hair treatment composition comprising a silicone pressure sensitive adhesive emulsion in which the emulsion comprises a disperse organic solvent phase in a continuous water phase.
- A hair treatment composition according to claim 1, in which the silicone pressure sensitive emulsion prior to addition to the composition comprises a disperse organic solvent phase in a continuous water phase.
- A hair treatment composition according to claim 1 or claim 2 in which the emulsion further comprises a surfactant.
- A hair treatment composition according to any preceding claim in which the organic solvent is a hydrocarbon.
- 20 5. A hair treatment composition according to claim 4 in which the solvent is isododecane.
  - A hair treatment composition according to any preceding claim which is a leave on product.
- 25
- A hair treatment composition according to any preceding claim which further comprises a propellant.
- A hair treatment composition according to any preceding
   claim in which the propellant is a hydrocarbon gas.

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- A hair treatment composition according to any preceding claim further comprising a hair conditioning agent.
- 10. A composition according to any preceding claim, in which the silicone pressure sensitive adhesive comprises 20 to 80 % by weight of the silicone pressure sensitive adhesive emulsion.
- 11. A method for styling hair which comprises contacting the hair with a composition in accordance with any preceding claim.
- A hair treatment composition obtainable by adding a silicone pressure sensitive adhesive emulsion comprising
   a silicone pressure sensitive adhesive and an organic solvent phase in a continuous water phase to a base composition.
- 13. The use of the hair treatment composition according to 20 any one of claims 1 to 9 to impart hair styling without stickiness.

## ABSTRACT

A hair treatment composition comprising a silicone pressure sensitive adhesive emulsion in which the emulsion comprises a disperse organic solvent phase in a continuous water phase.

